

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CHUTKAN, TANYA S.	2. Court or Organization UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLUMBIA	3. Date of Report 05/14/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) us DISTRICT COURT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 333 CONSTITUTION AVE NW WASHINGTON DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. DISTRICT COURT JUDGE	DISTRICT OF COLMBIA
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	USFG	\$204,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	DC SUPIOR COURT- SALARY
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. HARVARD LAW SCHOOL	3/21/17; 3/22/17	CAMBRIDGE, MASS	CONFERENCE	AMENDMENT TO THE 2017 REPORT
2. YALE LAW SCHOOL	3/11/15; 3/12/15	NEW HAVEN, CT	CONFERENCE	AMENDMENT TO THE 2015 REPORT
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		TUITION	K
2.		TUITION	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. NDC ENTERPRISE FUND	A	Distribution	K	W					
2. NDC ENTERPRISE FUND	A	Distribution	K	W					
3. EQUINOX INC REIT	A	Dividend	K	W					
4. HACK PUREFUNDS CYBER	A	Int./Div.	J	T					
5. PCEIX PACE INTERNATIONAL EQUITY MUTUAL FUND	A	Int./Div.	J	T					
6. PCLCX PACE LARGE CO GROWTH MUTUAL FUND	A	Int./Div.	J	T					
7. PCEIX PACE INTERNATIONAL MUTUAL FUND	A	Int./Div.	J	T					
8. PCLVX PACE LARGE CO MUTUAL FUND	A	Int./Div.	J	T					
9. PCSGX PACE MEDIUM SMALL CO GROWTH MUTUAL FUND	A	Int./Div.	J	T					
10. PCSVX SMALL VALUE MUTUAL FUND	A	Int./Div.	J	T					
11. PHYPX PACE HIGH YIELD INVESTMENT CLASS MUTUAL FUND	A	Int./Div.	J	T					
12. PCGLX PACE INTERNATIONAL FIXED INCOME MUTUAL FUND	A	Int./Div.	J	T					
13. PCGTX PACE MORTGAGE BACKED MUTUAL FUND	A	Int./Div.	J	T					
14. PCSIX STRATEGIC FIXED MUTUAL FUND	A	Int./Div.	J	T					
15. PAPSX PACE ALTERNATIVE MUTUAL FUND	A	Int./Div.	J	T					
16. AI ARLINGTON ASSET INV COMMON STOCK	A	Int./Div.	J	T					
17. IACLX VOYA CORPORATE LEADERS MUTUAL FUND	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. IAGEX VOYA GLOBAL EQUITY DIVIDEND MUTUAL FUND	A	Int./Div.	J	T					
19. IEDAX VOYA LARGE CAP MUTUAL FUND	A	Int./Div.	K	T					
20. UNITS FT BIOTECHNOLOGY UNIT INVESTMENT TRUST	A	Int./Div.			Sold	04/13/18	J	A	
21. IAU 1 SHARES GOLD ETF	A	Int./Div.	J	T					
22. SKRSX DEUTSCHE ENHANCED COMMODITY MUTUAL FUND	A	Int./Div.	J	T					
23. HACK PUREFUNDS CYBER FUND	A	Int./Div.	J	T					
24. PCEXX PACED MONEY MARKET	A	Interest	J	T					
25. PCEMX INTERNATIONAL EMERGING MUTUAL FUND	A	Int./Div.	J	T					
26. PCEIX INTERNATIONAL EQUITY MUTUAL FUND	A	Int./Div.	J	T					
27. PCLCX LARGE CO GROWTH MUTUAL FUND	A	Int./Div.	J	T					
28. PCLVX LARGE VALUE MUTUAL FUND	A	Int./Div.	J	T					
29. PCSGX SMALL GROWTH MUTUAL FUND	A	Int./Div.	J	T					
30. PCSVX SMALL VALUE MUTUAL FUND	A	Int./Div.	J	T					
31. PHYPX PACE HIGH YIELD MUTUAL FUND	A	Int./Div.	J	T					
32. PCGLX PACE INTERNATIONAL MUTUAL FUND	A	Int./Div.	J	T					
33. PCGTX PACE MORTGAGE BACKED MUTUAL FUND	A	Int./Div.	J	T					
34. PCSIX PACE FIXED MUTUAL FUND	A	Int./Div.	J	T					

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2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. PAPSX PACE ALTERNATIVE MUTUAL FUND	A	Int./Div.	J	T					
36. WPC WP CAREY REIT	A	Int./Div.	K	T					
37. HACK PURE FUNDS CYBER FUNDS	A	Int./Div.	J	T					
38. CWGIX AMERICAN FUNDS MUTUAL FUNDS	A	Int./Div.	J	T					
39. FAEGX FIDELITY ADVISOR GROWTH MUTUAL FUND	A	Int./Div.	J	T					
40. VAFAX INVESCO AMERICAN MUTUAL FUND	A	Int./Div.	J	T					
41. UNITS FT BIOTECHNOLOGY PORTFOLIO SERIES UIT	A	Int./Div.	J	T					
42. PYICX PIONEER HIGH YIELD MUTUAL FUND	A	Dividend	J	T					
43. IAU 1 SHARES GOLD ETF	A	Int./Div.	J	T					
44. CSCOCISCO COMMON STOCK	A	Dividend	J	T					
45. KO COCA COLA COMMON STOCK	A	Dividend	J	T					
46. JNJ JOHNSON AND JOHNSON	A	Dividend	J	T					
47. MSFT MICROSOFT COMMON STOCK	A	Dividend	J	T					
48.									
49. PQNAX ALLIANZ MID CAP FUND	A	Int./Div.	J	T					
50. BMCAX BLACK ROCK MUTUAL FUND	A	Int./Div.	J	T					
51. SQOVX FIRST EAGLE OVERSEAS	A	Int./Div.	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. OAKIX OAKMARK INTL MUTUAL FUNSD	A	Int./Div.	K	T					
53. UNITS FT BIOTECHNOLOGY UIT	A	Int./Div.	J	T					
54.									
55. CALVERT BALANCED PORTFOLIO	A	Int./Div.	L	T					
56. CALVERT EQUITY FUNDDC 529	A	Int./Div.	L	T					
57. STATE STREET EQUITY FUND DC 529	A	Int./Div.	L	T					
58. BLACK ROCK LIQUIDITY MUTUAL FUND	A	Int./Div.	K	T					
59. DC STABLE VALUE FUND	A	Int./Div.	K	T					
60. WELLS FARGO CHECKING	A	Interest	K	T					
61. WELLS FARGO SAVINGS	A	Interest	J	T					
62. UBS BANK DEPOSIT ACCOUNT	A	Interest	J	T					
63. AMERICAN CENTURY GROWTH FUND	A	Int./Div.	J	T					
64. AMERICAN FUNDS GROWTH FUND OF AMERICA	A	Int./Div.	K	T					
65. FIRST EAGLE OVERSEAS FUND	A	Int./Div.	J	T					
66. ARIEL FUND	A	Int./Div.	K	T					
67. BLACK ROCK CORE EQUITY	A	Int./Div.	J	T					
68. DWS ENHANCED COMMUNITY STRATEGY FUND	A	Int./Div.	J	T					

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69. JPMORGAN EMERGING ECONOMIES FUND	A	Int./Div.	J	T					
70. PINEBRIDGE GLOBAL SOCIAL AWARENESS FUND	A	Int./Div.	J	T					
71. ING CORE EQUITY RESEARCH FUND	A	Int./Div.	K	T					
72. ING CORPORATE LEADERS 100 FUND	A	Int./Div.	J	T					
73. ING GLOBAL EQUITY DIVIDEND FUND	A	Int./Div.	J	T					
74. METLIFE STABLE FUND	A	Int./Div.	J	T					
75. MFS TOTAL RETURN FUND	A	Int./Div.	J	T					
76. SSGA CLARION REAL ESTATE FUND	A	Int./Div.	J	T					
77. SSGA INTERNATIONAL STOCK SELECTION FUND	A	Int./Div.	J	T					
78. SSGA S AND P 500INDEX FUND	A	Int./Div.	K	T					
79. T ROWE PRICE SMALL CAP VALUE FUND	B	Int./Div.	L	T					
80. VANGUARD WELLINGTON FUND	A	Int./Div.	K	T					
81. VANGUARD WINDSOR II FUND	A	Int./Div.	K	T					
82. VALIC SCIENCE AND TECHNOLOGY FUND	A	Int./Div.	J	T					
83. VALIC FIXED ACCOUNT PLUS	A	Interest	J	T					
84. FERXX TAX EXEMPT FUND CAPITAL RESERVES	A	Interest	J	T					
85. FPRXX PRIME CAPITAL MONEY MARKET FUND	A	Interest	J	T					

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86. COIFPRP CAPITAL ONE PREFERRED STOCK	B	Int./Div.	K	T					
87. FRCPRE FIRST REPUBLIC PREFERRED STOCK	B	Int./Div.	K	T					
88. HFRZX HIGHLAND FLOATING RATE	A	Int./Div.	J	T					
89. DIST COLUMBIA REV BNOND BDS HOWARD 5.74% 10/1/19 UNIV. SER 2011B	B	Interest	K	T					
90. DC HSG FIN AGY 3.35% 12/1/21 MULTIFAMILY HSG REV BDS	A	Interest	J	T					
91. PUERTO RICO COMWLTH PUB IMPT REF BDS 45 7/1/22 SER 2012A	A	Interest	K	T					
92. AGFZX GLOBAL MANAGED FUND	A	Dividend			Sold	06/19/18	J		
93. IBB BIOTECH ETF	A	Int./Div.	K	T					
94.									
95.									
96. FSIC FS INVESTMENT CORP	A	Dividend	J	T					
97. RAVI FLEXSHARES TRUST READY ACCESS VAR	A	Dividend			Sold	11/19/18	K		
98. XLK TECHNOLOGY ETF	A	Dividend			Sold	10/05/18	K		
99. SPY S AND P 500	A	Dividend	L	T					
100. WRREX RESOURCE REAL ESTATE	B	Dividend	K	T					
101. ESG FLEXSHARES TR STOX INDEX	A	Int./Div.	K	T					

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102. INO INOVIO PHARM	A	Int./Div.	J	T					
103. PCEF POWERSHARES INCOME COMPOSITE	A	Int./Div.	K	T					
104. FRCDX FIRST INVESTORS COV CALL STRAT	A	Int./Div.	K	T					
105. SFL SHIP FINANCE INT COM	A	Int./Div.	J	T					
106. OHIO NATIONAL VA LEGG MASON DYNAMIC MULTI STRAT VIT PORTFOLIO	A	Dividend	L	T					
107. OHIO NATIONAL VA TOPS MANAGED MODERATE GROWTH	A	Dividend	L	T					
108. OHIO NATIONAL VA FIDELITY VIP VOL PORTFOLIO	A	Dividend	L	T					
109. OHIO NATIONAL VA INTECH US LOW VOL PORTFOLIO	A	Dividend	L	T					
110. AGFZX 361 GLOBAL COUNTER	A	Dividend	J	T					
111. IBUY AMPLIFY ETF	A	Dividend	K	T	Buy	11/19/18	K		
112. ITA US AER DEF ETF	A	Dividend	K	T	Buy	11/19/18	K		
113. VIVALDI MERGER VARBX	A	Dividend	K	T	Buy	09/17/18	K		
114. RCIWX RESOURCE CREDIT FUND	A	Dividend	J	T	Buy	05/25/18	J		
115. HCMGX HCM TACTICAL MNGMT	A	Dividend	K	T	Buy	09/17/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

CHUTKAN, TANYA S.

Date of Report

05/14/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

AMENDMENT TO PART IV REIMBURSEMENTS

YALE LAW SCHOOL
3/11/15 THROUGH 3/12/15
NEW HAVEN CT
LAW STUDENT CAREER SEMINAR
TRANSPORTATION MEALS LODGING

HARVARD LAW SCHOOL
3/21/17 THROUGH 3/22/17
CAMBRIDGE MASS
MOOT COURT
LODGING TRANSPORTATION MEALS

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

CHUTKAN, TANYA S.

Date of Report

05/14/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ TANYA S. CHUTKAN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544