

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FLEISSIG, AUDREY G.	2. Court or Organization EASTERN DISTRICT OF MISSOURI	3. Date of Report 04/26/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - ACTIVE STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 111 S. 10TH STREET: 12S ST. LOUIS, MISSOURI 63102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

FLEISSIG, AUDREY G.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2018

MISSOURI MACHINERY & ENGINEERING COMPANY (SALARY)

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	UMB BANK	MORTGAGE ON COMMERCIAL REAL ESTATE	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1 (H)									
2. - PIMCO FUNDS COMMODITY/REAL RET	B	Int./Div.	K	T					
3. - COHEN & STEERS REALTY SHS INSTL	B	Int./Div.	K	T					
4. - AMERITRADE ACCOUNT		None	J	T					
5. - BAIRD CORE PLUS BD INSTL	B	Int./Div.	L	T	Buy (add'l)	02/16/18	J		
6. - AMERICAN FUNDS EUROPACIFIC GROWTH F2 CL	C	Int./Div.	K	T					
7. - HARTFORD SCHRODER INTL MULTICAP VALUE I	B	Int./Div.	K	T					
8. - ISHARES MSCI ACWI EX US ETF	A	Int./Div.	J	T	Buy	11/14/18	J		
9. IRA #2 (H)									
10. - COHEN & STEERS REALTY SHS INSTL	C	Int./Div.	L	T					
11. - AMERITRADE ACCOUNT		None	J	T					
12. - BAIRD CORE PLUS BD INSTL	D	Int./Div.	M	T	Buy (add'l)	02/16/18	J		
13. - AMERICAN FUNDS EUROPACIFIC GROWTH F2 CL	B	Int./Div.	K	T					
14. - HARTFORD SCHRODER INTL MULTICAP VALUE I	B	Int./Div.	K	T					
15. - PIMCO FUNDS COMMODITY/REAL RET	A	Int./Div.	K	T					
16. - ISHARES MSCI ACWI EX US ETF	A	Int./Div.	J	T	Buy	11/14/18	J		
17. BROKERAGE #1 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - ISHARES MID-CAP	C	Int./Div.	M	T	Sold (part)	07/23/18	J	D	
19.					Sold (part)	11/13/18	J	A	
20. - ISHARES RUSSELL 1000 ETF	A	Int./Div.			Sold (part)	04/04/18	K	D	
21.					Sold (part)	05/18/18	K	D	
22.					Sold	09/19/18	J	D	
23. - AMG MGRS SKYLINE SPEC EQUITIES		None			Sold (part)	01/09/18	J	B	
24.					Sold	12/07/18	K	B	
25. - AMERITRADE ACCOUNT		None	J	T					
26. - AMERICAN FUNDS EUROPACIFIC GROWTH F2 CL	A	Int./Div.	J	T	Sold (part)	05/18/18	J	B	
27. - HARTFORD SCHRODER INTL MULTICAP VALUE I	A	Int./Div.	J	T					
28. - VANDGUARD SMALL CP ETF	A	Int./Div.	K	T	Buy	12/10/18	K		
29. MISSOURI MACHINERY & ENGINEERING 50% PRIVATELY HELD (X)		None							
30. BKF LLC SINGLE MEMBER LLC - RE - ST. LOUIS, MO (X)	G	Rent	O	W					
31. RENTAL PROPERTY #1, ST. LOUIS, MO	D	Rent	M	W					
32. BANK OF AMERICA		None	J	T					
33.									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Ownership changes occurred in 2018 for BKF, LLC and Missouri Machinery and Engineering Co. The exchange was effective 1/1/2018 and transferred 50% ownership of Missouri Machinery and Engineering Co (line 29) for 50% ownership of BKF, LLC (line 30). This leaves 0% ownership of Missouri Machinery and Engineering Co. and 100% ownership of BKF, LLC.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ AUDREY G. FLEISSIG

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544