

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DIEHL, MARY GRACE	2. Court or Organization UNITED STATES BANKRUPTCY COURT	3. Date of Report 01/14/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 1215 UNITED STATES COURTHOUSE 75 TED TURNER DRIVE, S.W. ATLANTA, GA 30303		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	Member, Board of Directors	American Bankruptcy Institute
3.	Member, Board of Trustees	Turnaround Managment Association
4.	Member, Board of Directors	International Women In Restructuring Confederation
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2018	Contract with LexisNexis/Collier to edit book on Professional Compensation in Bankruptcy Cases
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 20

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

01/14/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Lexis/Nexis Royalties	\$1,643.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Graduate Fellowship Georgia State University
2. 2018	Self Employed Attorney
3. 2018	Book Royalties from University of Illinois Press
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	October 26-30, 2018	San Antonio, TX	Judges Conference	Partial Reimbursement of Hotel
2. American College of Bankruptcy	March 14-18, 2018	Washington, DC	Professional Meeting	Partial Reimbursement of Travel and Hotel
3. American Bankruptcy Institute	April 18-21, 2018	Washington, DC	Educational Seminar Speaker	Reimbursement of Travel and Hotel
4. Turnaround Management Assn	February 22-24, 2018	Las Vegas, NV	Board of Directors Meeting	Reimbursement of Travel and Hotel
5. Turnaround Management Assn	Sept. 25-28, 2018	Colorado springs, CO	Board of Directors Meeting	Reimbursement of Travel and Hotel

FINANCIAL DISCLOSURE REPORT

Page 3 of 20

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

01/14/2020

6.	American Bankruptcy Institute	December 5-8, 2017	Scottsdale, AZ	Speaker at Educational Seminar	Reimbursement of Travel and Hotel
7.	National Association of Bankruptcy Trustees	August 15-17, 2018	Amelia Island, FL	Speaker at Educational Seminar	Reimbursement of Travel and Hotel
8.	American Bankruptcy Institute	July 26-28, 2018	Amelia Island, FL	Speaker at Educational Seminar	Reimbursement of Travel and Hotel
9.	University of Miami Law School	February 16-18, 2018	Miami, FL	Judge Moot Court Competition	Reimbursement of Travel and Hotel
10.	International Women In Restructuring Confed.	July 18-20, 2018	Philadelphia, PA	Board of Directors Meeting	Partial Reimbursement of Travel
11.	State Bar of Georgia	December 13-14, 2018	Greensborough, GA	Speaker at Educational Seminar	Reimbursement of Hotel

FINANCIAL DISCLOSURE REPORT

Page 4 of 20

Name of Person Reporting
DIEHL, MARY GRACE

Date of Report
01/14/2020

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 5 of 20

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

01/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Bank of America Accounts-cash equivalent	A	Interest	M	T					
2. Wells Fargo Bank Account-cash equivalent		None	J	T					
3. Emory Credit Union -cash equivalent		None	J	T					
4. Mass Mutual Life Insurance Policy#1(traditional whole life)	C	Dividend	M	T					
5. Mass Mutual Insurance Policy #2(traditional whole life)	C	Dividend	M	T					
6. Prudential Insurance Policy (traditional whole life)	A	Interest	K	T					
7. Brokerage Account #1 (-13012)									
8. --Bank Deposit Account- FIA Card services					Closed	12/31/18	J	A	
9. --Bank of America (formerly ML Bank)	A	Interest	J	T					
10. --Eaton Vance Large Cap Value Fund Class A	A	Dividend			Buy (add'l)	01/03/18	J		
11.					Sold	04/25/18	J	D	
12. --Dreyfus Appreciation Fd	A	Dividend			Buy (add'l)	01/03/18	J		
13.					Sold	04/25/18	K	A	
14. --Allianzgi NFJ Dividend Value Fund CL P	A	Dividend			Buy (add'l)	01/03/18	J		
15.					Sold	04/25/18	K	A	
16. --Eaton Vance Atlanta Capital SMID Cap Fd CL1	A	Dividend			Buy (add'l)	01/03/18	J		
17.					Sold	04/25/18	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 20

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

01/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --Mainstay Large Cap Growth Fund CL 1	A	Dividend			Buy (add'l)	01/03/18	J		
19.					Sold	04/25/18	K	C	
20. --Mainstay Epoch Global Equity Yield Fund CL 1	A	Dividend			Buy (add'l)	01/03/18	J		
21.					Sold	04/25/18	L	C	
22. --MFS Research International Fd CL 1	A	Dividend			Sold	04/25/18	L	D	
23. --Prudential Jennison Small Company Fd Inc Z	A	Dividend			Buy (add'l)	01/03/18	J		
24.					Sold	04/25/18	K	C	
25. Brokerage Acct #2 (-13017)									
26. --Georgia St Rd & Twy Auth Rev		None			Sold	04/18/18	K	A	
27. --Columbia Co Ga Wtr & Sew		None			Sold	04/17/18	J	A	
28. --Athens-Clarke Cnty GA Uni Govt Auth Rev		None			Sold	04/17/18	K	A	
29. --DeKalb Cnty Ga Wtr-Sew		None			Sold	04/17/18	K	A	
30. Met Wash DC Arpts AT		None			Sold	04/17/18	K	A	
31. West Virginia St Hosp Fin Auth Hosp Rev A		None			Sold	04/17/18	J	A	
32. Floyd Cnty Ga Hosp Auth		None			Sold	04/17/18	K	A	
33. Macon-Bibb Cnty Ga Sales Tax		None			Sold	04/17/18	K	A	
34. Miami-Dade Cnty Fla Bldg		None			Sold	04/17/18	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 20

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

01/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Cobb Cnty Ga Kennestone		None			Sold	04/17/18	J	A	
36. Atlanta Ga Wtr & Wastewater Rev		None			Sold	04/17/18	K	A	
37. Forsyth Cnty Sch Dist Go Bos		None			Sold	04/17/18	J	A	
38. Partners Group Private Equity Master Fund LLC Class A	A	Distribution	N	T	Buy	06/01/18	M		
39. Brokerage Acct #3 (-12445)									
40. SX5E ARN Issuer BAC/due 11/22/17		None			Redeemed	01/01/18	L	A	
41. SP500 ARN ISSUER BOFA due 6/29/18		None			Redeemed	06/29/18	O	F	
42. SX5E ARN ISSUER BOFA due 6/29/18 CAP 23.75		None			Redeemed	06/29/18	L	A	
43. SX5E ARN ISSUER BOFA due 7/27/18		None			Redeemed	07/27/18	L	A	
44. SX5E ARN ISSUER BOFA due 9/28/18		None			Redeemed	09/28/18	M	A	
45. SP500 ARN ISSUER BARC due 10/26/18		None			Redeemed	10/26/18	L	D	
46. SX5E ARN ISSUER BOFA due 1/25/19		None	M	T					
47. SP500 ARN ISSUER BAC due 1/25/19 Cap 9.17		None	M	T					
48. SP500 ARN ISSUER CIBC/due 8/30/19		None	O	T	Buy	06/28/18	O		
49. SP500 ARNS ISSUER BNS/due 12/20/19		None	L	T	Buy	10/25/18	L		
50. SP500 ARNS ISSUER BNS/due 6/28/19		None	L	T	Buy	04/26/18	L		
51. SX5E ARN ISSUER BOFA/DUE 9/27/19		None	K	T	Buy	06/26/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 20

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

01/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. SX5E ARN ISSUER BOFA/DUE 8/30/19		None	L	T	Buy	06/28/18	L		-
53. SX5E ARN ISSUER BOFA/DUE 6/28/19		None	O	T	Buy	04/26/18	O		
54. SX5E ARN ISSUER CIBC/DUE 11/29/19		None	M	T	Buy	09/27/18	M		
55. Investment Account #3 --13590									
56. Allianzgi NFJ Divident Value Fd	A	Dividend			Buy (add'l)	01/03/18	J		
57.					Sold (part)	03/06/18	J	A	
58.					Sold	04/27/18	K	A	
59. Dreyfus Appreciation Fd	A	Dividend			Buy (add'l)	01/03/18	J		
60.					Sold	04/26/18	J	A	
61. Eaton Vance Atlanta	B	Distribution	K	T	Buy (add'l)	01/03/18	J		
62.					Sold (part)	03/05/18	J	A	
63.					Sold (part)	04/27/18	J	A	
64. Eaton Vance Large Cap Value Fund Cl i	B	Distribution	K	T	Sold (part)	04/26/18	J	A	
65. Mainstay Large Cap Growth Fund	A	Distribution	K	T	Buy (add'l)	01/03/18	J		
66.					Sold (part)	03/05/18	J	A	
67.					Sold (part)	04/26/18	J	A	
68. Mainstay Epoch Global Equity Yield Fund	A	Dividend			Buy (add'l)	01/03/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 20

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

01/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Sold	04/26/18	K	C	
70. MFS Research International FD		None			Sold (part)	03/05/18	J	A	
71.					Sold	04/26/18	L	D	
72. Prudential Jennison Small Company (now PGIM Jennison)	A	Dividend	J	T	Sold (part)	03/05/18	J	A	
73.					Sold (part)	04/26/18	J	A	
74. JPMORGAN EQUITY INCOME	A	Dividend	K	T	Buy	10/12/18	K		
75. MFS INTERNATIONAL GROWTH	A	Dividend	K	T	Buy	04/26/18	K		
76. NEUBERGER BERMAN EMERG	A	Dividend	K	T	Buy	10/12/18	K		
77. PIONEER FUNDAMENTAL	A	Dividend	K	T	Buy	04/26/18	K		
78. IRA #1 (-13022)									
79. Prudential Jennison (now PJIM Jennison)	C	Distribution	K	T	Buy (add'l)	01/05/18	J		
80.					Sold (part)	04/24/18	J	A	
81.					Sold (part)	06/05/18	J	A	
82. Eaton Vance Atlanta	A	Dividend	K	T	Buy (add'l)	01/05/18	J		
83.					Sold (part)	04/24/18	J		
84.					Sold (part)	06/05/18	J	A	
85. MFS Research	A	Dividend			Sold	04/24/18	L	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 20

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

01/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Eaton Vance Large Cap	A	Dividend	K	T	Sold (part)	04/24/18	J	A	
87. Mainstay Large Cap	A	Dividend			Buy (add'l)	01/05/18	J		
88.					Sold (part)	04/24/18	J	A	
89.					Sold	06/05/18	J	A	
90. Mainstay Epoch Global	A	Dividend			Buy (add'l)	01/05/18	J		
91.					Sold	04/24/18	L	B	
92. Allianzgi NFJ Dividend	D	Dividend			Sold	04/24/18	K	A	
93. Dreyfus Appreciation Fd	B	Dividend			Sold	04/24/18	K	A	
94. Clearbridge International	B	Distribution	L	T	Buy	04/24/18	L		
95. JPMORGAN EQUITY INCOME	A	Dividend	K	T	Buy	10/12/18	K		
96. MFS INTERNATIONAL GROWTH	A	Dividend	L	T	Buy	04/24/18	L		
97.					Sold (part)	06/05/18	J	A	
98. NEUBERGER BERMAN EMERG	A	Dividend	K	T	Buy	10/12/18	K		
99. PIONEER FUNDAMENTAL	A	Dividend	K	T	Buy	04/24/18	K		
100.					Sold (part)	06/06/18	J	A	
101. GOLDMAN SACHS LARGE CAP		None			Buy	04/24/18	K		
102.					Sold	10/12/18	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 20

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

01/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. ISHARES INC CORE MSCI		None			Buy	04/24/18	K		
104.					Sold	10/12/18	K	A	
105. IRA #2 (-12598)									
106. Partners Group Private Equity (TEI) LLC	D	Distribution	N	T					
107. IRA #3 (12601/14937)									
108. --FIA Card Svcs (Formerly -ML Bank USA RASP)NOW boa rasp	A	Interest	L	T					
109. --Eaton Vance Large Cap Value Fund Class A	D	Distribution	M	T	Sold (part)	04/26/18	K	A	
110. --Dreyfus Appreciation Fd	C	Dividend			Buy (add'l)	01/05/18	J		
111.					Sold	04/26/18	M	A	
112. --Allianzgi NFJ Dividend Value Fund CL P	C	Dividend			Buy (add'l)	01/05/18	J		
113.					Sold	04/26/18	M	A	
114. --Eaton Vance Atlanta Capital SMID Cap FD Cl 1	A	Dividend	L	T	Buy (add'l)	01/05/18	J		
115.					Sold (part)	04/26/18	J	A	
116. --Mainstay Large Cap Growth Fund Cl 1	E	Distribution	M	T	Buy (add'l)	01/05/18	J		
117.					Sold (part)	04/26/18	K	A	
118. --Mainstay Epoch Global Equity Yield Fund Cl 1	D	Dividend			Buy (add'l)	01/05/18	J		
119.					Sold	04/26/18	N	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 20

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

01/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. MFS Research International Fd Cl 1	C	Dividend			Buy (add'l)	01/05/18	J		
121.					Sold	04/26/18	N	E	
122. Prudential Jennison Small Company Fd Inc Z (NOW PGIM JENNISON)	D	Distribution	L	T	Buy (add'l)	01/05/18	J		
123.					Sold (part)	04/26/18	J	A	
124. CLEARBRIDGE INTERNATIONAL	C	Dividend	M	T	Buy	04/26/18	M		
125. JPMORGAN EQUITY INCOME	C	Dividend	M	T	Buy	10/12/18	M		
126. MFS INTERNATIONAL GROWTH	B	Dividend	M	T	Buy	04/26/18	L		
127. NEUBERGER BERMAN EMERG	B	Dividend	M	T	Buy	10/12/18	K		
128. PIONEER FUNDAMENTAL	A	Dividend	M	T	Buy	04/26/18	K		
129. GOLDMAN SACHS LARGE CAP		None			Buy	04/26/18	M		
130.					Sold	10/12/18	M	C	
131. ISHARES INC CORE MSCI	A	Dividend			Buy	04/26/18	M		
132.					Sold	10/12/18	L	A	
133. Partners Group Private Equity (TEI) LLC	D	Distribution	N	T					
134. SEP #1 (-12599)									
135. --FIA CArd Svcs (formerly ML Bank USA RASP)NOW BOA RASP	A	Interest	K	T					
136. --Dreyfus Appreciation Fd	A	Dividend			Buy (add'l)	01/05/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 20

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

01/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	04/26/18	K	A	
138. Allianzgi NJF Dividend Value Fund CL P	A	Dividend			Buy (add'l)	01/05/18	J		
139.					Sold	04/26/18	K	A	
140. Eaton Vance Atlanta Capital SMID Cap Fd CL I	B	Distribution	K	T	Sold (part)	04/26/18	J	A	
141. Mainstay Large Cap Growth Fund Cl I	D	Distribution	K	T	Buy (add'l)	01/05/18	J		
142.					Sold (part)	04/26/18	J	A	
143. Mainstay Epoch Global Equity Yield Fund Cl I	B	Dividend			Buy (add'l)	01/05/18	J		
144.					Sold	04/26/18	L	A	
145. MFS Research International Fund Cl I	A	Dividend	L	T	Buy (add'l)	01/05/18	J		
146.					Sold (part)	04/26/18	L	D	
147. Prudential Jennison Small Company Fd Inc Z NOW PGIM JENNISON	B	Distribution	K	T	Buy (add'l)	01/05/18	J		
148.					Sold (part)	04/26/18	J	A	
149. CLEARBRIDGE INTERNATIONAL	A	Dividend	K	T	Buy	04/26/18	L		
150. JPMORGAN EQUITY FUND	A	Distribution	K	T	Buy	10/12/18	K		
151. MFS INTERNATIONAL GROWTH	B	Distribution	L	T	Buy	04/26/18	L		
152. NEUBERGER BERMAN EMERG	A	Dividend	K	T	Buy	10/12/18	K		
153. PIONEER FUNDAMENTAL	B	Distribution	K	T	Buy	04/26/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 20

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

01/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. GOLDMAN SACHS LARGE CAP		None			Buy	04/26/18	K		
155.					Sold	10/12/18	K	A	
156. 1 SHARES INC CORE MSCI	A	Dividend			Buy	04/26/18	K		
157.					Sold	10/12/18	K	A	
158. SEP #2 (-12600)									
159. Bank of America NA RASP (Cash Acct)	A	Interest	J	T					
160. --Eaton Vance Large Cap Value Fund Class A	B	Distribution	J	T	Sold (part)	04/26/18	J	A	
161. --Dreyfus Appreciation Fd	A	Dividend			Buy (add'l)	01/03/18	J		
162.					Sold	04/26/18	J	A	
163. Allianzgi NFJ Divident Value Fund Cl P	A	Dividend			Buy (add'l)	01/03/18	J		
164.					Sold	04/26/18	J	A	
165. Eaton Vance Atlanta Capital SMID Cap Fd Cl I	A	Distribution	J	T	Buy (add'l)	01/03/18	J		
166.					Sold (part)	04/26/18	J	A	
167. Mainstay Large Cap Growth Fund Cl 1	B	Dividend	J	T	Buy (add'l)	01/03/18	J		
168.					Sold (part)	04/26/18	J	A	
169. Mainstay Epoch Global Equity Yield Fund Cl 1	A	Dividend			Sold	04/26/18	J	A	
170. Prudential Jennison Small Company Fund Inc Z(NOW PGIM JENNISON)	A	Distribution	J	T	Sold (part)	04/26/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 20

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

01/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. CLEARBRIDGE INTERNATIONAL	A	Dividend	J	T	Buy	04/26/18	J		
172. JPMORGAN EQUITY INCOME	A	Dividend	J	T	Buy	10/12/18	J		
173. NEUBERGER BERMAN EMERG	A	Dividend	J	T	Buy	10/12/18	J		
174. PIONEER FUNDAMENTAL	A	Dividend	J	T	Buy	04/26/18	J		
175. GOLDMAN SACHS LARGE CAP		None			Buy	04/26/18	J		
176.					Sold	10/12/18	J	A	
177. MFS INTERNATIONAL GROWTH	A	Distribution	J	T	Buy	04/26/18	J		
178. ISHARES CORE MSCI		None			Buy	04/26/18	J		
179.					Sold	10/12/18	J	A	
180. MFS Research International Fund C11	A	None			Sold	04/26/18	J	A	
181. 401(k) Plan (-05031)									
182. Prudential Jennison (NOW PGIM JENNISON0	A	Dividend	J	T	Sold (part)	04/26/18	J	A	
183. Eaton Vance Atlanta	A	Distribution	J	T	Sold (part)	04/26/18	J		
184. MFS Research	A	Dividend			Sold	04/26/18	J		
185. Eaton Vance Large Cap	A	Dividend	J	T	Buy (add'l)	01/03/18	J		
186.					Sold (part)	04/26/18	J		
187. Mainstay Large Cap	A	Distribution	J	T	Buy (add'l)	01/03/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 20

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

01/14/2020

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold (part)	04/26/18	J		
189. Mainstay Epoch Global	A	Dividend			Buy (add'l)	01/03/18	J		
190.					Sold	04/26/18	J	A	
191. Allianzgi NFJ Dividend	A	Dividend			Buy (add'l)	01/03/18	J		
192.					Sold	04/26/18	J	A	
193. Dreyfus Appreciation Fd	A	Dividend			Buy (add'l)	01/03/18	J		
194.					Sold	04/26/18	J	A	
195. CLEARBRIDGE INTERNATIONAL	A	Dividend	J	T	Buy	04/26/18	J		
196. JP MORGAN EQUITY INCOME	A	Distribution	J	T	Buy	10/12/18	J		
197. MFS INTERNATIONAL GROWTH	A	Distribution	J	T	Buy	04/26/18	J		
198. NEUBERGER BERMAN EMERG	A	Dividend	J	T	Buy	10/12/18	J		
199. PIONEER FUNDAMENTAL	A	Dividend	J	T	Buy	04/26/18	J		
200. GOLDMAN SACHS LARGE CAP		None			Buy	04/26/18	J		
201.					Sold	10/12/18	J	A	
202. ISHARES INC CORE MSCI		None			Buy	04/26/18	J		
203.					Sold	10/12/18	J	A	
204. MJ Defined Benefit Plan (-05030)									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 20

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

01/14/2020

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Prudential Jennison (NOW PGIM JENNISON)	B	Distribution	K	T	Buy (add'l)	01/03/18	J		
206.					Sold (part)	04/24/18	J	A	
207.					Sold (part)	06/05/18	J	A	
208. Eaton Vance Atlanta	B	Distribution	K	T	Sold (part)	04/24/18	J	A	
209.					Sold (part)	06/05/18	J	A	
210. MFS Reasearch	A	Dividend			Sold	04/24/18	L	C	
211. Eaton Vance Large Cap	B	Dividend	K	T	Buy (add'l)	01/03/18	J		
212.					Sold (part)	04/24/18	J	A	
213. Mainstay Large CAP	D	Distribution	K	T	Buy (add'l)	01/03/18	J		
214.					Sold (part)	04/24/18	J	A	
215.					Sold (part)	06/05/18	J	A	
216. Mainstay Epoch Global	B	Dividend			Sold	04/24/18	L	A	
217. Allianzgi NFJ Dividend	A	Dividend			Buy (add'l)	01/03/18	J		
218.					Sold	04/24/18	K	A	
219. Dreyfus Appreciation Fd	A	Dividend			Sold	04/24/18	K	A	
220.									
221. BIF Money Fund	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 20

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

01/14/2020

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222. CLEARBRIDGE INTERNATIONAL	A	Dividend	K	T	Buy	04/24/18	L		
223. JP MORGAN EQUITY INCOME	A	Distribution	K	T	Buy	10/12/18	K		
224. MFS INTERNATIONAL GROWTH	A	Distribution	L	T	Buy	04/24/18	L		
225.					Sold (part)	06/05/18	J	A	
226. NEUBERGER BERMAN EMERG	A	Dividend	K	T	Buy	10/12/18	K		
227. PIONEER FUNDAMENTAL	A	Dividend	K	T	Buy	04/24/18	K		
228.					Sold (part)	06/05/18	J	A	
229. GOLDMAN SACHS LARGE CAP		None			Buy	04/24/18	K		
230.					Sold	10/12/18	K	A	
231. I SHARES INC CORE MSCI		None			Buy	04/24/18	K		
232.					Sold	10/12/18	K	A	
233.									
234.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 20

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

01/14/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Trust #1 is a charitable trust and is not reportable because it was not created by me, my spouse or a dependent child and no income is received by me, my spouse or a dependent child. Neither do any of these persons have a beneficial interest in the trust. This information was previously reported but is no longer necessary. Additionally, the trust has been liquidated and proceeds paid to trust account of attorney for trust.

FINANCIAL DISCLOSURE REPORT

Page 20 of 20

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

01/14/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ MARY GRACE DIEHL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544