

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Conti, Joy Flowers	2. Court or Organization U.S. District Court WDPA	3. Date of Report 05/09/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Change in status during year - Part VIII	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Joseph F. Weis Jr. U.S. Courthouse 700 Grant Street, Suite 5250 Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Counselor	W. Edward Sell American Inns of Court
2. Honorary Board Member	Catholic Charities
3. Vice Regent	Kushkushkee Trail Chapter of the Daughters of the American Revolution
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	Boenning & Scattergood - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Pennsylvania Bar Association	January 21-28, 2018	Key West, FL	Mid-year Meeting 2018	Lodging, Transportation, Meals
2. ALM Media, LLC	January 30 - February 1, 2018	New York, NY	Legal Tech	Lodging, Transportation, Meals
3. NYU & Columbia Law Schools	February 23-25, 2018	New York, NY	Third Annual Judicial Seminar	Lodging, Transportation, Meals
4. New York Intellectual Property Law Association	March 23-25, 2018	New York, NY	The 96th Annual Dinner in Honor of the Federal Judiciary	Lodging, Transportation, Meals
5. District of Delaware	May 3-4, 2018	Wilmington, DE	Bench & Bar Conference	Lodging, Transportation, Meals

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6.	American Bar Association	May 17-18, 2018	Chicago, IL	12th Annual National Institute on E-Discovery	Lodging, Transportation, Meals
7.	NetDiligence	June 12-13, 2018	Philadelphia, PA	NetDiligence Cyber Risk Summit	Lodging, Transportation, Meals
8.	The Aspen Institute Justice & Society Program	July 20-22, 2018	Queenstown, MD	Third Circuit Seminar	Lodging, Meals
9.	World Congress Research	September 25-26, 2018	Washington, DC	In-House Counsel Summit on Data Privacy and Cybersecurity	Lodging, Transportation, Meals
10.	Foley & Lardner LLP	September 27-28, 2018	Chicago, IL	Foley's 14th Annual IP Conference	Lodging, Transportation, Meals
11.	Academy of Trial Lawyers of Allegheny County	October 3-5, 2018	Farmington, PA	30th Annual Masters of Trial Advocacy Retreat	Lodging, Transportation, Meals
12.	Government Investigations & Civil Litigation Institute	October 8-10, 2018	Ft. Lauderdale, FL	GICLI Annual Meeting	Lodging, Transportation, Meals
13.	University of South Carolina	November 1-2, 2018	Columbia, SC	Cybersecurity Task Force Meeting	Lodging, Transportation, Meals
14.					
15.					
16.					
17.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. BROKERAGE ACCOUNT #1 (J)									
2. -Red Mountain Resource Inc Stock		None	J	T					
3. -Standard Bank Deposit Account	A	Interest	J	T					
4. -Pa Economic Dev Fing Auth Bond (2.55% due 11/01/41)		None	K	T					
5. ROTH IRA ROLLOVER ACCOUNT #1 (W)									
6. -New Residential Invst Corp Stock	C	Dividend	K	T					
7. -American Intl Group Inc Stock		None			Sold	05/07/18	J		
8. -Bank of New York Mellon Corp Stock	A	Dividend	K	T					
9. -SPDR S&P 500 ETF Trust Units	A	Dividend	K	T					
10. -SPDR SER TR S&P BK ETF	A	Dividend	J	T					
11. -Fidelity Advusor Ser VII Technology Fund Cl A	C	Dividend	K	T					
12. -JP Morgan Chase & Co Stock	A	Dividend	K	T					
13. -Standard Bank Deposit Account	A	Interest	J	T					
14. -Conifer Hldgs 6.75% Pfd Inc Sr Note due 09/30/23	A	Dividend	J	T	Buy	10/10/18	J		
15. RETIREMENT PLAN #2 (W)									
16. -TIAA Traditional Annuities	D	Interest	M	T					
17. -Vanguard REIT Index Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -CREF Stock R2 Fund	A	Dividend	L	T					
19. -CREF Money Market R2	A	Dividend	L	T					
20. -Vanguard Infl Protect Sec Adm Fund	B	Dividend	K	T					
21. -Vanguard Small Cap Index Fund	A	Dividend	L	T					
22. -Vanguard Mid Cap Index Fund	A	Dividend	K	T					
23. -American Mutual Fund R6	A	Dividend	K	T					
24. ROTH IRA ROLLOVER ACCOUNT #3 (H)									
25. -Navidea Biopharmaceuticals Stock		None	J	T					
26. -American Intl Group Stock		None			Sold	05/07/18	K	C	
27. -Boeing Co Stock	B	Dividend	L	T					
28. -Federated International Leaders Fund		None			Sold	09/19/18	J	A	
29. -JP Morgan Value Advantage Fund	A	Dividend	K	T					
30. -JP Morgan Chase & Co Stock	A	Dividend	J	T					
31. -SPDR S&P 500 ETF Trust Units	A	Dividend	K	T					
32. -Standard Bank Deposit Account	A	Interest	J	T					
33. -Assured Guaranty Ltd	A	Dividend	K	T					
34. -Conifer Hldgs 6.75% Pfd Inc Sr Note due 09/30/23	A	Dividend	J	T	Buy	09/19/18	J		

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35. -Oppenheimer Global Opportunities Fund Cl A	A	Dividend	J	T	Buy	09/19/18	J		
36. IRA ROLLOVER ACCOUNT #6 (H) (Part VIII)									
37. -S&T Bancorp Stock	A	Dividend	K	T					
38. -Standard Bank Deposit Account	A	Interest	K	T					
39. -Pershing Government Money Market Fund		None			Closed	01/02/18	J		
40. -Boeing Co Stock	B	Dividend	K	T					
41. -Nvidia Biopharmaceuticals Inc Stock		None	J	T					
42. -JP Morgan Value Advantage Fund	B	Dividend	K	T					
43. -SPDR SER TR S&P REGL BKG ETF	A	Distribution	J	T					
44. -SPDR SER TR S&P BK ETF	A	Dividend	J	T					
45. -SPDR S&P 500 ETF Trust Units	A	Dividend	K	T					
46. -Facebook Inc Stock		None			Sold	11/16/18	K	B	
47. -JP Morgan Chase & Co Stock	A	Dividend	K	T					
48. -New Residential Invst Corp Stock (REIT)	B	Dividend	J	T					
49. -Black Box Corp	A	Dividend			Sold (part)	01/31/18	J		
50.					Sold	08/21/18	J		
51. -Vanguard Information ETF Technology	A	Dividend	J	T	Buy	07/24/18	J		

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52. -Weatherford International Stock		None	J	T	Buy	11/25/18	J		
53. CITIZENS BANK ACCOUNTS		None	J	T					
54. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	K	T					
55. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	K	T					
56. NORTHWESTERN WHOLE LIFE POLICY (Y) (Part VIII)									
57. METROPOLITAN LIFE WHOLE LIFE INS POLICY		None	K	T					
58. METRO LIFE (Name Change from Genl Amer) WHOLE LIFE INS POLICY		None	J	T					
59. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	K	T					
60. METROPOLITAN LIFE WHOLE LIFE INS POLICY		None	J	T					
61. NORTHWESTERN WHOLE LIFE INS POLICY		None	J	T					
62. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	J	T	Buy				
63. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	J	T	Buy				
64. HUNTINGTON BANK ACCOUNT		None	J	T					
65. SIMPLE IRA ACCOUNT #1(H) (Part VIII)					Merged (with line 36)				
66. BROKERAGE ACCOUNT #2 (W)									
67. -Pa St Fin Auth Rev Rfdg Penn Hills Bond (0% due 12/1/23)		None			Sold	09/05/18	J	C	
68. -New Residential Investment Corp Stock (REIT)	A	Dividend	J	T					

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69. -Standard Bank Deposit Account	A	Interest	J	T					
70. ROTH IRA ROLLOVER ACCOUNT #7 (H)									
71. -Safe-T-Shade LLC		None	K	T					
72. -Averatek Inc Stock		None	J	T					
73. -Averatek 8% Convertible Note due 4/30/22	A	Interest	J	T					
74. SEVENTH STREET INVESTMENT LP		None	K	T					
75. TAASERA INC STOCK		None	K	T					
76. 401(K) PLAN #1 (H)									
77. -Standard Bank Deposit Account	A	Interest	J	T					
78.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

ADDITIONAL INFORMATION FOR:

Part I Personal Information

Box 4 Title:

Active Article III Judge until 12/06/2018

U.S. District Judge, Senior Status from 12/07/2018

Part VII Investments and Trusts

From prior years:

There is no Retirement Plan #1

There is no IRA Rollover/Roth IRA Rollover Accounts # 2, 4 or 5

Current Year:

Lines 36 & 65 SIMPLE IRA ACCOUNT #1 (H) (Line 65) - All assets in account were transferred to IRA ROLLOVER ACCOUNT #6 (H) (Line 36) during 2018. All assets previously listed for this account are now shown under the receiving account (Lines 39-49)

Line 56 Northwestern Whole Life Insurance Policy is no longer reportable -- Policy belongs to child who is no longer a minor/dependent

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Joy Flowers Conti

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544