AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Constantine, Katherine A.	Minnesota Bankruptcy Court	05/03/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
US Bankruptcy Court Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2018 **to** 12/31/2018
7. Chambers or Office Address		
Suite 206 316 No Robert Street St Paul MN 55101		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust #1
2.	Conservator/Guardian Payee	Twin City Federal Account #1
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2013/2014	Dorsey & Whitney LLP (former law firm) Retirement Payment Agreement, fixed payments/no control
2.		
3.		

Name of Person Reporting	Date of Report
Constantine, Katherine A.	05/03/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2018	Dorsey & Whitney LLP-retirement and pension	$84,286.50
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2016	Brocade Communication Systems, Inc. - salary & bonus
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	National Conference of Bankruptcy Judges	October 28-31, 2018	San Antonio, TX	meetings and educational programs	partial lodging
2.	American Bankruptcy Institute/Midwestern Bankruptcy Institute	October 11-12, 2018	Kansas City, MO	educational programs/ speaker	travel, food, lodging, registration fee
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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Constantine, Katherine A.	05/03/2019

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Brokerage #1 (H)									
2. USB Dep Acct (cash account)	A	Interest	K	T					
3. AT&T (common)	A	Dividend			Sold	06/26/18	K		
4. FT-Preferred Secur & Inc (ETF)	A	Dividend	K	T	Buy	06/26/18	K		
5. Global X Fd NASDAQ 100 Covered Call (ETF)		None	K	T	Spinoff (from line 6)	12/24/18	K		See part VIII
6. Horizons NASDAQ 100 Covered Call (ETF)	A	Dividend			Buy	06/26/18	K		
7.						12/24/18			See part VIII
8. Invesco Financial Preferred(ETF)	A	Dividend	K	T	Spinoff (from line 15)	06/01/18			See part VIII
9. Proctor & Gamble (common)	A	Dividend			Buy (add'l)	03/27/18	J		
10.					Sold	06/26/18	K	B	
11. Select Sector Spdr Tr Utilities Sector (Index Fd)	A	Dividend	K	T					
12. Southern Co. (common)	A	Dividend	J	T					
13. Target (common)	A	Dividend	K	T					
14. Xcel Energy (common)	A	Dividend	K	T					
15. Powershares Finl pfd (ETF)	A	Dividend				06/01/18			See part VIII
16. Fridley MN GO (Bond)	A	Interest	K	T					
17. MN Hsg Pub Svc Rev (Bond)	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Constantine, Katherine A.	05/03/2019

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Mpls MN Library GO (Bond)	A	Interest	K	T					
19. Mpls MN Spl Sch Dist GO (Bond)	A	Interest	K	T					
20. MN St GO Various Purp GO (Bond)	A	Interest	K	T					
21. MN St GO Trunk Hwy (Bond)	A	Interest			Sold	09/14/18	K		
22. MN Hsg Res Rev (Bond)	B	Interest	K	T	Redeemed (part)	07/01/18	J		
23. U S Treasury Note (Bond)	A	Interest	K	T	Buy	09/14/18	K		
24.									
25. Brokerage #2 (H)									
26. UBS RMA Gov't Money Mkt Fd	A	Interest	J	T					
27. Invesco Diversified Divs (Mutual Fd)	A	Dividend	K	T					
28. (Metropolitan West Ttl Retrn (Mutual Fd)	A	Dividend	K	T					
29. Anoka Cnty MN GO (Bond)	A	Interest	K	T					
30.									
31. Brokerage #3 (H)									
32. UBS USA Deposit (money market)	A	Interest	L	T					
33. Activision Blizzard (common)		None			Sold	01/08/18	J	B	
34. Adobe Systems (common)		None	K	T	Buy (add'l)	01/08/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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35.					Sold (part)	06/26/18	J	C	
36. Alphabet FKA Google (common)		None	K	T	Sold (part)	06/29/18	J	B	
37. Amazon (common)		None	K	T					
38. Apple (common)	A	Dividend	K	T	Sold (part)	06/26/18	J	B	
39. AT&T (pfd)		None	K	T	Buy	12/17/18	K		
40. Biogen (commom)		None			Sold	01/08/18	J	B	
41. Blackrock Municipal (mutual fund)	A	Dividend			Sold (part)	11/13/18	J		See part VIII
42.					Sold	12/17/18	J		See part VIII
43. Boeing (common)		None			Sold	01/08/18	J	D	
44. Broadcom Inc (common)	A	Dividend	K	T	Spinoff (from line 47)	04/04/18			See part VIII
45.					Sold (part)	08/13/18	J	A	
46.					Sold (part)	09/24/18	J	C	
47. Broadcom Ltd (common)	A	Dividend				04/04/18			See part VIII
48. Carnival Corp (common)		None			Sold	01/08/18	J	A	
49. Chevron Corp. (common)		None			Sold	01/08/18	J	B	
50. Comcast Corp (class A)	A	Dividend			Sold	05/10/18	J	C	
51. Costco Wholesale (common)	A	Dividend	K	T	Sold (part)	06/26/18	J	C	

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52.					Sold (part)	06/29/18	J	A	
53. Delta Airlines (common)		None			Sold	01/08/18	J	C	
54. Donaldson Co (common)	A	Dividend			Sold	06/26/18	K		See part VIII
55. Eli Lilly (common)	A	Dividend			Buy (add'l)	01/08/18	K		
56.					Sold	06/26/18	K	B	
57. Facebook (common)		None			Buy (add'l)	01/08/18	J		
58.					Sold	06/26/18	K	D	
59. Ford (common)	B	Dividend			Buy (add'l)	09/14/18	K		
60.					Sold	12/17/18	K		See part VIII
61. FT Pfd Sec & Inc (ETF)	B	Dividend			Sold (part)	09/14/18	K		See part VIII
62.					Sold	12/17/18	K		See part VIII
63. Home Depot (common)	A	Dividend	K	T	Sold (part)	06/29/18	J	C	
64. Honeywell Int'l		None			Sold	01/08/18	J	B	
65. Invesco Financial	A	Dividend				06/01/18			See part VIII
66.					Sold	12/17/18	K		See part VIII
67. Ishares IBonds 2022 (ETF)	A	Dividend	K	T					
68. Ishares Natl Muni Bd (ETF)	A	Dividend	K	T	Buy	11/13/18	J		

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69.					Buy (add'l)	12/17/18	J		
70. JP Morgan pfd (pfd)		None			Buy	05/10/18	K		
71.					Sold	06/26/18	K	A	
72. Microsoft Corp (common)	A	Dividend	K	T	Sold (part)	06/26/18	J	B	
73.					Sold (part)	06/29/18	J	C	
74. Nextera Energy (common)		None			Sold	01/08/18	J	B	
75. Nvidia (common)	A	Dividend			Sold	12/17/18	J		See part VIII
76. Pepsico (common)	A	Dividend			Buy (add'l)	01/08/18	K		
77.					Sold	06/26/18	K	A	
78. Powershares Financial (ETF)	A	Dividend			Merged (with line 65)	06/01/18			See part VIII
79. Raytheon Corp (common)	A	Dividend			Sold	02/01/18	J	B	
80. Select Fin'l Sector SPDR Tr (ETF)	A	Dividend			Sold	06/26/18	J	E	
81. Stryker Corp (common)	A	Dividend			Sold	01/08/18	J	B	
82. US Bancorp (pfd)		None	K	T	Buy	01/19/18	K		
83. Vanguard S & P Small Cap (mutuial fd)	A	Dividend			Sold	12/17/18	K		See part VIII
84. Vulcan Materials (common)	A	Dividend			Sold	01/10/18	J	C	
85.									

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86.									
87.									
88. Brokerage #5 (H)									
89. UBS Bank USA Dep Acct (money mkt fd)	A	Interest	J	T					
90. Blackrock Eq Div Tr (ETF)	B	Dividend	K	T	Sold (part)	06/26/18	J	B	
91. Brighthouse Shield (Annuity)		None	M	T	Buy	06/27/18	M		See part VIII
92. Delaware Invts MN Muni Inc (mutual fund)	A	Dividend			Sold	06/26/18	K		See part VIII
93. MN Hsg Pub Human Svc (Bond)	A	Interest	K	T					
94. Orono MN School Dist (Bond)	A	Dividend			Sold	09/14/18	K		See part VIII
95. U S Treasury Note (Bond)	A	Interest	K	T	Buy	09/14/18	K		
96. Verizon Communications (common)	A	Dividend	K	T					
97. Xcel Energy (common)	A	Dividend	K	T					
98.									
99.									
100.									
101. Brokerage Acct #7 (H)									
102. UBS Bank USA Dep Acct (cash account)	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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103. Amer Fds Euro Pac Gr (mutual fund)	B	Dividend	K	T					
104. Apple Inc	A	Dividend	K	T	Buy	06/26/18	K		
105. Dodge & Cox Fund Int'l Stock (mutual fund) Class F	B	Dividend	L	T					
106. Dodge & Cox Income (mutual fund) Class I	C	Dividend	M	T	Buy (add'l)	03/23/18	L		
107. Fidelity Int'l Gr Fd (mutual Fd)	A	Dividend	K	T	Buy	06/27/18	K		
108. Fidelity New Insights (cl i mutual fund)	E	Dividend	M	T					
109. First Trust Pfd Income Fd (ETF)	B	Dividend	K	T					
110. First Trust Exchange Fd (mutual fund)	A	Dividend	K	T					
111. Global X Fd		None	K	T	Spinoff (from line 112)	12/24/18			see part VIII
112. Horizons NASDAQ	B	Dividend			Buy	06/26/18	K		
113.						12/24/18			see part VIII
114. IShares Core S&P Midcap (ETF)	A	Dividend	K	T	Buy	06/26/18	K		
115. IShares Russell 1000 Gr (ETF)	A	Dividend	L	T					
116. IShares Russell 1000 Value (ETF)	C	Dividend	M	T					
117. IShares S&P Sm Cap 600 (ETF)	B	Dividend	L	T					
118. Mairs & Power Fd Sm Cap Fd (mutual fund)	A	Dividend	K	T					
119. Metropolitan West Total Return Bond (mutual fund)	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
120. MFS Div Inc (mutual fund)	A	Dividend			Sold	03/23/18	L	A	
121. Parnassus Core Equity Fd (mutual fund)	A	Dividend			Sold	06/26/18	M	E	
122. T Rowe Price Gr Stk (mutual fund)	D	Dividend	M	T					
123. The Hartford Midcap Fd (mutual fund)	C	Dividend	K	T	Buy	06/27/18	K		
124. Vanguard Total Bond Market (ETF)	B	Dividend	L	T					
125. Vanguard Admiral S&P MidCap400 Index (ETF)	A	Dividend	K	T					
126. Vanguard Admiral S&P Sm Cap 600 Index (ETF)	A	Dividend	K	T					
127. Vanguard IntDiv Appr Index (ETF)	A	Dividend	K	T	Buy	06/26/18	K		
128. Wisdom Tree US MidkCap Div (ETF)	A	Distribution	K	T	Buy	06/26/18	K		
129. Sallie Mae Bk Slt Lake City (C.D.)	A	Interest			Redeemed	11/13/18	K		
130. GE Cap Retrail Bk Utah (C.D.)	B	Interest	K	T					
131. GE Cap Retail Bk Draper Utah(C.D.)	B	Interest	K	T					
132. Goldman Sachs Bk USA NY (C.D.)	B	Interest	K	T					
133. AT & T unsecured OID (pfd)	B	Interest	K	T					
134. AXA Variable Annuity		None	M	T					See Part VIII
135.									
136. Brokerage #8 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. UBS Bank USA Deposit Acct (cash account)	A	Interest	K	T					
138. Amazon (common)		None	K	T					
139. Amer Fds Euro Pac Gr (cl f-2 mutual fund)	C	Dividend	L	T					
140. Dodge & Cox Income Fd (mutual fd)	B	Dividend	L	T	Buy (add'l)	07/12/18	K		
141. Dunkin Brands (common)	A	Dividend	K	T					
142. Fidelity New Insights (mutual fund)	E	Dividend	M	T					
143. First Trust Pfd Inc (ETF)	B	Dividend	K	T					
144. Ford (common)	B	Dividend	K	T					
145. Fundamental Invs (mutual fund)	D	Dividend	M	T					
146. General Electric (common)	A	Dividend							See part VIII
147. Ishares Biotechnology (ETF)	A	Dividend	K	T					
148. Ishares Russell Mid Cap Gr (ETF)	A	Dividend	K	T					
149. Ishares MSCI Switz Capped (ETF)	A	Dividend	K	T					
150. Invesco Fin'l Pfd (ETF)	A	Dividend	K	T	Spinoff (from line 159)	06/01/18			See part VIII
151. JP Morgan Chase 6.125% (pfd)	A	Dividend			Buy	01/11/18	K		
152.					Sold	07/12/18	K	A	
153. Mairs & Pwr Small Cap Fd (mutual fund)	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
154. Mairs & Powers Growth Fd (mutual fd)	C	Dividend	K	T	Buy (add'l)	01/11/18	K		
155. Metropolitan West Total Return Bond (mutual fund)	B	Dividend	L	T					
156. MFS Growth (mutual fund)	B	Dividend	K	T					
157. MFS Utilities Fd (mutual fund)	A	Dividend			Sold	06/26/18	J		See part VIII
158. Parnassus Mid Cap (mutual fd)	B	None	K	T	Buy (add'l)	06/26/18	K		
159. Powershares Fin'l pfd (ETF)	A	Dividend				06/01/18			See part VIII
160. Royal Dutch (ADR)	B	Dividend	K	T					
161. Seagate Tech (common)	A	Distribution							See part VIII
162. Square Inc (common)		None	K	T					
163. Tr for Prof Mgrs Snow Cap Small Cap Value (mutual fd)			J	T					See part VIII
164. Vanguard Admiral Small Cap Fd (mutual fund)	A	Dividend	K	T					
165. Vanguard Admiral Mid Cap 400 Fd (mutual fund)	A	Dividend	K	T					
166. Vanguard Div Appr (ETF)	A	Dividend	K	T					
167. Xcel Energy (common)	B	Dividend	K	T	Buy (add'l)	01/11/18	K		
168.									
169. Brokerage #9 (H) Etrade									
170.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
Constantine, Katherine A.	05/03/2019

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
171. Twin City Federal Account #1(conservator/ Guardian		None	J	T					
172.									
173. Account #10 (H)									
174. UBS Bank USA Deposit Acct (cash account)	A	Interest	M	T					
175. Ally Bk Midvale Utah (C.D.)	B	Interest			Redeemed (part)	06/15/18	K		See part VIII
176.					Redeemed	12/17/18	K		See part VIII
177. Goldman Sach Bk Retail (C.D.)	A	Interest	K	T					
178. American Express Cent Bk (C.D.)	A	Interest			Redeemed	12/04/18	K		See part VIII
179. US Treas Note 1.5% (Treas)		None	K	T	Buy	06/26/18	L		
180. U S Treas Note 3.125% (Treas)		None	L	T	Buy	11/13/18	L		
181.									
182. Trust #1 (H)									
183. UBS Bank USA Bank Deposist (money mkt)	A	Interest	J	T					
184. Sprott Focus Trust	A	Dividend			Sold	05/24/18	J		See part VIII
185. Blackrock Equity Div Tr (ETF0	A	Distribution	J	T	Buy	05/24/18	J		
186.									
187. ComputerShare Holdings (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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Constantine, Katherine A.	05/03/2019

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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188. Discover Financial Svcs (comon)	A	Dividend	J	T					
189. Sears Holding Corp (common)		None	J	T					
190. Sears Canada (common)		None							See part VIII
191. Lands End (common)		None	J	T					
192. Advansix Inc (common)		None	J	T					
193.									
194. Shareowner Online Holdings (H)									
195. Honeywell Int'l (common	A	Dividend	J	T					
196. Allstate Corp (common)	A	Dividend	J	T					
197. Resideo Tech (common)		None	J	T	Spinoff (from line 195)	10/29/18			see part VIII
198. Garrett Motion Inc (common)		None	J	T	Spinoff (from line 195)	10/01/18			see part VIII
199.									
200. Broadridge (H)									
201. Morgan Stanley (common)	A	Dividend	J	T					
202.									
203. U.S. Bank N.A. Accts (cash & CD's)	A	Interest	M	T					
204.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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205. Twin City Federal Acct (Cash)		None	L	T					
206.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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Name of Person Reporting	Date of Report
Constantine, Katherine A.	05/03/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 5, Global X Fds NASDAQ ETF - . Shares were rec'd in exchange from Horizons NASDAQ ETF - no exchange of money. No income rec'd during reporting period

Lines 8 and 15, Invesco shares were rec'd in exchange of Powershares shares- no exchange of money.

Line 33, Activision -No income rec'd during reporting period

Line 34, Adobe Systems - No income received during reporting period

Line 36, Alphabet - No income rec'd during reporting period

Line 37, Amazon - No income rec'd during reporting period

Line 39, AT & T- no income rec'd during reporting period

Line 40, Biogen - No income rec'd during reporting period

Line 43 Boeing - No income rec'd during reporting period

Lines 44 and 47, Broadcom Inc shares were rec'd in exchange for Broadcom LTD shares - No exchange of money.

Line 48, Carnival - No income rec'd during reporting period

Line 49 Chevron -No income rec'd during reporting period

Line 53 Delta - No income rec'd during reporting period

Line 57, Facebook - No income rec'd during reporting period

Line 64 Honeywell - No income rec'd during reporting period

Lines 65 and 78, Invesco shares were rec'd in exchnge of Powershares shares - no exchange of money.

Line 70 JP Morgan - no income rec'd during reporting period

Line 74 Nextara - no income rec'd during reporting period

Line 82 US Bancorp - no income rec'd during the reporting period

Line 91 Brighthouse Annuity - This annuity is invested in a model portfolio that has 34% S&P500, 33% Russell 2000, and 33% MSCI EAFE indicies allocation. I am only able to select the asset allocation percentages between those 3 indexes and that does not change during the holding period.

Lines 111 and 112, Global X Fds NASDAQ ETF - Shares were rec'd in exchange from Horizons NASDAQ ETF - no exchange of money.

Line 134 - AXA Annuity, This annuity is invested in a model portfolio that has 50% moderate allocation and 50% conservative allocation. I am only able to select the asset allocation model, the annuity company makes all investment decisions without consulting me.

Line 146, General Electric - Dividend was rec'd after shares were sold in previous reporting period

Lines 150 and 159, Invesco shares were rec'd in exchange of Powershares shares- no exchange of money.

Line 161 Seagate - Dividend was rec'd after shares were sold in previous reporting period

Line 163 Snowcap Mutual Fund was reported on 2016 report as "sold part" and should have been sold.

Lines 180 and 181 US Treasury Notes - No income rec'd during reporting period

Line 188 American Enterprise - this was sold in 2016

Line 183, Account title/description

Line 190, Sears Canada is the same as Sears Holding

Lines 197 and 198 - Resideo Tech and Garret Motion were both spinoffs from Hoeywell - no exchange of money

Name of Person Reporting	Date of Report
Constantine, Katherine A.	05/03/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Constantine, Katherine A.	05/03/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Katherine A. Constantine**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544