

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FALLON, ELDON E.	2. Court or Organization U.S. District Court, Louisiana	3. Date of Report 04/23/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U. S. DISTRICT COURT 500 POYDRAS STREET - ROOM C456 NEW ORLEANS, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Ex-Officio Board Member/Past President	Louisiana Bar Foundation
2. Trustee	Testamentary Trust
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2018	Thompson-West (book royalty)	\$4,563.82
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Emory University School of Law	04/25/2018-04/27/2018	Atlanta, GA	Teaching educational seminar (speaker)	Housing, food and travel
2. Emory University School of Law	06/03/2018-06/06/2018	Berkeley, CA	Teaching educational seminar (speaker)	Housing, food and travel
3. Emory University School of Law	08/07/2018-08/10/2018	Atlanta, GA	Teaching educational seminar (speaker)	Housing, food and travel
4. Northwestern Pritzker School of Law	11/27/2018-11/29/2018	Chicago, IL	Teaching educational seminar (speaker)	Housing, food and travel
5. University of Miami School of Law	12/06/2018-12/08/2018	Miami, FL	Teaching educational seminar (speaker)	Housing, food and travel

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PARCEL #5, New Orleans, Louisiana	F	Rent	O	W					
2. *PARCEL#6, MS (Pearl River County)		None	P1	W					
3. DUKE COMMON STOCK	A	Dividend	K	T					
4. FIDELITY FUND COMMON STOCK	A	Dividend	L	T					
5. ANADARKO COMMON STOCK	A	Dividend	K	T					
6. VIAD COMMON STOCK	A	Dividend			Sold	09/06/18	J		
7. MONEYGRAM INTERNATIONAL, INC. [Spin off of VIAD]	A	Dividend			Sold	09/05/18	J		
8. FINOVA COMMON STOCK	A	Dividend			Sold	09/04/18	J		
9. REGIONS BANK [formerly AM SOUTH (IRA)]	D	Dividend	N	T					
10. SCHWAB MONEY MARKET ACCOUNT [REDACTED]	D	Interest	J	T					
11. *TESTAMENTARY TRUST		None	L	T					
12. LEGG MASON VALUE MUTUAL FUND	A	Dividend	K	T					
13. FIDELITY SELECT COMPUTERS	A	Dividend	L	T					
14. FEDERATED FUND	A	Dividend	M	T					
15. GAMBELLI GROWTH FUND	A	Dividend	L	T					
16. T. ROWE PRICE INTERNATL	A	Dividend	K	T					
17. VANGUARD GNMA	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. VANGUARD TOTAL STOCK INDEX	B	Dividend	M	T					
19. *ENBRIDGE(formerly SPECTRA ENERGY CORP)	A	Dividend	J	T					
20. SCHWABB MONEY MARKET FUND ()	C	Interest	P1	T					
21. *GNMA'S POOL (BONDS)									
22. *FNMA MTG POOL									
23. *SECTOR SPDR TR SBI INT-ENERGY									
24. *OIL SVC HOLDERS TR DEPOSTRY RCPT					Sold	09/17/18			
25. *FHLMC POOL									
26. *PIMCO CORPORATE OPP FD COM									
27. *WISDOMTREE TRUST INTL DIV E FINL					Sold	08/23/18			
28. *BLACKROCK LTD DURATION COM SHS									
29. *VANGUARD BD INDEX FD SHORT TRM BOND									
30. *ALPS ETF TR ALERIAN MLP					Sold	03/16/18			
31. *SELECT SECTOR SPDR TR SBI INT-UTILS									
32. *JOHN HANCOCK BK&THRIFT SH BEN INT									
33. *SELECT SECTOR SPDR TR SBI CONS STPLS									
34. *SELECT SECTOR SPDR TR TECHNOLOGY					Sold	10/11/18			

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35. **ISHARES TR SH TR CRPORT ETF (formerly listed as Ishares Barclys)									
36. *MARKET VECTORS ETF TR PFD SEC EXFINL									
37. *SELECT SECTOR SPDR TR SBI CONS DISCR									
38. *SELECT SECTOR SPDR TR SBI INT- FINL					Buy (add'l)	03/16/18			
39.					Sold	08/23/18			
40. *SELECT SECTOR SPDR TR SBI MATERIALS					Sold	08/23/18			
41. *FACTORSHARES TR ISE CYBER SEC					Sold	09/17/18			
42. *ISHARES TR DJ SEL DIV INX					Buy (add'l)	03/16/18			
43. *VANGUARD WORLD FDS INF TECH ETF					Sold	10/11/18			
44. *BLACKROCK TAX MUNICIPAL SHS									
45. *SELECT SECTOR SPDR TR RL EST SEL SEC					Sold	09/17/18			
46. *NUVEEN BUILD AMER BD F COM									
47. *WSTRN ASSET CLY INF OP COM					Buy	08/24/18			

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. INVESTMENTS AND TRUSTS.

#2. Farm and pasture land. Raise cattle and sell cows.

#11. Assets of Testamentary Trust.

(1) Trustee, with no direct personal interest, for Account of Testamentary Trust on deposit with Bank One, New Orleans, Louisiana. The interest income is paid pursuant to the terms of the Trust in monthly installments to decedent's widow, who is income beneficiary for life or remarriage. Turstee's [REDACTED] are the principal beneficiaries of the Trust.

(2) Lot Lakeside Estates, Geneva County, Alabama, appraised value \$600

#19. No.19 had a name change to ENBRIDGE (it was formerly known as SPECTRA Energy Corp)

#20. SCHWABB ([REDACTED]) is an IRA Rollover Pension Fund with a value code of P1.

(1) Nos. 21 through 47 are part of the pension fund on line No. 20. Their individual income/value is represented as part of the cumulative value disclosed on line 20. As such, the individual line items numbered 21 through 46 will be removed from next year's report.

(2) No. 35 ISHARES TR SH TR CRPORT ETF was formerly listed as ISHARES TR BARCLYS 1-3 YR CR. On 8/1/2018, the stock had a name change.

(3) No. 47 WSTRN ASSET CLY INF OP COM was purchased on 8/24/18.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ ELDON E. FALLON

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544