

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Burns, Larry A.	2. Court or Organization Southern District of California	3. Date of Report 05/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States District Court 333 West Broadway, Suite 1410 San Diego, CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. Various	Matthew Bender Publications	\$2,443.01
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Association of Business Trial Lawyers	10/9/18 - 10/15/18	Maui, Hawaii	Conference	Transportation, Lodging, Registration
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BABA (200 Shares)		None			Sold	01/05/18	K	A	
2. BABA (200 Shares)		None			Sold	02/23/18	K	A	
3. BABA (200 Shares)		None			Sold	02/23/18	K	A	
4. BABA (200 Shares) (bought 02/09/18)		None			Sold	02/23/18	K	A	
5. BABA (200 Shares) (bought 10/05/18)		None			Sold	10/12/18	K	A	
6. BABA (100 Shares) (bought 10/19/18)		None			Sold	11/02/18	J	A	
7. BABA (200 Shares) (bought 10/05/18)		None			Sold	11/02/18	K	A	
8. BABA Option		None			Sold	09/21/18	J	A	
9. BABA Option		None			Sold	10/29/18	J	A	
10. BABA Option		None			Sold	11/15/18	J	B	
11. BABA Option		None			Sold	11/16/18	J	A	
12. BABA Option		None			Sold	02/02/18	J	A	
13. NVDA Option		None			Sold	03/09/18	J	A	
14. BABA Option		None			Sold	12/03/18	J	A	
15. BABA Option		None			Sold	12/20/18	J	A	
16. BABA Option		None			Sold	01/09/18	J	A	
17. BABA Option		None			Sold	01/24/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. BABA Option		None			Sold	02/09/18	J	A	
19. NVDA Option		None			Sold	03/29/18	J	A	
20. BABA Option		None			Sold	12/18/18	J	A	
21. BABA Option		None			Sold	09/28/18	J	A	
22. BABA Option		None			Sold	09/28/18	J	A	
23. BABA Option		None			Sold	10/25/18	J	A	
24. BABA Option		None			Sold	10/23/18	J	A	
25. BABA Option		None			Sold	10/10/18	J	A	
26. BABA Option		None			Sold	10/16/18	J	A	
27. BABA Option		None			Sold	11/26/18	J	A	
28. BABA Option		None			Sold	11/30/18	J	A	
29. BABA Option		None			Sold	11/30/18	J	A	
30. BABA Option		None			Sold	11/14/18	J	A	
31. BABA Option		None			Sold	12/24/18	J	A	
32. BABA Option		None			Sold	08/24/18	J	A	
33. BABA (100 Shares)		None			Sold	02/02/18	K	A	
34. BABA (100 Shares)		None			Sold	02/02/18	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. BABA (200 Shares)		None			Sold	02/02/18	K	A	
36. BABA (400 Shares)		None			Sold	02/02/18	L	B	
37. BABA (4600 Shares)		None			Sold	02/02/18	O	E	
38. BABA (4600 Shares)		None			Sold	02/02/18	O	E	
39. BABA (9800 Shares) (bought on 02/02/18)		None			Sold	09/06/18	P1	A	
40. BABA Option		None			Sold	07/13/18	J	A	
41. BABA Option		None			Sold	09/20/18	K	E	
42. BABA Option		None			Sold	09/06/18	J	A	
43. BABA Option		None			Sold	09/06/18	J	A	
44. BABA Option		None			Sold	09/06/18	J	A	
45. BABA Option		None			Sold	09/06/18	J	A	
46. BABA Option		None			Sold	09/06/18	J	B	
47. BABA Option		None			Sold	11/16/18	J	C	
48. FB Option		None			Sold	06/15/18	J	B	
49. BABA Option		None			Sold	11/01/18	J	D	
50. BABA Option		None			Sold	12/17/18	J	D	
51. BABA Option		None			Sold	07/20/18	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. BABA Option		None			Sold	07/20/18	J	C	
53. BABA Option		None			Sold	01/05/18	J	A	
54. BABA Option		None			Sold	01/05/18	J	A	
55. BABA Option		None			Sold	01/05/18	J	B	
56. BABA Option		None			Sold	01/05/18	J	A	
57. BABA Option		None			Sold	01/05/18	J	A	
58. BABA Option		None			Sold	01/05/18	J	C	
59. FB Option		None			Sold	04/19/18	J	A	
60. FB Option		None			Sold	04/19/18	J	B	
61. BABA Option		None			Sold	05/04/18	J	D	
62. BABA Option		None			Sold	01/02/18	J	A	
63. BABA Option		None			Sold	01/02/18	J	A	
64. BABA Option		None			Sold	01/02/18	J	A	
65. NVDA Option		None			Sold	02/14/18	J	B	
66. BABA Option		None			Sold	10/05/18	J	A	
67. BABA Option		None			Sold	10/05/18	J	A	
68. BABA Option		None			Sold	06/07/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. BABA Option		None			Sold	06/07/18	J	B	
70. BABA Option		None			Sold	06/07/18	J	B	
71. BABA Option		None			Sold	06/07/18	J	B	
72. BABA Option		None			Sold	06/07/18	J	C	
73. BABA Option		None			Sold	06/07/18	J	C	
74. BABA Option		None			Sold	06/07/18	J	C	
75. BABA Option		None			Sold	06/07/18	J	C	
76. BABA Option		None			Sold	04/02/18	J	A	
77. BABA Option		None			Sold	04/02/18	J	A	
78. BABA Option		None			Sold	04/02/18	J	A	
79. BABA Option		None			Sold	04/02/18	J	A	
80. BABA Option		None			Sold	04/02/18	J	A	
81. BABA Option		None			Sold	04/02/18	J	A	
82. BABA Option		None			Sold	04/02/18	J	B	
83. BABA Option		None			Sold	04/02/18	J	A	
84. BABA Option		None			Sold	04/02/18	J	C	
85. NVDA Option		None			Sold	03/13/18	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. BABA Option		None			Sold	03/09/18	J	B	
87. BABA Option		None			Sold	03/09/18	J	A	
88. BABA Option		None			Sold	03/09/18	J	A	
89. BABA Option		None			Sold	03/09/18	J	B	
90. BABA Option		None			Sold	03/09/18	J	B	
91. BABA Option		None			Sold	01/05/18	J	B	
92. BABA Option		None			Sold	01/05/18	J	B	
93. BABA Option		None			Sold	01/24/18	J	A	
94. BABA Option		None			Sold	01/24/18	K	A	
95. BABA Option		None			Sold	01/26/18	J	C	
96. BABA Option		None			Sold	01/26/18	K	D	
97. BABA Option		None			Sold	01/31/18	J	A	
98. BABA Option		None			Sold	01/31/18	K	A	
99. BABA Option		None			Sold	01/31/18	J	A	
100. BABA Option		None			Sold	01/31/18	J	A	
101. BABA Option		None			Sold	01/31/18	J	A	
102. BABA Option		None			Sold	01/31/18	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. BABA Option		None			Sold	01/31/18	J	B	
104. BABA Option		None			Sold	01/31/18	J	B	
105. BABA Option		None			Sold	01/31/18	J	B	
106. BABA Option		None			Sold	01/31/18	J	C	
107. FB Option		None			Sold	04/06/18	J	B	
108. NVDA Option		None			Sold	03/07/18	J	A	
109. BABA Option		None			Sold	03/23/18	J	D	
110. FB Option		None			Sold	04/26/18	J	A	
111. BABA Option		None			Sold	06/04/18	J	A	
112. BABA Option		None			Sold	06/04/18	J	A	
113. BABA Option		None			Sold	06/04/18	J	A	
114. BABA Option		None			Sold	06/04/18	J	A	
115. BABA Option		None			Sold	06/04/18	J	A	
116. BABA Option		None			Sold	06/04/18	J	A	
117. FB Option		None			Sold	05/23/18	J	B	
118. BABA Option		None			Sold	07/13/18	J	D	
119. BABA Option		None			Sold	06/22/18	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Burns, Larry A.

Date of Report

05/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. BABA Option		None			Sold	06/22/18	J	D	
121. BABA Option		None			Sold	06/21/18	J	D	
122. BABA Option		None			Sold	06/19/18	J	A	
123. BABA Option		None			Sold	06/19/18	J	A	
124. BABA Option		None			Sold	06/19/18	J	B	
125. BABA Option		None			Sold	06/19/18	J	C	
126. BABA Option		None			Sold	06/19/18	J	C	
127. BABA Option		None			Sold	06/19/18	J	C	
128. BABA Option		None			Sold	06/19/18	J	C	
129. BABA Option		None			Sold	08/03/18	J	A	
130. BABA Option		None			Sold	09/13/18	K	E	
131. BABA Option		None			Sold	01/18/18	J	A	
132. BABA Option		None			Sold	01/18/18	J	B	
133. BABA Option		None			Sold	01/18/18	J	D	
134. BABA Option		None			Sold	01/19/18	K	E	
135. BABA Option		None			Sold	12/07/18	J	A	
136. BABA Option		None			Sold	12/07/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Burns, Larry A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. BABA Option		None			Sold	12/07/18	J	C	
138. BABA Option		None			Sold	12/07/18	J	A	
139. BABA Option		None			Sold	12/07/18	J	A	
140. BABA Option		None			Sold	12/20/18	J	C	
141. BABA Option		None			Sold	01/23/18	J	D	
142. BABA Option		None			Sold	01/25/18	J	A	
143. BABA Option		None			Sold	01/26/18	J	A	
144. BABA Option		None			Sold	01/26/18	J	A	
145. BABA Option		None			Sold	01/26/18	J	A	
146. BABA Option		None			Sold	01/26/18	J	B	
147. BABA Option		None			Sold	01/26/18	J	B	
148. BABA Option		None			Sold	01/26/18	J	C	
149. NVDA Option		None			Sold	02/09/18	J	B	
150. NVDA Option		None			Sold	01/26/18	J	A	
151. NVDA Option		None			Sold	01/26/18	J	A	
152. BABA Option		None			Sold	02/02/18	K	E	
153. BABA Option		None			Sold	02/13/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Burns, Larry A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. BABA Option		None			Sold	02/09/18	J	A	
155. BABA Option		None			Sold	02/09/18	J	D	
156. NVDA Option		None			Sold	02/16/18	J	A	
157. NVDA Option		None			Sold	02/16/18	J	A	
158. NVDA Option		None			Sold	02/16/18	J	A	
159. NVDA Option		None			Sold	02/16/18	J	A	
160. NVDA Option		None			Sold	02/16/18	J	A	
161. BABA Option		None			Sold	03/01/18	J	B	
162. BABA Option		None			Sold	03/01/18	J	C	
163. NVDA Option		None			Sold	03/02/18	J	B	
164. NVDA Option		None			Sold	03/23/18	J	B	
165. FB Option		None			Sold	03/29/18	J	B	
166. NVDA Option		None			Sold	03/29/18	J	C	
167. FB Option		None			Sold	05/11/18	J	B	
168. BABA Option		None			Sold	04/03/18	J	C	
169. BABA Option		None			Sold	04/03/18	J	C	
170. FB Option		None			Sold	04/13/18	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Burns, Larry A.

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05/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. BABA Option		None			Sold	04/24/18	J	D	
172. FB Option		None			Sold	04/26/18	J	B	
173. BABA Option		None			Sold	05/25/18	K	D	
174. FB Option		None			Sold	05/11/18	J	B	
175. BABA Option		None			Sold	05/30/18	J	A	
176. BABA Option		None			Sold	05/30/18	K	D	
177. FB Option		None			Sold	05/11/18	J	B	
178. BABA Option		None			Sold	08/31/18	J	C	
179. BABA Option		None			Sold	08/24/18	J	B	
180. BABA Option		None			Sold	08/24/18	J	D	
181. BABA Option		None			Sold	11/15/18	J	B	
182. BABA Option		None			Sold	11/14/18	K	D	
183. BABA Option		None			Sold	11/15/18	J	A	
184. BABA Option		None			Sold	11/15/18	J	C	
185. BABA Option		None			Sold	09/27/18	J	D	
186. BABA Option		None			Sold	09/28/18	J	C	
187. BABA Option		None			Sold	11/07/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Burns, Larry A.

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05/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. BABA Option		None			Sold	11/07/18	J	A	
189. BABA Option		None			Sold	11/07/18	J	A	
190. BABA Option		None			Sold	11/26/18	J	D	
191. BABA Option		None			Sold	11/30/18	J	D	
192. BABA Option		None			Sold	12/13/18	J	D	
193. BABA Option		None			Sold	12/18/18	J	A	
194. BABA Option		None			Sold	12/18/18	J	A	
195. BABA Option		None			Sold	12/18/18	J	B	
196. BABA Option		None			Sold	12/18/18	J	B	
197. BABA Option		None			Sold	12/27/18	J	C	
198. BABA Option		None			Sold	12/21/18	J	A	
199. BABA Option		None			Sold	12/21/18	J	A	
200. BABA Option		None			Sold	12/21/18	J	A	
201. BABA Option		None			Sold	12/21/18	J	B	
202. BABA Option		None			Sold	12/21/18	J	A	
203. FB Option		None			Sold	06/22/18	J	B	
204. BABA Option		None			Sold	06/29/18	J	B	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Burns, Larry A.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. BABA Option		None			Sold	06/27/18	J	A	
206. BABA Option		None			Sold	06/27/18	J	A	
207. BABA Option		None			Sold	06/27/18	J	A	
208. BABA Option		None			Sold	06/27/18	J	A	
209. BABA Option		None			Sold	06/27/18	J	A	
210. BABA Option		None			Sold	06/27/18	J	A	
211. BABA Option		None			Sold	06/27/18	J	A	
212. BABA Option		None			Sold	06/27/18	J	B	
213. BABA Option		None			Sold	06/27/18	J	B	
214. BABA Option		None			Sold	06/27/18	J	B	
215. BABA Option		None			Sold	07/24/18	J	B	
216. BABA Option		None			Sold	07/25/18	J	A	
217. BABA Option		None			Sold	07/25/18	J	A	
218. BABA Option		None			Sold	07/25/18	J	A	
219. BABA Option		None			Sold	07/27/18	J	D	
220. FB Option		None			Sold	07/27/18	J	A	
221. BABA Option		None			Sold	08/10/18	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Burns, Larry A.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. BABA Option		None			Sold	08/08/18	J	D	
223. BABA Option		None			Sold	08/02/18	J	C	
224. BABA Option		None			Sold	07/20/18	J	B	
225. BABA Option		None			Sold	08/15/18	J	A	
226. BABA Option		None			Sold	08/15/18	J	D	
227. BABA Option		None			Sold	08/23/18	J	C	
228. BABA Option		None			Sold	08/17/18	J	A	
229. BABA Option		None			Sold	08/23/18	J	A	
230. BABA Option		None			Sold	08/24/18	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Burns, Larry A.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Larry A. Burns**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544