

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davison, Paul E.	2. Court or Organization US District Court, SDNY	3. Date of Report 05/03/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (full time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 300 Quarropas Street White Plains, New York 10601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2018

Hoguet Newman Regal & Kenney - Wages

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JP Morgan Chase Bank Account		None	K	T					
2. Aberdeen Asia Pacific Income Fund (FAX)		None	K	T	Buy	12/27/18	K		
3. BlackRock Intl Index Instl (MAIIX)	C	Int./Div.	L	T					
4. Columbia Small Cap Index R5 (CXXRX)	D	Int./Div.	L	T					
5. Consolidated Edison (ED)	A	Dividend	K	T					
6. DFA Emerging Markets Core Equity (DFCEX)	A	Int./Div.	K	T					
7. DFA Emerging Markets Value (DFEVX)	B	Int./Div.	L	T	Buy	02/09/18	L		
8. DFA Five Year Global Fixed Income (DFGBX)		None			Sold	08/16/18	L		
9. DFA Targeted Credit Portfolio (DTCPX)	A	Int./Div.	K	T					
10. Disney (DIS)	A	Dividend	L	T					
11. Dreyfus S&P 500 Stock Index R (DSPIX)	E	Int./Div.	N	T					
12. Fidelity Cash Reserve (Qual) (FDRXX)	A	Int./Div.	K	T					
13. Fidelity Government Money Market (SPAXX)	A	Int./Div.	K	T					
14. Fidelity Inflation Protected Bond Index (FIPDX) (Formerly FSIYX)	A	Int./Div.	K	T	Buy (add'l)	01/31/18	K		
15.					Buy (add'l)	08/16/18	K		
16. Fidelity Short Term Treasury Bond Index (FUMBX)	B	Int./Div.	K	T	Buy	08/16/18	K		
17. Fidelity Spartan Emerging Markets Index Advantage (FPMAX)	A	Int./Div.			Sold	02/09/18	K	C	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Fidelity Treasury Fund (FZFX)	A	Dividend	J	T					
19. iShares Core MSCI Emerging Markets (IEMG)	A	Int./Div.	K	T					
20. iShares Core US Value (IUSV)		None	K	T	Buy	12/27/18	K		
21. iShares JP Morgan USD Emerging Markets Bond Fund (EMB)	A	Int./Div.			Buy	08/16/18	K		
22.					Sold	12/27/18	K		
23. iShares S&P 500 (IVV)		None	K	T	Buy	12/27/18	K		
24. Johnson & Johnson (JNJ)	B	Int./Div.	L	T					
25. Lord Abbett Short Duration Income R5 (LDLTX)	B	Int./Div.	K	T					
26. Nuveen Build Amer Bd Fd (NBB)	C	Int./Div.	M	T	Sold (part)	11/21/18	J		
27. Nuveen Build America (NBD)	C	Int./Div.			Merged (with line 26)	11/16/18	L		
28. Nuveen NY Select (NXN)	A	Int./Div.	J	T					
29. Nuveen Select Tax-Free Income (NXQ)	A	Int./Div.	K	T					
30. PIMCO Income Institutional (PIMIX)	C	Int./Div.	L	T					
31. Powershares Build America Bond (BAB)	B	Int./Div.			Sold	12/27/18	K	A	
32. SPDR Barclays Municipal (TFI)	A	Int./Div.	K	T					
33. SPDR S&P Emerging Markets Small Cap (EWX)		None			Sold	02/09/18	K	A	
34. Stone Ridge Reinsurance Reinsurance Risk Premium (SRRIX)	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Vanguard Global Ex US Real Estate Index (VNQI)	A	Int./Div.			Sold	12/27/18	K		
36. Vanguard GNMA Admiral (VFIJX)	B	Int./Div.	K	T					
37. Vanguard High Yield Corporate Admiral (VWEAX)	A	Int./Div.			Sold	02/09/18	K	B	
38. Zimmer Biomet Holdings Inc (ZBH)	A	Dividend	K	T					
39. TRUST #1 (H)									
40. -Aberdeen Asia Pac Income (FAX)	C	Int./Div.			Sold (part)	09/14/18	K		
41.					Sold	11/02/18	K		
42. DFA Emerging Market Value (DFEVX)	B	Int./Div.	L	T					
43. DFA NY Municipal Income (DNYMX)	A	Int./Div.			Sold	04/27/18	K		
44. Fidelity Conservative Income Muni Bd I (FMNDX) (Formerly FCRDX)	A	Int./Div.			Sold	05/30/18	L		
45. Fidelity Inflation Protected Bond Index Fund (FIPDX)	A	Int./Div.			Buy	05/30/18	K		
46.					Buy (add'l)	09/14/18	K		
47.					Sold	11/02/18	L		
48. -Fidelity New York Municipal Income (FTFMX)	B	Int./Div.	L	T					
49. -Fidelity Spartan 500 Index (FXAIX) (Formerly FUSVX)	C	Int./Div.	M	T					
50. -Fidelity Extended Market Index (FSMAX) (Formerly FSEVX)	B	Int./Div.	K	T					
51. Fidelity Short Term Treasury Bond Index (FUMBX)	B	Int./Div.	M	T	Buy	05/30/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	09/14/18	K		
53. -Fidelity Spartan Tax-Free Bond (FTABX)	B	Int./Div.	K	T					
54. -Fidelity Total Market Index (FSKAX) (Formerly FSTVX)	D	Int./Div.	N	T					
55. Fidelity Treasury Fund (FZFX)	B	Int./Div.	L	T					
56. iShares Barclays 7-10 Yr Treasury Bond (IEF) (IEF)		None			Sold	01/30/18	L		
57. -iShares MSCI EAFE Index (EFA)	C	Int./Div.	L	T					
58. -iShares MSCI Emerging Markets Index (EEM)	B	Int./Div.	L	T	Sold (part)	03/15/18	K	D	
59. -iShares Russell 1000 Index (IWB)	A	Int./Div.	L	T					
60. -iShares S&P 500 Index (IVV)	C	Int./Div.	M	T					
61. -iShares S&P Global Timber & Forestry Index (WOOD)		None			Sold	01/30/18	K	E	
62. -Nuveen Energy MLP Total Return (JMF)	C	Int./Div.	K	T					
63. -Nuveen NY Municipal Dividend Advantage (NAN)	C	Int./Div.	L	T	Buy (add'l)	03/15/18	K		
64.					Sold (part)	05/30/18	K		
65. Nuveen NY Muni Income Fund (NRK)	A	Int./Div.			Buy	01/30/18	L		
66.					Sold (part)	04/27/18	K		
67.					Sold	05/30/18	K		
68. -S&P Dep Receipts (SPY)	B	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$0,001 - \$100,000 F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$5,001 - \$15,000	D = \$5,001 - \$15,000 I = \$15,001 - \$50,000	E = \$50,001 - \$100,000 F = \$100,001 - \$500,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 Q = \$250,001 - \$500,000	P = \$500,001 - \$1,000,000 R = \$1,000,001 - \$5,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Schwab Intermediate Term Treasury Fund (SCHR)	A	Int./Div.	M	T	Buy	11/02/18	L		
70. Schwab US TIPS Fund (SCHP)	A	Int./Div.	M	T	Buy	11/02/18	M		
71. -SPDR Barclays Municipal (TFI)	B	Int./Div.	L	T					
72. -SPDR Dow Jones Industrial Average (DIA)	B	Int./Div.	L	T					
73. -Vanguard FTSE (VSS)	A	Int./Div.	K	T					
74. Vanguard NY Long-Term Tax-Exempt (VNYUX)	B	Int./Div.			Buy	04/27/18	M		
75.					Sold	11/02/18	M		
76. -Vanguard Short Term Corp Bond (VCSH)	B	Int./Div.	L	T	Sold (part)	03/15/18	L		
77.									
78.									
79.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

On line 14, Fidelity combined share classes of this fund and the ticker for FSIYX was changed to FIPDX
On line 44, Fidelity combined share classes of this fund and the ticker for FCRDX was changed to FMNDX
On line 49, Fidelity combined share classes of this fund and the ticker for FUSVX was changed to FXAIX
On line 50, Fidelity combined share classes of this fund and the ticker for FSEVX was changed to FSMAX
On line 54, Fidelity combined share classes of this fund and the ticker for FSTVX was changed to FSKAX

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Paul E. Davison

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544