

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dorsey, Jennifer A.	2. Court or Organization US District Court - Nevada	3. Date of Report 01/26/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2018 to 12/31/2018
5b. ☒ Amended Report		
7. Chambers or Office Address Lloyd D. George Federal Courthouse 333 Las Vegas Boulevard South Las Vegas, Nevada 89101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2. Adjunct Professor (Part-Time)	William S. Boyd School of Law at University of Nevada, Las Vegas
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	William S. Boyd School of Law at University of Nevada, Las Vegas - Adjunct Professor	\$3,200.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Ainsworth Game Technology - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. State Bar of Nevada	June 29, 2018 - July 2, 2018	Chicago, Illinois	State Bar of Nevada Bar Association Annual Meeting (Instructor - Trial Academy)	Transportation and lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place (X) after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Horizon Ridge Professional Park, L.P.	A	Interest			Closed	01/01/18	J	A	
2. US Series EE Savings Bonds	A	Interest	J	T					
3. 529 Accounts (H)									
4. - American Funds Bond Fund of America 529 (Y)									
5. - American Funds Growth Fund of America 529	A	Interest	L	T					
6. - American Funds Capital World Bond Fund 529	A	Interest	J	T	Sold (part)	07/24/18	J		
7. - American Funds EuroPacific Growth Fund 529	A	Interest	J	T	Sold (part)	07/24/18	J		
8. - American Funds New World Fund 529	A	Interest	J	T	Sold (part)	07/24/18	J		
9. - American Funds US Govt Sec Fund 529 (Y)									
10. - American Funds Fund of America 529	A	Interest	K	T					
11. Trust # 1 Accounts									
12. - Wells Fargo Cash Accounts	A	Interest	M	T					
13. - Morgan Stanley Cash Accounts	B	Interest	N	T					
14. - Clark County, NV Rental Property (Y)									
15. Stocks/Equities (H)									
16. - AES Corp stock	A	Dividend	J	T	Sold (part)	08/09/18	J		
17. - Alphabet Inc. Class A stock (f/k/a Google)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Altria Group Inc stock	B	Dividend	J	T	Sold (part)	12/19/18	J		
19. - Amazon Com stock	A	Dividend	K	T	Buy (add'l)	08/15/18	J		
20.					Buy (add'l)	10/10/18	J		
21. - American Intl Gp Inc (new) stock	A	Dividend	J	T	Buy (add'l)	04/04/18	J		
22.					Buy (add'l)	05/04/18	J		
23. - Amgen Inc stock	A	Dividend			Buy (add'l)	08/08/18	J		
24.					Sold	12/19/18	J		
25. - Amphenol Corp New Class A stock	A	Dividend	J	T					
26. - Anadarko Pete stock	A	Dividend	J	T					
27. - Anheuser Busch Inbev SA stock	A	Dividend			Buy (add'l)	08/08/18	J		
28.					Sold	12/19/18	J		
29. - Anthem Inc stock	A	Dividend	J	T					
30. - Apple Inc stock	A	Dividend	K	T	Buy (add'l)	10/10/18	J		
31. - Applied Materials Inc stock	A	Dividend	J	T	Buy (add'l)	08/20/18	J		
32.					Buy (add'l)	10/12/18	J		
33. - Bank of America Corp stock	B	Dividend			Sold (part)	03/12/18	J		
34.					Sold	11/07/18	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Baxter Intl Inc stock	A	Dividend	J	T					
36. - Becton Dickinson & Co stock		None	J	T	Buy	11/15/18	J		
37. - Berkshire Hathaway Class B stock	A	Dividend	J	T					
38. - Boeing Inc stock	A	Dividend	J	T	Buy (add'l)	10/10/18	J		
39.					Sold (part)	10/11/18	J		
40. - Booking Holdings stock (fka Priceline Group)	A	Dividend	J	T					
41. - Bristol Myers Squibb Co stock	A	Dividend	J	T	Sold (part)	01/30/18	J		
42.					Buy (add'l)	05/08/18	J		
43.					Buy (add'l)	10/26/18	J		
44. - British Amer Tob Spon ADR stock	A	Dividend			Sold	12/06/18	J		
45. - Broadridge Financial Solution LLC stock	A	Dividend	J	T	Sold (part)	05/07/18	J		
46.					Buy (add'l)	09/27/18	J		
47. - Cigna Corp stock		None	J	T	Buy	12/28/18	J		
48. - CSX Corp stock	A	Dividend	J	T	Sold (part)	11/13/18	J		
49.					Sold (part)	12/03/18	J		
50. - CVS Health Corp stock	A	Dividend	J	T					
51. - Casey's General Stores Inc stock	A	Dividend			Sold	01/25/18	J		

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52. - Celgene Corp stock	A	Dividend			Sold (part)	11/12/18	J		
53.					Sold	11/15/18	J		
54. - Cerner Corp stock	A	Dividend	J	T	Buy (add'l)	09/27/18	J		
55. - Chevron Corp stock	A	Dividend	J	T	Sold (part)	10/25/18	J		
56. - Citigroup Inc stock	A	Dividend			Sold	11/07/18	J		
57. - Cognizant Tech Solutions stock	A	Dividend	J	T					
58. - ConocoPhillips stock	A	Dividend	K	T	Buy (add'l)	10/10/18	J		
59. - Corning Inc stock	A	Dividend	J	T	Buy (add'l)	03/21/18	J		
60. - Walt Disney Co Holding Company stock	A	Dividend	K	T	Buy (add'l)	06/18/18	J		
61.					Buy (add'l)	07/17/18	J		
62.					Buy (add'l)	08/08/18	J		
63. - Dollar Tree Inc stock	A	Dividend	J	T	Buy (add'l)	09/17/18	J		
64. - Du Pont Ei De Nemours & Co stock	A	Dividend			Buy (add'l)	08/08/18	J		
65.					Buy (add'l)	10/10/18	J		
66.					Sold	12/19/18	J		
67. - Ecolab Inc stock	A	Dividend	J	T	Sold (part)	04/19/18	J		
68.					Sold (part)	12/13/18	J		

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69. - Express Scripts Hldg Co stock	A	Dividend			Redeemed	12/24/18	J		
70. - Exxon Mobil stock	A	Dividend			Sold	01/30/18	J		
71. - FMC Corp stock	A	Dividend	J	T	Buy (add'l)	12/28/18	J		
72. - Facebook Inc Class A stock	A	Dividend	K	T	Buy (add'l)	01/12/18	J		
73.					Buy (add'l)	02/14/18	J		
74.					Buy (add'l)	10/10/18	J		
75.					Sold (part)	07/30/18	J		
76. - Fastenal Co stock	A	Dividend	J	T					
77. - Fleetcor Technologies stock	A	Dividend	J	T					
78. - Fortune Brands Home & Sec Inc stock		None	J	T	Buy	10/08/18	J		
79. - Freeport-McMoran Class B stock		None	J		Buy	10/29/18	J		
80.					Buy (add'l)	12/14/18	J		
81. - Halliburton Co stock	A	Dividend	J	T	Buy (add'l)	10/10/18	J		
82.					Sold (part)	12/06/18	J		
83. - Honeywell International Inc stock	A	Dividend	J	T	Sold (part)	11/02/18	J		
84. - Humana Inc stock	A	Dividend	J	T	Sold (part)	11/02/18	J		
85. - Intel Corp stock	A	Dividend	J	T	Buy (add'l)	08/15/18	J		

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86. - Intuit Inc stock	A	Dividend	J	T	Sold (part)	12/13/18	J		
87. - JP Morgan Chase & Co stock	A	Dividend			Buy (add'l)	08/15/18	J		
88.					Sold	11/07/18	J		
89. - Johnson & Johnson stock	A	Dividend	J	T					
90. - Juniper Networks stock	A	Dividend			Sold (part)	04/04/18	J		
91.					Sold	05/08/18	J		
92. - Littlefuse Inc stock	A	Dividend	J	T					
93. - Lockheed Martin Corp stock	A	Dividend	J	T	Buy (add'l)	10/10/18	J		
94. - Lowes Companies Inc stock	A	Dividend	J	T	Buy (add'l)	04/26/18	J		
95.					Sold (part)	08/20/18	J		
96. - MGM Resorts International stock	A	Dividend			Sold	06/13/18	J		
97. - Marathon Petroleum Corp stock	A	Dividend	J	T					
98. - Markel Corp (Holding Co) stock	A	Dividend	J	T					
99. - Mastercard Inc stock	A	Dividend	J	T	Buy (add'l)	08/15/18	J		
100.					Buy (add'l)	10/10/18	J		
101.					Sold (part)	10/08/18	J		
102.					Sold (part)	12/19/18	J		

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103. - Maximus Inc stock	A	Dividend			Sold (part)	01/09/18	J		
104.					Sold	01/30/18	J		
105. - Merck & Co Inc stock	A	Dividend	J	T	Buy (add'l)	10/10/18	J		
106. - Metlife Incorporated stock	A	Dividend	J	T					
107. - Microsoft Corp stock	A	Dividend	K	T	Buy (add'l)	10/10/18	J		
108. - Middleby Corp stock	A	Dividend	J	T	Sold (part)	09/17/18	J		
109.					Sold (part)	10/08/18	J		
110. - Morgan Stanley stock	A	Dividend	J	T					
111. - Nextera Energy Inc stock	A	Dividend	J	T	Sold (part)	05/10/18	J		
112. - Nike Inc stock	A	Dividend	J	T	Buy (add'l)	10/10/18	J		
113. - Novo Nordisk A/S ADR stock	A	Dividend	J	T	Buy (add'l)	09/27/18	J		
114. - Nvidia Corp stock		None	J	T	Buy	12/13/18	J		
115. - Palo Alto Networks Inc stock	A	Dividend			Buy (add'l)	10/10/18	J		
116.					Sold	12/06/18	J		
117. - Pepsico Inc stock	A	Dividend			Sold	10/08/18	J		
118. - Philip Morris Intl Inc stock	A	Dividend	J	T	Sold (part)	12/19/18	J		
119. - Phillips 66 stock	A	Dividend	J	T	Buy (add'l)	10/10/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place (X) after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	12/06/18	J		
121. - Priceline Grp Inc stock (Y)									
122. - Qualcomm Inc stock	A	Dividend	J	T	Sold (part)	08/28/18	J		
123.					Sold (part)	09/14/18	J		
124. - Qurate Retail Inc series A stock	A	Dividend	J	T	Buy	05/14/18	J		
125.					Buy (add'l)	05/21/18	J		
126.					Buy (add'l)	11/02/18	J		
127. - Royal Dutch Shell PLC stock	A	Dividend			Buy (add'l)	08/15/18	J		
128.					Buy (add'l)	10/10/18	J		
129.					Sold	12/19/18	J		
130. - Schlumberger Ltd stock	A	Dividend			Sold	10/11/18	J		
131. - S&P Global Inc. stock		None	J	T	Buy	09/14/18	J		
132.					Buy (add'l)	10/17/18	J		
133. - Sherwin Williams Company stock	A	Dividend	J	T					
134. - TJX Cos Inc stock	A	Dividend	J	T	Sold (part)	06/15/18	J		
135.					Sold (part)	08/27/18	J		
136. - Target Corporation stock	A	Dividend			Buy (add'l)	11/27/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place (X) after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	01/30/18	J		
138.					Sold	12/19/18	J		
139. - Technipfmc Ltd stock		None	J	T	Buy	08/29/18	J		
140.					Buy (add'l)	10/29/18	J		
141. - Teradata Corp stock	A	Dividend	J	T					
142. - Tyson Foods Inc stock	A	Dividend	J	T	Buy (add'l)	08/23/18	J		
143.					Sold (part)	10/29/18	J		
144. - Union Pacific Corp stock	A	Dividend	J	T	Sold (part)	06/13/18	J		
145.					Sold (part)	08/20/18	J		
146. - United Technologies Corp stock	A	Dividend	J	T					
147. - Unumprovident Corp stock	A	Dividend	J	T					
148. - Valero Energy CP stock	A	Dividend	J	T	Sold (part)	05/10/18	J		
149. - Verizon Communications stock	A	Dividend	J	T	Sold (part)	11/13/18	J		
150. - Visa Inc stock	A	Dividend	J	T	Buy (add'l)	10/10/18	J		
151. - Wells Fargo & Co stock	A	Dividend			Sold (part)	09/27/18	J		
152.					Sold	09/28/18	J		
153. - Western Alliance Bancorp stock	A	Dividend	J	T	Buy	02/05/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place (X) after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	02/07/18	J		
155. - Williams Co Inc stock	A	Dividend	J	T	Sold (part)	05/18/18	J		
156.					Buy (add'l)	09/26/18	J		
157. - Check Point Software Tech Ltd stock	A	Dividend	J	T	Buy	01/09/18	J		
158. ETF/Equity Index Holdings (H)									
159. - BCS Trigger Plus on SPX (MS ISSUE)		None	L	T	Buy	10/31/18	K		
160. - MS Dual Directional Trigger on SPX (MS ISSUE)		None	K	T	Buy	11/30/18	K		
161. - Invesco (fka Guggenheim) S&P 500 Equal Weight ETF	A	Dividend	K	T	Buy (add'l)	07/12/18	J		
162.					Buy (add'l)	09/13/18	J		
163. - First Trust Large Cap Core ETF	A	Dividend			Sold	04/10/18	J		
164. - First Trust Value Div IDX ETF	A	Dividend	K	T	Buy	02/14/18	J		
165. - First Trust Dow Jones Stoxx Eur ETF	A	Dividend			Sold	10/11/18	J		
166. - First Trust Mld CP Cor AlphaDX ETF		None	M	T	Buy	11/13/18	M		
167.					Buy (add'l)	12/10/18	J		
168.					Buy (add'l)	12/12/18	J		
169.					Buy (add'l)	12/17/18	J		
170.					Buy (add'l)	12/31/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place (X) after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - First Trust Senior Loan Fund ETF	A	Dividend	J	T	Buy	07/12/18	J		
172.					Buy (add'l)	11/14/18	J		
173.					Sold (part)	12/19/18	K		
174. - O'Shares FTSE US Quality ETF	A	Dividend	J	T	Sold (part)	07/12/18	J		
175. - Global X RBTCs & AI ETF	A	Dividend			Sold	11/12/18	J		
176. - IQ Enhanced Core Plus Bond ETF	A	Dividend			Sold	02/21/18	J		
177. - iShares Core S&P Total US Stock ETF	A	Dividend	K	T					
178. - iShares TIPS Bond ETF	A	Dividend	K	T	Buy (add'l)	10/12/18	J		
179.					Buy (add'l)	11/13/18	K		
180. - S&P 500 Index Fund ETF	A	Dividend			Sold	03/16/18	J		
181. - iShares SP Smallcap 600 Index ETF	A	Dividend	J	T	Buy (add'l)	07/12/18	J		
182. - iShares MSCI Emerging Markets ETF	A	Dividend	J	T					
183. - iShares MSCI USA Min Vol ETF	A	Dividend	K	T	Buy (add'l)	02/07/18	J		
184. - iShares MSCI US Quality Fac ETF		None	J	T	Buy	12/12/18	J		
185. - JPM Diversified Ret Intl Equity ETF	B	Dividend			Sold (part)	10/11/18	J		
186.					Sold	10/12/18	J		
187. - Mainstay Def Term Muni Opp ETF	A	Dividend	K	T	Buy (add'l)	04/23/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place (X) after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	10/10/18	J		
189. - Powershares DB Base Metals FD ETF	A	Dividend			Sold	07/12/18	J		
190. - Invesco (fka) Powershares QQQ ETF	A	Dividend	J	T	Sold (part)	02/07/18	J		
191.					Sold (part)	04/10/18	J		
192. - Powershares DB Multi-Sec Energy FD ETF	A	Dividend			Sold	12/12/18	J		
193. - SPDR Portf Emerging Markets ETF	A	Dividend			Sold	07/12/18	J		
194. - SPDR Blackstone GSO Sen Loan ETF	A	Dividend			Sold	01/09/18	J		
195. - SPDR Gold Tr Gold ETF		None	K	T	Buy	11/13/18	J		
196.					Buy (add'l)	11/20/18	J		
197. - SPDR S&P Midcap 400 ETF	A	Dividend	J	T	Buy (add'l)	09/13/18	J		
198. - SPDR Technology Sel Sector FD ETF	A	Dividend			Buy (add'l)	01/09/18	J		
199.					Sold (part)	09/13/18	J		
200.					Sold	12/12/18	J		
201. - WT BBRG DLR Bull ETF	A	Dividend			Sold	02/07/18	J		
202. - Invesco Diversified Dividend ETF	B	Dividend			Sold	02/14/18	L		
203. - Van Eck Vectors Gold Miners ETF		None	J	T	Buy	11/13/18	J		
204. - Vanguard Index FDS S&P 500 ETF		None	J	T	Buy	10/11/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place (X) after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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205. - Wisdomtree Europe Hedged Equity ETF	A	Dividend	J	T	Buy	03/16/18	J		
206.									
207. Mutual Funds (H)									
208. - American Century Emerg Mkts Inv Fund	A	Interest	L	T	Buy (add'l)	10/01/18	J		
209.					Buy (add'l)	10/12/18	J		
210.					Sold (part)	11/12/18	J		
211.					Sold (part)	11/13/18	J		
212. - American Infl Linked Bond Fund Fund	A	Interest	L	T	Buy	02/14/18	K		
213.					Buy (add'l)	11/13/18	J		
214.					Buy (add'l)	11/14/18	K		
215. - Brandes Intl Small Cap Equity Fund	A	Interest			Buy (add'l)	10/01/18	J		
216.					Buy (add'l)	10/12/18	J		
217.					Sold (part)	02/14/18	J		
218.					Sold	11/13/18	L		
219. - Blackrock Inflation Protect Bond Fund	A	Interest	K	T	Buy	05/18/18	J		
220.					Buy (add'l)	11/13/18	J		
221. - Columbia Dividend Inc Z Fund	A	Interest	L	T	Buy (add'l)	10/12/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	12/31/18	J		
223.					Sold (part)	11/13/18	J		
224. - Columbia Sel Large Cap Value Z Fund	A	Interest	L	T	Sold (part)	02/14/18	J		
225. - EV Floating Rate I	A	Interest			Sold	02/14/18	K		
226. - Franklin Gold & Precious Metals Fund		None	L	T	Buy	11/13/18	L		
227. - Goldman Sachs SMCP Eq Insight	A	Interest	L	T	Buy (add'l)	02/14/18	K		
228.					Buy (add'l)	12/31/18	J		
229.					Sold (part)	11/13/18	J		
230.					Sold (part)	12/06/18	J		
231. - Goldman Sachs Emerging Markets Debt I	A	Interest			Buy (add'l)	02/14/18	K		
232.					Sold	05/18/18	L		
233. - Ivy Intl Core Equity I	B	Interest	L	T	Buy (add'l)	02/14/18	K		
234.					Buy (add'l)	10/12/18	J		
235.					Buy (add'l)	11/08/18	J		
236.					Buy (add'l)	11/13/18	J		
237.					Sold (part)	11/12/18	K		
238.					Sold (part)	11/13/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place (X) after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. - Clearbridge Aggressive Growth I	C	Interest	L	T	Buy (add'l)	12/12/18	J		
240.					Sold (part)	02/14/18	K		
241.					Sold (part)	11/13/18	J		
242. - First Trust Preferred Sec & Inc Index	A	Interest	L	T	Buy (add'l)	02/14/18	J		
243.					Buy (add'l)	10/12/18	J		
244.					Buy (add'l)	11/13/18	J		
245.					Sold (part)	02/14/18	J		
246.					Sold (part)	05/18/18	J		
247.					Sold (part)	11/13/18	J		
248. - Lord Abbett Flt Rt Fund	A	Interest	M	T	Buy	05/18/18	L		
249.					Buy (add'l)	11/13/18	K		
250.					Buy (add'l)	12/10/18	J		
251. - Lord Abbett Ultra Short Bond Fund		None	L	T	Buy	12/20/18	L		
252. - Mainstay Tax Free Bond I	A	Interest	K	T					
253. - Neuberger Berman Intl Eq Inst	A	Interest			Sold	02/14/18	K		
254. - Nuveen Muni Tot Ret Mngd Accts	A	Interest	M	T					
255. - Oppenheimer Intl Small-Mid Co	A	Interest	K	T	Buy (add'l)	10/12/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place (X) after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256.					Buy (add'l)	11/13/18	J		
257.					Buy (add'l)	12/31/18	J		
258. - Principal Preferred Sec Inst NBR	A	Interest			Sold (part)	02/14/18	J		
259.					Sold	12/13/18	J		
260. - Prudential Jennison SM Comp Z	A	Interest	L	T					
261. - Virtus Vontobel Foreign Opportunity I	A	Interest	J	T	Buy (add'l)	02/14/18	J		
262.					Buy (add'l)	10/12/18	J		
263.					Buy (add'l)	12/21/18	J		
264.					Sold (part)	11/13/18	L		
265. - Virtus Vontobel Emerging Market Opportunity I	A	Interest			Buy (add'l)	10/01/18	J		
266.					Buy (add'l)	10/12/18	J		
267.					Sold (part)	02/14/18	J		
268.					Sold	11/13/18	L		
269. - Western Asset Core Plus BD I NBR	B	Interest	L	T	Buy (add'l)	02/05/18	J		
270.					Sold (part)	02/14/18	K		
271.					Sold (part)	05/18/18	J		
272. - Western Asset Int Term Muni	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place (X) after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273.									
274. Fixed Income/Bonds (H)									
275. - Chaseflex Trust 2005-1	A	Interest	K	T					
276. - Delta Airlines 2010-2A (Y)									
277. - NAA 2006-AP1	A	Interest	J	T					
278. - Bexar County TX Hospital Dist	A	Interest	J	T	Buy	04/05/18	J		
279. - Boone Cnty Ky School Dist	A	Interest	J	T					
280. - Carmel Ind Local Pub Impt Board	A	Interest			Sold	11/01/18	J		
281. - Chaffey Calif United HS	A	Interest			Sold	04/03/18	J		
282. - Clark County WA School Dist	A	Interest			Sold	11/14/18	J		
283. - Dallas Fort Worth Intl Airport	A	Interest	J	T	Buy	12/18/18	J		
284. - Escambia County FL Cap Improv	A	Interest	J	T	Buy	11/20/18	J		
285. - Geisinger Auth PA Health System	A	Interest	J	T					
286. - Johnson Cnty Kansas Uni School	A	Interest			Sold	12/17/18	J		
287. - Kentucky St Prop & Bldgs Comm Rev Ref Proj 100-A	A	Interest	K	T					
288. - Las Vegas Valley Water Dist	A	Interest	J	T	Buy	11/14/18	J		
289. - New York City Trans Fin Auth	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place (X) after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. - North Texas Twy Auth	A	Interest	J	T	Buy	11/08/18	J		
291. - Ohio State Infrastr Impt	A	Interest	K	T					
292. - Pearland Texas Water & Sewer	A	Interest	J	T	Buy	11/01/18	J		
293. - Port Auth NY & NJ Consolidated Rev	A	Interest	K	T					
294. - Texas St Mobility Fund Rev	A	Interest			Sold	07/19/18	K		
295. ██████████ Bank Accounts									
296. - Wells Fargo Cash Accounts	A	Interest	J	T					
297. - Chase Bank Cash Account	A	Interest	J	T					
298.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts

- Trust #1 notation includes all relevant investment, brokerage and retirements accounts held via [REDACTED] trust (filer and spouse being co-trustees) and/or beneficiary at Morgan Stanley Smith Barney. Holdings are included under Trust #1 and itemized individually for ease of reference.
- Holding at line 1 (Horizon Ridge) was limited interest in real estate investment managed by third party. The interest was redeemed/completed and thereafter dissolved during 2017-18 time period. Filer lists same to note conclusion of interest and that it is no longer held.
- Holding at lines 4, 9 and 10 relate to the same holding from prior reporting. For purposes of the 2018 filing, they are consolidated at line 10.
- Holding at line 14 asset listed no longer utilized as rental property and no reportable transactions occurred during the period. Asset still owned at end of the reporting period and utilized personally by Filer.
- Holding at line 40 (Booking Holdings) and line 121 (Priceline) are same holding due to name change in 2018. Holding now listed under its current name at line 40.
- Filer lists checking/savings accounts at lines 295-297 belonging to adult [REDACTED] that are above the applicable reporting threshold.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jennifer A. Dorsey**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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