

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fenimore, Brian T.	2. Court or Organization U.S. Bankruptcy Court, Western District of Missouri	3. Date of Report 04/11/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Charles Evans Whittaker U.S. Courthouse 400 E. 9th Street Kansas City, MO 64106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Family Trust #1
2.	Personal Representative	Probate Estate
3.	Co-Manager	BSF Farms, LLC (organized January 2019)
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	2017	Lathrop Gage LLP, final 2017 compensation paid in January and April 2018
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2018	Lathrop Gage LLP, final amount of 2017 compensation for law practice received in Jan and Apr 2018 (included in 2017 income on Initial Report)	\$30,313.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	DataSystem Solutions, Inc., salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. National Conference of Bankruptcy Judges	October 27-31, 2018	San Antonio, TX	National Conference of Bankruptcy Judges	Hotel (2 nights)
2. Bar Fund, US Courts for Western District of Missouri	October 27-31, 2018	San Antonio, TX	National Conference of Bankruptcy Judges	Registration, transportation, meals, and balance of hotel
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	McCartney Cabinets, LLC	Home remodelling contract	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Kansas LearningQuest 529 Account (H)									
2. - American Century Short-Term Portfolio		None	J	T	Sold (part)	01/11/18	J		
3.					Sold (part)	08/08/18	J		
4.					Sold (part)	12/06/18	J		
5. - American Century Cash & Cash Equivalents Portfolio fka Money Market		None	J	T	Sold (part)	01/11/18	J		
6.					Sold (part)	08/08/18	J		
7.					Sold (part)	12/06/18	J		
8. 401K Plan #1 (H)									
9. -T. Rowe Price Retirement 2030 Fund		None			Sold	10/26/18	P1		
10. -T. Rowe Price Retirement 2030 TR-F		None	P1	T	Buy	10/26/18	P1		
11. Bank of America Cash Accounts (Trust #1)	A	Interest	M	T					
12. Oppenheimer Brokerage Account (H)									
13. - Apple, Inc. stock	A	Dividend	J	T					
14. - Facebook, Inc. stock		None	J	T					
15. - Snap, Inc. stock		None	J	T					
16. - Under Armour, Inc. stock		None	J	T					
17. 401K Plan #2 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Principal Bond Market Index Separate Account		None	K	T	Buy (add'l)	02/15/18	J		
19.					Buy (add'l)	10/22/18	J		
20. - Principal Core Plus Bond Separate Account		None	K	T	Buy (add'l)	02/15/18	J		
21.					Sold (part)	10/22/18	J		
22. - Principal High Yield Separate Account		None			Sold	02/15/18	J		
23. - Principal LargeCap S&P 500 Index Separate Account		None	K	T	Sold (part)	07/16/18	J		
24.					Sold (part)	10/22/18	J		
25. - Principal MidCap S&P 400 Index Separate Account		None	K	T	Buy (add'l)	02/15/18	J		
26.					Sold (part)	07/16/18	J		
27. - Principal SmallCap S&P 600 Index Separate Account		None	K	T	Sold (part)	07/16/18	J		
28. - Dimensional Fund Advisors Emerging Markets Small Cap I Fund	A	Dividend	K	T	Sold (part)	02/15/18	J		
29.					Buy (add'l)	07/16/18	J		
30. - Principal Diversified International Separate Account		None	K	T	Sold (part)	02/15/18	J		
31.					Buy (add'l)	07/16/18	J		
32.					Buy (add'l)	10/22/18	J		
33. - Principal International Emerging Markets Separate Account		None	K	T	Sold (part)	02/15/18	J		
34.					Buy (add'l)	07/06/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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35.					Buy (add'l)	10/22/18	J		
36.	- Principal International SmallCap Separate Account	None	J	T	Sold (part)	02/15/18	J		
37.	- Principal Global Real Estate Securities Separate Account	None			Sold	07/16/18	J		
38.	- Principal Real Estate Securities Separate Account	None	J	T	Buy (add'l)	02/15/18	J		
39.					Sold (part)	07/16/18	J		
40.	Northwestern Mutual Insurance Whole Life Policies	B	Dividend	L	T				
41.	Northwestern Mutual Insurance Variable CompLife Policy (H)	A	Dividend						
42.	- Northwestern Mutual Series Fund, Inc. Select Bond Portfolio		None	J	T				
43.	- Northwestern Mutual Series Fund, Inc. International Equity Portfolio		None	J	T				
44.	- Northwestern Mutual Series Fund, Inc. Index 500 Stock Portfolio		None	K	T				
45.	- Northwestern Mutual Series Fund, Inc. Mid Cap Growth Stock Portfolio		None	J	T				
46.	- Northwestern Mutual Series Fund Inc. Growth Stock Portfolio		None	K	T				
47.	- Russell Investment Funds Global Real Estate Securities Fund		None	J	T				
48.	Probate Estate (H)								
49.	- BTC Bank Cash Account		None	K	T				
50.	- Tract #1 Farmland in Harrison County, Missouri		None	N	Q				
51.	- Tracts 2 & 3 Farmland in Harrison County, Missouri	E	Rent	O	Q				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. DataSystem Solutions, Inc. stock (X)		None	M	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 17-39: The only fund account reporting dividend and/or capital gain income in the reports we receive for these assets is on line 28.

Part VII, lines 41-47: Filer has not been able to create or obtain from Northwestern Mutual any reports with any income, including dividends or capital gains relating to the underlying funds in the Variable CompLife policy investments.

Part VII, lines 48-51: There are three tracts of land in the probate estate, which was opened in the third quarter of 2017. We had them appraised. The appraisals were dated October 6, 2017, with a valuation date as of May 5, 2017 (date of death). Tract #1 was appraised independently. Tracts #2 and #3 were appraised together. So, that is how I am reporting them. Tracts #2 and #3 include some row crop acreage that generates rent. There is a house on Tract #3 that rented for a modest amount each month until August 2018. Both rents are reported on line 51.

Part VII, line 52: The stock reported on line 52 is owned by Filer's Spouse. The only income from this company is the salary reported in Part III.B.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Brian T. Fenimore**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544