AO 10
Rev. 1/2018

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Connolly, Colm F.	United States District Court for the District of Delaware	05/01/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Court Judge	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2018 **to** 12/31/2018
7. Chambers or Office Address 844 King Street Unit 31 Wilmington, DE 19801		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Partner	Morgan, Lewis & Bockius, LLP
2.	Board Member	Ministry of Caring
3.	Board Member	Ursuline Academy
4.	Judge	United States District Court
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2017	Morgan Lewis agreement pursuant to which I received my final partnership distribution calculated and fixed as of withdrawal from firm on 8/2/18.
2.		
3.		

Name of Person Reporting	Date of Report
Connolly, Colm F.	05/01/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2018	Morgan Lewis partnership allocation	$940,274.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2018	
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Connolly, Colm F.	05/01/2019

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. M&T Bank Accounts	A	Interest	L	T					
2. Capital One Money Market Accounts	D	Interest	O	T					
3.									
4. Retirement Savings Plan #1 (H)									
5. - T. Rowe Price Growth Stock Trust A	A	Dividend	K	T					
6. - Vanguard Institutional Index Instl Pl	A	Dividend	L	T					
7. - American Funds EuroPacific Growth R6	A	Dividend	K	T					
8.									
9. Retirement Savings Plan #2 (H)									
10. - William Blair Intnl Growth I	B	Dividend	J	T					
11. - Dodge & Cox Intl Stock	C	Dividend	K	T					
12. - DFA US SMall Cap Port Instl	B	Dividend	K	T					
13. - Goldman Sachs Global Income Fund Instl	A	Dividend	L	T					
14. - Vanguard REIT Index Fund	A	Dividend	K	T					
15.									
16. Defined Contribution Plan #1 (H)									
17. - William Blair Intnl Growth I	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Connolly, Colm F.	05/01/2019

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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18. - Dodge & Cox Intl Stock	A	Dividend	L	T					
19. - DFA US Small Cap Port I	A	Dividend	L	T					
20. - Invesco GI Real Estate R5	A	Dividend	L	T					
21. - Vanguard REIT Index Fund Inst	A	Dividend	L	T					
22.									
23. IRA #1 (H)									
24. - Vanguard 500 Index Fund Admiral	A	Dividend			Sold	01/29/18	L		
25. - Vanguard Emerging Markets Select Stock Fund	B	Dividend	L	T	Buy	01/29/18	L		
26.									
27. IRA #2 (H)									
28. - Vanguard Emerging Markets Stock Index Admiral CL	A	Dividend	K	T					
29. - Vanguard Mid Cap Value Index Admiral CL	A	Dividend	K	T					
30. - Vanguard High Yield Corp Investor CL	A	Dividend	K	T					
31.									
32.									
33. Roth IRA #1 (H)									
34. - Vanguard Capital Opportunity Find Invst CL	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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35. - Vanguard 500 Index Fund Admiral CL	A	Dividend			Sold	01/29/18	J		
36. - Vanguard Emerging Markets Select Stock Fund	A	Dividend	J	T	Buy	01/29/18	J		
37.									
38.									
39. Roth IRA #2 (H)									
40. - Vanguard Capital Opportunity Fund Investor	A	Dividend	J	T					
41.									
42. Roth IRA #3 (H)									
43. - Vanguard Target Retirement 2060 Fund	A	Dividend	J	T					
44.									
45. Brokerage Account #1 (H)									
46. - Vanguard Federal Money Market Fund	A	Dividend	J	T					
47. - Vanguard Dividend Appreciation Index Fund Admiral CL	A	Dividend	J	T					
48. - Vanguard Prime Money Market Investor CL	A	Dividend	J	T					
49. - Vanguard Small Cap Growth Index Fund Admiral CL	A	Dividend	J	T					
50. - Vanguard Comsumer Discretionary ETF	A	Dividend	J	T					
51. - Vanguard Consumer Staples ETF	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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Connolly, Colm F.	05/01/2019

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52. - Vanguard Energy ETF	B	Dividend	L	T					
53. - Vanguard Health Care ETF	A	Dividend	J	T					
54. - Vanguard REIT Index ETF	A	Dividend	J	T					
55. - Vanguard S&P 500 Index ETF	A	Dividend	J	T					
56. - Vanguard Communication Services ETF	A	Dividend	J	T					
57. - Proteon Therapeutics		None	J	T					
58.									
59. Brokerage Account #3 (H)									
60. - International Business Machines	A	Dividend	J	T					
61. - Microsoft Corp.	A	Dividend	J	T					
62.									
63. Brokerage Account #4 (H)									
64. - International Business Machines	A	Dividend	J	T					
65. - Fidelity Blue Chip Growth Fund	A	Dividend	J	T					
66.									
67.									
68. 529 #1 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
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69. - NY's 529 Aggressive Growth Portfolio	A	Dividend			Closed	01/30/18	J		
70. - NY's 529 Growth Portfolio	A	Dividend			Closed	01/30/18	J		
71. - NY's 529 Moderate Growth Portfolio	A	Dividend	J	T					
72. - NY's 529 Interest Accumulation Portfolio	A	Dividend	J	T	Spinoff (from line 69)	01/30/18	J		
73. - NY's 529 Conservative Growth Portfolio	A	Dividend	K	T	Spinoff (from line 70)	01/30/18	J		
74.									
75. 529 #2 (H)									
76. - NY's 529 Income Portfolio					Closed	01/30/18	J		
77. - NY's 529 Interest Accumulation Portfolio					Closed	07/18/18	J		
78.									
79. 529 #3 (H)									
80. - NY's 529 Conservative Growth Portfolio					Closed	07/18/18	J		
81. - NY's 529 Income Portfolio					Closed	07/18/18	J		
82. - NY's 529 Interest Accumulation Portfolio					Closed	07/18/18	J		
83.									
84. 529 #4 (H)									
85. - NY's 529 Conservative Growth Portfolio			K	T	Spinoff (from line 88)	01/30/18	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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Connolly, Colm F.	05/01/2019

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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86. - NY's 529 Income Portfolio			L	T					
87. - NY's 529 Aggressive Growth Portfolio									
88. - NY's 529 Small-Cap Stock Index Portfolio									
89. - NY's 529 Moderate Growth Portfolio			J	T	Spinoff (from line 87)	01/30/18	J		
90.									
91.									
92. 529 #5 (H)									
93. - Fidelity DE Aggressive Growth Portfolio (Fidelity Indx)			K	T					
94. - Fidelity DE Aggressive Growth Portfolio (Fidelity FDS)			L	T					
95.									
96. 529 #6 (H)									
97. - Fidelity DE Conservative (Fid. Index)			J	T					
98. - Fidelity DE Moderate Growth Portfolio (Fidelity Indx)			J	T					
99.									
100. 529 #7 (H)									
101. - Fidelity DE Conservative (Fid. Index)			K	T					
102. - Fidelity DE Moderate Growth Portfolio (Fidelity Indx)			J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
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103.									
104. 529 #8 (H)									
105. - Fidelity DE Conservative (Fid. Index)	A	Dividend	K	T					
106. - Fidelity DE Aggressive Growth Portfolio (Fidelity Indx)			K	T					
107. - Fidelity DE Moderate Growth Portfolio (Fidelity Indx)			L	T					
108.									
109.									
110. UTMA #2 (H)									
111. - Fidelity Blue Chip Growth Fund	A	Dividend	J	T					
112. - International Business Machines	A	Dividend	J	T					
113. - Microsoft Corp.	A	Dividend	J	T					
114.									
115. UTMA #3 (H)									
116. - Fidelity Blue Chip Growth Fund	A	Dividend	J	T					
117. - International Business Machines	A	Dividend	J	T					
118. - Microsoft Corp.	A	Dividend	J	T					
119.									

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120. College Avenue Student Loans, LLC units		None	M	U					
121.									
122.									
123.									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Connolly, Colm F.	05/01/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Colm F. Connolly

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544