

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fulton,, Thomas H.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 07/01/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. Courthouse 601 West Broadway, Suite 528 Louisville, KY 40202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gi[fts].)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AMERIPRISE FINANCIAL									
2. First Eagle Global CL A	A	Dividend	J	T					
3. Hilliard Lyons Trust Account									
4. Abbvie Inc	A	Dividend	K	T					
5. Allergan PIC cap stk	A	Dividend	J	T	Buy (add'l)	10/02/18	J		
6. Alphabet	A	Dividend	J	T					
7. Berkshire Hathaway	A	Dividend	K	T					
8. Cisco	A	Dividend	J	T					
9. Disney Walt	A	Dividend	K	T					
10. Exxon Mobile	A	Dividend	J	T					
11. Pepsico	A	Dividend	K	T					
12. Proctor & Gamble	A	Dividend	K	T					
13. Walgreens Co.	A	Dividend	K	T					
14. Goldmans Sach Financial Square Federal Fund	A	Int./Div.	K	T	Sold (part)	03/31/18	J	A	
15.					Buy (add'l)	03/31/18	J		
16. Johnson & Johnson Co.	A	Dividend	K	T					
17. TJX Companies	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. Harley Davidson Inc.	A	Dividend	J	T					
19. Microsoft Corp	A	Dividend	K	T	Sold (part)	10/02/18	K	E	
20. Home Depot	A	Dividend	K	T					
21. Chevron Corp	A	Dividend	K	T					
22. CVS Caremark Corp	A	Dividend	K	T					
23. US Bancorp Del Com	A	Dividend	J	T					
24. Ishare TR S&P 400 Value	A	Dividend	J	T					
25. VanGuard Index Small Cap ETF	A	Dividend	J	T					
26. VanGuard FTSE Emering Mrkt ETF	A	Dividend			Sold	02/21/18	J	B	
27. Southern Co.	A	Dividend			Sold	02/21/18	J	B	
28. JP Morgan Chase	A	Dividend	K	T					
29. Expediors Intl	A	Dividend	J	T					
30. International Business Machs Corp	A	Dividend	J	T					
31. Artisan International	A	Dividend	K	T					
32. Dodge & Cox International Stock	A	Dividend	K	T					
33. Pfizer, Inc.	A	Dividend	J	T					
34. Apple, Inc.	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Fastenal Co Com	A	Dividend	K	T	Buy (add'l)	10/04/18	J		
36. Northern Tr Corp Com	A	Dividend	J	T					
37. Wells Fargo & Co. New Com	A	Dividend	J	T					
38. Wells Fargo Emerging Markets Equity Fund	A	Dividend	J	T	Buy	02/21/18	J		
39. HILLIARD LYONS BROKERAGE ACCT #1									
40. Hilliard Lyons Govt. Fund	A	Dividend	M	T	Buy (add'l)	10/30/18	M		
41. Bar Harbor Bankshares	A	Dividend	J	T					
42. Connecticut WTR Svc, Inc	A	Dividend	J	T					
43. Cisco Systems, Inc.	A	Dividend	K	T					
44. Walt Disney Stock	A	Dividend	L	T					
45. Home Depot Stock	A	Dividend	L	T					
46. International Paper	A	Dividend	K	T					
47. Kimberly-Clark Corp Stock	A	Dividend	K	T					
48. Microsoft	A	Dividend	K	T					
49. Pfizer, Inc	A	Dividend	J	T					
50. Colgate-Palmolive Co.	A	Dividend	K	T					
51. Comcast Corp Class A	A	Dividend	K	T					

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52. Corning, Inc.	A	Dividend	J	T					
53. Janus Cap Group	A	Dividend	J	T					
54. Motorcar parts of America	A	Dividend	K	T					
55. Summer Infant	A	Dividend	J	T					
56. O Reily Automotive, Inc.	A	Dividend	J	T					
57. Zoetis, Inc.	A	Dividend	J	T					
58. Core Molding Tech Inc	A	Dividend	J	T					
59. Avanos Med Inc formerly known as (Hallyard Health Inc)	A	Dividend	J	T					
60. Veritiv Corp	A	Dividend	J	T					
61. Hennessy Advisors Inc	A	Dividend	J	T					
62. Napco Security	A	Distribution	J	T					
63. Middleby Corp	A	Dividend	J	T	Buy (add'l)	05/16/18	J		
64. Big Lots Inc	A	Dividend	J	T					
65. Stifel Financial Corp	A	Dividend	K	T	Buy	11/13/18	K		
66. Ultralife Corporation	A	Dividend	K	T	Buy	12/20/18	K		
67. Tenn Valley Auth Electronotes Callable	A	Int./Div.	K	T	Buy	10/30/18	K		
68. HILLIARD LYONS BROKERAGE ACCT #2									

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69. Walmart	A	Dividend	J	T					
70. Lincoln Natil life Ins American Legacy III Var. Annuity- growth Inc.	A	Dividend	K	T					
71. Lincoln Natil life Ins American Legacy III Var. Annuity- Growth	A	Dividend	L	T					
72. Hilliard Lyons Govt Fund	A	Dividend	J	T					
73. DowDupont inc	A	Dividend	K	T					
74. QualComm, Inc.	A	Dividend	K	T					
75. Oracle Corp	A	Dividend	K	T					
76. Pfizer, Inc	A	Dividend	J	T					
77. Janus Henderson Group PLC	A	Dividend	J	T					
78. Motorcar Parts of America	A	Dividend	K	T					
79. Stifel Financial Corp	A	Dividend	J	T					
80. Summer Infant Inc.	A	Dividend	J	T					
81. Zoetis, Inc.	A	Dividend	J	T					
82. Hingham Institue for Svg	A	Dividend	J	T					
83. Core Molding Tech, Inc	A	Dividend	J	T					
84. Hennessy Advisors inc	A	Dividend	J	T					
85. Napco Security	A	Dividend	J	T					

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86. Big Lots Inc.	A	Dividend	J	T					
87. Middleby Corp	A	Dividend	J	T					
88. Hilliard Lyons Retirement Acct.									
89. Washington Mutual Fund	A	Dividend			Distributed	01/01/18	J		
90. Wells Fargo (formerly Wachovia Securities) Brokerage Acct #1									
91. Invesco TR Water Res (formerly Powershares Water Resources)	A	Dividend	J	T					
92. Wachovia Bank Deposit Sweep Option	A	Dividend	J	T					
93. AAM Emergin Markets Dividend	A	Dividend			Redeemed	09/10/18	K	B	
94. AAM Emerging Markets Div Portfolio Series 18-2q	A	Dividend	K	T	Buy	09/07/18	K		
95. Sanofi ADR	A	Dividend			Sold	05/07/18	J	C	
96. First Trust Dow Targert 10 Jan 17	C	Interest			Redeemed	02/13/18	M	C	
97. First Trust Dow Target 10 Feb 18	C	Interest	M	T	Buy	02/12/18	M		
98. guggenheim Dow jones Value Div	A	Dividend			Redeemed	06/19/18	K	B	
99. Guggenheim Dow Jones Value Divided Focus Port Ser 33	A	Dividend	K	T	Buy	06/18/18	K		
100. Owens Corning Inc	A	Dividend	J	T	Buy	05/07/18	J		
101. WELLS FARGO (FORMELRY WACHOVIA SECURITES) ACCT #3									
102. Bank Deposit Sweep	A	Interest	K	T					

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103. Monmouth Real Estate Reit	A	Dividend	K	T					
104. UMH Properties, Inc.	A	Dividend	J	T					
105. Aberdeen Global Dynamic formerly known as (Alpine Global Dynamic)	A	Dividend	J	T					
106. Medtronic, Inc.	A	Dividend	K	T					
107. Merk & Co. Inc.	A	Dividend	K	T					
108. Exxon Mobile	A	Dividend	J	T					
109. BP Plc Spons ADR	A	Dividend			Sold	05/07/18	K	A	
110. First Trust Dow Target	A	Interest			Redeemed	01/11/18	K	C	
111. First Trust Dow Target DVD 1q 18 C	A	Interest	K	T	Buy	01/10/18	K		
112. DowDupont Inc	A	Dividend	J	T	Buy	05/07/18	K		
113. IShares ETF Global Infrastructure	A	Dividend	J	T	Buy	05/07/18	K		
114. Boeing Co	A	Dividend	J	T	Buy	06/08/18	J		
115. WELLS FARGO (FORMERLY WACHOVIA SECURITIES) BROKERAGE ACCT #4									
116. Gilat Satellite Networks	A	Dividend	J	T					
117. Pfizer Incorporated	B	Dividend	K	T					
118. Tetra Tech, Inc.	A	Dividend	K	T					

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119. Verizon Communications	B	Dividend	K	T					
120. Tekla Healthcare Investors	A	Dividend			Sold	07/02/18	J	B	
121. Tekla Life Sciences	B	Dividend			Sold	07/02/18	J	A	
122. IRA Bank Deposit Sweep	A	Interest	L	T					
123. Frontier Communications, Corp	A	Dividend	J	T					
124. Chevron	A	Dividend	K	T					
125. Apple, Inc.	A	Dividend	K	T					
126. First Trust Financial Select Port Series 62	A	Int./Div.	K	T					
127. Coca-Cola Company	A	Dividend	K	T					
128. Exxon Mobile Corp	A	Dividend	K	T					
129. International Business Machine Corp	A	Dividend	K	T					
130. First Trust Dow Target DVD 4q	C	Interest			Redeemed	01/11/18	L	C	
131. First Trust Dow Target DVD 1q 18	C	Interest	L	T	Buy	01/10/18	L		
132. John Hancock Money Market	A	Interest			Sold	05/07/18	K	B	
133. Cisco Systems, Inc.	A	Dividend	J	T					
134. Ford Motor Co.	A	Dividend			Sold	08/30/18	J	A	
135. Proctor & Gamble Co.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. Amazon Com Inc.	B	Dividend	K	T					
137. Merk & Co. Inc.	B	Dividend	K	T					
138. Outfront Media	A	Dividend	J	T					
139. Starbucks Corp	A	Dividend	J	T					
140. T-mobile Us Inc.	A	Dividend	J	T					
141. US Foods Holding Corp	A	Dividend	K	T					
142. First Trust Banking Opportunity Port Series 27	A	Dividend			Redeemed	12/19/18	K	B	
143. Macquarie Infrastructure Corporation	A	Dividend	J	T	Buy	05/07/18	J		
144. US Concrete Inc New	A	Dividend	J	T	Buy	05/07/18	J		
145. Zayo GRP Holdings Inc	A	Dividend	J	T	Buy	05/07/18	J		
146. AT & T Inc	A	Dividend	K	T	Buy	05/17/18	K		
147. Salesforce.com	A	Dividend	K	T	Buy	06/04/18	K		
148. Philip Morris International Inc	A	Dividend	K	T	Buy	06/08/18	K		
149. Berkshire Hathaway Inc Series B	A	Dividend	K	T	Buy	07/02/18	K		
150. Paypal Holdings Inc	A	Dividend	J	T	Buy	08/27/18	J		
151. Guggenheim Blue Chip Growht Portfolio Series 20	A	Dividend	K	T	Buy	12/19/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
152. WELLS FARGO (FORMERLY WACHOVIA SECURITIES) BROKERAGE ACCT #5									
153. Bank Deposit Sweep	A	Dividend	K	T					
154. Monmouth Real Estate	B	Dividend	K	T					
155. UMH Properties, Inc.	A	Dividend	K	T					
156. Verizon Communications	A	Dividend	J	T					
157. First Trust Interest Rete hedge Portfolio Ser 110	A	Dividend	L	T					
158. First Trust Homebuilders Recovery Ser 17	B	Dividend			Sold	11/27/18	L		
159. First Trust Capital strength Oppty Port Series 2	B	Dividend			Redeemed	12/19/18	L	D	
160. First Trust Energy Select Portfolio	A	Dividend			Redeemed	08/07/18	K	C	
161. First Trust Energy Opportunity Portfolio Serie 2 cash	B	Dividend	K	T	Buy	08/03/18	K		
162. First Trust Dow Target 10 Dec 16	B	Dividend			Redeemed	01/11/18	K	C	
163. First Trust Dow Target 10 Jan 18	B	Dividend	K	T	Buy	01/11/18	K		
164. Guggenheim Dow Jones Values Div Focus Portfolio Ser 23	B	Dividend			Redeemed	03/19/18	K	C	
165. Guggenheim Dow Jones Value Divided Focus Portfolio ser 32 C	B	Dividend	K	T	Buy	03/20/18	K		
166. First Trust Diversified Equity Start Alloc prt 2q 17	B	Dividend			Redeemed	07/18/18	M	D	
167. First Trust Diversified Equity Strat Allc. port 3q'18R	D	Dividend	M	T	Buy	07/17/18	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
168. First Trust US Infrastructure Portfolio Series 13 Cash	B	Dividend	K	T	Buy	02/01/18	K		
169. Guggenheim Health Care Portfolio Series 25 cash	B	Dividend	K	T	Buy	11/09/18	K		
170. Guggenheim Technology Portfolio Ser 24 cash	B	Dividend	L	T	Buy	11/27/18	L		
171. Guggenheim Blue Chip Growth Portfolio Series 20 Cash	C	Dividend	L	T	Buy	12/17/18	L		
172. Regulus Advisors (formerly PRO CASH PLUS #1)									
173. Federated Capital Reserves	A	Dividend	J	T					
174. MFS Municipal Income Fund	A	Dividend	K	T					
175. Vanguard Whitehall FDS High Divident	B	Dividend	K	T					
176. Wisdom Tree TR Div. Ex. Financials	B	Dividend	K	T					
177. Altria Group Inc Com	B	Dividend	K	T					
178. AT&T Inc.	A	Dividend	K	T					
179. Select Spdr TR	A	Dividend	K	T					
180. Procter & Gamble Co.	A	Dividend	K	T					
181. Verizon Communications	B	Dividend	K	T					
182. Tax Exempt Bond Fund of America Class A	B	Dividend	L	T					
183. Bristol Myers Squibb	A	Dividend			Sold	11/14/18	K	A	
184. American Short Term Tax-Exempt Bond Fund	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
185. PNC Finl Svcs Group Inc Ser Q Non Cum	A	Dividend	J	T					
186. Exelon Corp Com	A	Dividend	K	T					
187. First TR Low Duration Opportunities ETF	A	Dividend			Sold	09/17/18	J	A	
188. Select Sector SPDR TR Health Care	A	Dividend	J	T	Buy	11/14/18	J		
189. Smart TR Healthcare Innovations TR Ser 6 Unit	A	Dividend	J	T	Buy	11/14/18	J		
190. Constellation Brands Inc	A	Dividend	K	T	Buy	11/14/18	K		
191. Regulus Advisors (formerly PRO CASH #2)									
192. Ventas, Inc.	B	Dividend	K	T					
193. Comcast Corp	A	Dividend	K	T					
194. Exxon Mobile Corp	A	Dividend	K	T					
195. General Electric Co.	A	Dividend	K	T					
196. Seadrill limited Shs.	A	Dividend	J	T	Sold (part)	07/05/18	J	A	
197. 3d Sys Corp	A	Dividend	J	T					
198. Westport Fuel Systems Inc (formerly Westport Innovations, Inc.)	A	Dividend	J	T					
199. Pershing Govt. Acct	A	Interest	J	T					
200. Fireye Inc Com	A	Dividend	J	T					
201. Dineequity Inc.	A	Dividend			Sold	11/14/18	J	B	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
202. Small-Cap World Fund Class A	B	Dividend			Sold	02/07/18	L	D	
203. Income Fund of America Class-A	C	Dividend			Sold	02/07/18	N	E	
204. Boeing Co	A	Dividend	K	T					
205. Select Sctrro Spdr TR Technology	B	Dividend	K	T					
206. VISA, Inc.	A	Dividend			Sold	07/31/18	K	C	
207. ROBO Global Robotics and Automation Index ETF	A	Dividend	J	T					
208. AT&T	A	Dividend	K	T	Buy (add'l)	08/09/18	K		
209. American Funds U.S. Government Money Market Fund	D	Dividend	M	T	Buy	02/05/18	N		
210.					Sold (part)	08/09/18	M	D	
211.					Sold (part)	10/10/18	L	C	
212. Walmart Inc	B	Dividend	L	T	Buy	08/09/18	L		
213. Washington Mutual Investors Fund Class A	C	Dividend	M	T	Buy	08/09/18	M		
214. Berkshire Hathaway Inc	A	Dividend	K	T	Buy	06/08/18	K		
215. Select Sector SPDR TR UTILS	B	Dividend	K	T	Buy	06/10/18	K		
216. Alexander Investment Services -I									
217. Insured Deposit Account	A	Dividend	J	T	Buy	01/01/18	J		
218. First Trust WTR ETF	A	Dividend	J	T	Buy	01/01/18	J		

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
219. Global X rbtes artfl into ETF	A	Dividend	J	T	Buy	01/01/18	J		
220. Vanguard Intl Dvd ETF	A	Dividend			Buy	01/01/18	K		
221.					Sold	11/30/18	K	A	
222. Vanguard Intl High ETF	A	Dividend			Buy	01/01/18	K		
223.					Sold	11/26/18	J	A	
224. Vanguard Short Term Treas ETF	A	Dividend	J	T	Buy	01/01/18	J		
225. Vanguard REIT ETF	A	Dividend			Buy	01/01/18	J		
226.					Sold	11/30/18	J	A	
227. Vanguard Total Stk Mkt ETF	A	Dividend			Buy	01/01/18	K		
228.					Sold	11/26/18	K	B	
229. Franklin Rising Dividends	A	Dividend	K	T	Buy	01/01/18	K		
230. Ivy Funds Science & Tech Fund Class I	A	Dividend			Buy	01/01/18	J		
231.					Sold	11/27/18	J	A	
232. Pimco Fds Income Instl	B	Dividend	K	T	Buy	01/01/18	K		
233. Vanguard Wellington Admiral	A	Dividend	K	T	Buy	01/01/18	K		
234. 3m Co	A	Dividend	J	T	Buy	01/01/18	J		
235. Abbot Labs	A	Dividend	J	T	Buy	01/01/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

236. Abbvie Inc	A	Dividend	J	T	Buy	01/01/18	J		
237. Aqua America inc	A	Dividend	J	T	Buy	01/01/18	J		
238. Boeing Co	A	Dividend	K	T	Buy	01/01/18	K		
239. Chevron Corp	A	Dividend	J	T	Buy	01/01/18	J		
240. Coca Cola Co	A	Dividend	J	T	Buy	01/01/18	J		
241.					Buy (add'l)	11/26/18	J		
242. Cummins Inc	A	Dividend	J	T	Buy	01/01/18	J		
243. Dr Pepper Snapple Group Inc	A	Dividend			Buy	01/01/18	J		
244.					Sold	11/26/18	J	A	
245. General Electric Co	A	Dividend			Buy	01/01/18	J		
246.					Sold	11/26/18	J	A	
247. International Paper Co	A	Dividend	J	T	Buy	01/01/18	J		
248. Intl Business Machines	A	Dividend	J	T	Buy	01/01/18	J		
249. Kraft Heinz Co	A	Dividend	J	T	Buy	01/01/18	J		
250. Nvidia Corp	A	Dividend	J	T	Buy	01/01/18	J		
251. Procter Gamble Co	A	Dividend	J	T	Buy	01/01/18	J		
252.					Buy (add'l)	11/25/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

253. Ventas Inc	A	Dividend	J	T	Buy	01/01/18	J		
254. RBS Ctzn NA Providence RI CD	A	Interest	J	T	Buy	08/21/18	J		
255. BB&T Corporation	A	Dividend	J	T	Buy	11/28/18	J		
256. Ishares Short Treas BD ETF	A	Dividend	K	T	Buy	11/28/18	K		
257. Vanguard Div App ETF	A	Dividend	K	T	Buy	11/30/18	K		
258. Alexander Investment Services - 2									
259. Insured Deposit Account	A	Dividend	J	T	Buy	01/01/18	J		
260. Vanguard Intl Dvd ETF	A	Dividend			Buy	01/01/18	J		
261.					Sold	11/26/18	J	A	
262. Vanguard Short Term Treas ETF	A	Dividend			Buy	01/01/18	J		
263.					Sold	11/26/18	J	A	
264. Vanguard Sm Cp Val ETF	A	Dividend			Buy	01/01/18	J		
265.					Sold	11/26/18	J	A	
266. Fidelity Advisor New Insights A	A	Dividend	J	T	Buy	01/01/18	J		
267. Franklin Rising Dividends A	A	Dividend	J	T	Buy	01/01/18	J		
268. Ivy Balanced I	A	Dividend	J	T	Buy	01/01/18	J		
269. Ivy Balanced A	A	Dividend			Buy	01/01/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Fulton,, Thomas H.

Date of Report

07/01/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
270.					Sold	11/27/18	J	A	
271. Mutual Fund Ser Tr Eventide Gilead A	A	Dividend	J	T	Buy	01/01/18	J		
272. Pimco FDS Income FD CL A	A	Dividend			Buy	01/01/18	J		
273.					Sold	11/26/18	J	A	
274. Templeton Global Total Return Fund A	A	Dividend			Buy	01/01/18	J		
275.					Sold	11/26/18	J	A	
276. Ishares Short Treas BD ETF	A	Dividend	J	T	Buy	11/28/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Fulton,, Thomas H.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas H. Fulton,**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544