

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Engelmayer, Paul A.	2. Court or Organization U.S. District Court, Southern District of New York	3. Date of Report 01/25/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Thurgood Marshall United States Courthouse 40 Centre Street New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	Trustee	Trust #2
3.	Trustee	Trust #3
4.	Co-Executor	Estate #2
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Library of America, consultant pay
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Harvard Law School	01/16/17-01/20/17	Cambridge, MA	Instructor, Trial Advocacy Workshop	Transportation, Meals and Lodging
2. Second Circuit Judicial Conference	06/08/17-06/09/17	New Paltz, NY	Attendee	Transportation, Meals and Lodging
3. Harvard Law School	10/02/17 - 10/04/17	Cambridge, MA	Guest Speaker	Transportation, Meals and Lodging
4. Judicial Panel on Multi District Litigation	10/30/17 - 11/02/17	West Palm Beach, Florida	Attendee	Transportation, Meals and Lodging
5. Harvard Law Review	12/01/17	Cambridge, MA	Trustees	Transportation, Meals and Lodging

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6.	Bankruptcy Committee of the Judicial Conference	12/06/17 - 12/08/17	Washington, D.C.	Participant	Transportation, Meals and Lodging
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Brokerage #1 (H)									
2. Aberdeen Emerging Markets Instl	A	Int./Div.	J	T					
3. Alerian MLP ETF		None	N	T	Buy	12/18/17	N		
4. Coho Relative Value Eqty Fd Instl	E	Int./Div.	O	T					
5. Eaton Vance Structured Emerging Markets I	D	Int./Div.	N	T					
6. First Eagle Global Inst CL I	D	Int./Div.	M	T					
7. FPA Crescent	D	Int./Div.	N	T					
8. iShares MSCI Emerging Market Index Fund	B	Int./Div.	L	T					
9. Salient MLP & Energy Infrastructure I	D	Int./Div.	J	T	Buy	05/31/17	N		
10.					Buy (add'l)	06/01/17	K		
11.					Sold (part)	12/18/17	N	A	
12. Schwab NY AMT Tax-Free Money Fund	A	Int./Div.	J	T					
13. WCM Focused International Growth Instl	A	Int./Div.	M	T					
14. Energy Select Sector SPDR		None			Sold (part)	02/09/17	K	A	
15.					Sold	05/31/17	N	A	
16. Prudential Jennison Natural Resources Fund CI Z		None			Sold	05/31/17	M	A	
17. Brokerage #2 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Schwab NY AMT Tax-Free Money Fund	B	Int./Div.	N	T					
19. Brokerage #4 (H)									
20. Alerian MLP ETF		None	L	T	Buy	12/18/17	L		
21. BBH Core Select N	G	Int./Div.	P1	T					
22. Berkshire Hathaway Inc Del Cl A		None	P2	T					
23. Berkshire Hathaway Inc Del B		None	L	T					
24. First Eagle Global Inst CL I	E	Int./Div.	O	T					
25. FPA Crescent	D	Int./Div.	M	T					
26. JPMorgan Strategic Income Opportunities Fund I Class (X)	D	Int./Div.	N	T					
27. JPMorgan Strategic Income Opports Sel (Y)									
28. Parnassus Core Equity Fund-Institutional Shares	E	Int./Div.	N	T					
29. Salient MLP & Energy Infrastructure I	C	Int./Div.	J	T	Buy	05/31/17	M		
30.					Sold (part)	12/18/17	L	A	
31. Schwab NY AMT Tax-Free Money Fund	A	Int./Div.	K	T					
32. WCM Focused International Growth Instl	B	Int./Div.	O	T					
33. Wisdom Tree LargeCap Dividend Fund	E	Int./Div.	P1	T					
34. Energy Select Sector SPDR		None			Sold (part)	02/09/17	M	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Sold	05/31/17	L	A	
36. Victory Global Natural Resources Fund		None			Sold	05/31/17	K	A	
37. Brokerage #5 (H)									
38. Brookhaven NY Go Ser Bds Ser 2017 4% 04/15/2026 (113152XN7)	A	Int./Div.	M	T	Buy	04/19/17	M		
39. Erie Cnty Ny Indl Dev Agy Sch Fac 5% 05/01/2026 (29509PJF7)	B	Int./Div.	L	T	Buy	02/28/17	L		
40. Hudson Yds Infrastructure Corp 5% 02/15/2029 (44420RAQ3)	B	Int./Div.	M	T	Buy	05/24/17	M		
41. Long Island Pwr Authn Y Elec Sys Rev (5426902H4)	C	Int./Div.	M	T					
42. Met Transprt Auth NY Revenue 5% 11/15/2026 (59261APW1)		None	L	T	Buy	11/22/17	L		
43. Metropolitan Trans Auth NY Rev 5% 11/15/2017 (59261AJM0)	D	Int./Div.	M	T					
44. Metropolitan Trans Auth NY Dedicated (59259NR54)	D	Int./Div.	M	T					
45. Metropolitan Trans Auth NY Rev 5% 11/15/2028 (59261ANL7)	A	Int./Div.	M	T	Buy	09/22/17	M		
46. Metropolitan Trans Auth NY Dedicated (59259N2G7)	C	Int./Div.	L	T					
47. Metropolitan Trans Auth NY Rev (59259Y6K0)	C	Int./Div.	M	T					
48. New York City Transitional (64971QXQ1)	C	Int./Div.	M	T					
49. New York City Transitional Fin 5% 08/01/2029 (64971WR36)	C	Int./Div.	L	T					
50. New York N Y Go Bds Ser. H (64966JJ80)	B	Int./Div.	L	T					
51. New York NY City Transitional Fin Auth Rev Future Tax Secured Subord	C	Int./Div.	L	T					

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3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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52. New York St Dorm Auth Revs St (64990HMQ0)	D	Int./Div.	M	T					
53. New York St Dorm Auth Revs St (64990HPC8)		None	L	T					
54. New York St Dorm Auth Sales Tax Rev (64990AAL9)	C	Int./Div.	M	T					
55. New York St Dorm Auth St Pers Income (64990ECG0)	D	Int./Div.	L	T					
56. New York St Dorm Auth St Pers Income (64990EDF1)		None	M	T					
57. New York St Dorm Auth St Pers Income (64990EX85)		None	K	T	Sold (part)	12/05/17	K	A	
58. New York St Dorm Auth St Pers Income Rev Bds 5% 02/15/2028 (64990E4V6)	C	Int./Div.	M	T	Buy	01/06/17	M		
59. New York St Twy Authgen Rev Gen Rev Bds (650009YC1)	C	Int./Div.	M	T					
60. New York St. Dorm Auth Sales Tax 5% 03/15/2031 (64990AFB6)	A	Int./Div.	K	T	Buy (add'l)	04/11/17	K		
61. New York St. Dorm Auth St. Pers Income 5% 02/15/2027 (64990E4U8)	C	Int./Div.	M	T					
62. New York St. Urban Dev 5% 03/15/2029 (6500353S5)	B	Int./Div.	M	T	Buy	03/21/17	M		
63. Schwab NY AMT Tax-Free Money Fund (SWNXX)	A	Int./Div.	J	T					
64. Triborough Brdg & Tunl Auth N Y Revs 05 (89602NYB4)	D	Int./Div.	L	T					
65. Triborough Brdg & Tunl Auth N Y Revs 05 (89602NZE7)		None	L	T					
66. Triborough Brdg & Tunl Auth Ny 4% 11/15/2027 (89602RCJ2)		None	M	T	Buy	11/13/17	M		
67. Triborough Brdg & Tunl Auth NY 5% 11/15/2027 (89602NH64)	C	Int./Div.	M	T	Buy	02/17/17	M		
68. New York N Y Go Bds Ser. Fiscal 2014 D-1 (64966K3U5)		None			Sold	01/04/17	M	A	

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69. New York St. Dorm Auth Sales Tax Rev 5% 03/15/2027 (64990AEX9)		None			Sold	01/17/17	M	A	
70. New York ST Dorm Auth Rev Non ST (649906PS7)		None			Sold	02/17/17	M	A	
71. New York NY City Transitional Fin (64971WC24)		None			Sold	03/21/17	M	A	
72. Maine Mun Bd Bk Ref Ser. 2010C (560459S4)		None			Sold	04/12/17	K	A	
73. New York St Dorm Auth Revs Non St (649906QE7)		None			Sold	04/19/17	M	A	
74. New York NY City Transitional Fin (64972HTN2)		None			Sold	09/22/17	M	A	
75. New York N Y Go Bds Ser. Fiscal 2014 D-1 (64966K3X9)		None			Sold	11/13/17	M	B	
76. IRA #1 (H)									
77. -Aberdeen Emerging Markets Instl	A	Int./Div.	K	T					
78. BBH Core Select N	D	Int./Div.	L	T					
79. Salient MLP & Energy Infrastructure I	A	Int./Div.	K	T	Buy	06/01/17	K		
80. WCM Focused International Growth Instl	A	Int./Div.	K	T					
81. Carillon Reams Unconstrained Bond I (X)	A	Int./Div.	K	T					
82. Scout Unconstrained Bond Instl (Y)									
83. First Eagle Global Inst CL I	A	Int./Div.	J	T					
84. Victory Global Natural Resources Fund		None			Sold	05/31/17	K	D	
85. IRA #3 (H)									

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86. -Aberdeen Emerging Markets Instl	A	Int./Div.	K	T					
87. BBH Core Select N	C	Int./Div.	K	T					
88. Carillon Reams Unconstrained Bond I (X)	A	Int./Div.	K	T					
89. Scout Unconstrained Bond Instl (Y)									
90. First Eagle Global Inst CL I	A	Int./Div.	J	T					
91. Salient MLP & Energy Infrastructure I	A	Int./Div.	J	T	Buy	06/01/17	J		
92. Victory Global Natural Resources Fund		None			Sold	05/31/17	J	A	
93. IRA #4 (H)									
94. Carillon Reams Unconstrained Bond I (X)	B	Int./Div.	M	T	Sold (part)	11/15/17	J	A	
95. Scout Unconstrained Bond Instl (Y)									
96. Salient MLP & Energy Infrastructure I	B	Int./Div.	L	T	Buy	06/01/17	L		
97. Victory Global Natural Resources Fund		None			Sold	05/31/17	L	B	
98. IRA #5 (H)									
99. -Aberdeen Emerging Markets Instl	A	Int./Div.	K	T					
100. Carillon Reams Unconstrained Bond I (X)	A	Int./Div.	K	T	Sold (part)	11/15/17	J	A	
101. Scout Unconstrained Bond Instl (Y)									
102. IRA #6 (H)									

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. BlackGold Opportunity Offshore Fund, LTD		None	N	T					
104. FPA Crescent	D	Int./Div.	M	T	Sold (part)	06/16/17	K	A	
105. JPMorgan Strategic Income Opportunities Fund I Class (X)	D	Int./Div.	M	T	Sold (part)	04/07/17	K	A	
106.					Sold (part)	04/10/17	J	A	
107. JPMorgan Strategic Income Opports Sel (Y)									
108. Schwab Advisor Cash Reserves Premier Sweep	A	Int./Div.	M	T					
109. Trust #1 (H)									
110. BBH Core Select N	C	Int./Div.	K	T					
111. Berkshire Hathaway Inc Del B		None	L	T					
112. BlackRock Strategic Income Opportunities Portfolio Institutional Share	B	Int./Div.	K	T					
113. Coho Relative Value Eqty Fd Instl	A	Int./Div.	K	T					
114. Credit Suisse Floating Rate Hi Inc A	A	Int./Div.	J	T					
115. First Eagle Global Inst CL I	B	Int./Div.	K	T					
116. FPA Crescent	A	Int./Div.	K	T					
117. iShares MSCI Emerging Market Index Fund	A	Int./Div.	K	T					
118. iShares Tr S&P 400 BARRA Midcap Growth	A	Int./Div.	J	T					
119. Schwab Municipal Money Fund Sweep Shares	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Templeton Global Bond Fund Cl A		None			Sold	08/17/17	J	A	
121. Templeton Global Bond Fund Advisor Class	A	Int./Div.	J	T	Buy	08/17/17	J		
122. WCM Focused International Growth Instl	A	Int./Div.	K	T	Buy (add'l)	06/01/17	J		
123. Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	K	T					
124. WisdomTree MidCap Dividend	A	Int./Div.	J	T	Sold (part)	11/14/17	J		
125. Energy Select Sector SPDR		None			Sold	05/31/17	J	A	
126. Prudential Jennison Natural Resources Fund Cl Z		None			Sold	05/31/17	J	A	
127. Trust #2 (H)									
128. BBH Core Select N	B	Int./Div.	J	T					
129. Berkshire Hathaway Inc Del B		None	L	T					
130. BlackRock Strategic Income Opportunities Portfolio Institutional Share	B	Int./Div.	K	T					
131. Coho Relative Value Eqty Fd Instl	A	Int./Div.	K	T					
132. Credit Suisse Floating Rate Hi Inc A	A	Int./Div.	J	T					
133. First Eagle Global Inst CL I	A	Int./Div.	J	T					
134. FPA Crescent	A	Int./Div.	J	T					
135. iShares MSCI Emerging Market Index Fund	A	Int./Div.	J	T					
136. iShares Tr S&P 400 BARRA Midcap Growth	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Schwab Municipal Money Fund Sweep Shares	A	Int./Div.	J	T					
138. Templeton Global Bond Fund C1 A		None			Sold	08/17/17	J	A	
139. Templeton Global Bond Fund Advisor Class	A	Int./Div.	J	T	Buy	08/17/17	J		
140. WCM Focused International Growth Instl	A	Int./Div.	J	T	Buy (add'l)	06/01/17	J		
141. Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	K	T	Buy (add'l)	01/03/17	J		
142. WisdomTree U.S. MidCap Dividend	A	Int./Div.	J	T	Buy (add'l)	01/03/17	J		
143.					Sold (part)	11/14/17	J	A	
144. Prudential Jennison Natural Resources Fund C1 Z		None			Sold	05/31/17	J	A	
145. UTMA #1 (H)									
146. Aberdeen Emerging Markets Instl	A	Int./Div.	J	T					
147. First Eagle Global Inst CL I	A	Int./Div.	J	T					
148. Schwab NY AMT Tax-Free Money Fund		None	J	T					
149. Templeton Global Bond Fund C1 A		None			Sold	08/17/17	J	A	
150. Templeton Global Bond Fund Advisor Class	A	Int./Div.	K	T	Buy	08/17/17	K		
151. WCM Focused International Growth Instl	A	Int./Div.	J	T	Buy	06/01/17	J		
152. Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	J	T					
153. WisdomTree U.S. MidCap Dividend	A	Int./Div.	J	T	Sold (part)	11/14/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Energy Select Sector SPDR		None			Sold	05/31/17	J	A	
155. Prudential Jennison Natural Resources Fund CI Z		None			Sold	05/31/17	J	A	
156. UTMA #2 (H)									
157. Aberdeen Emerging Markets Instl	A	Int./Div.	J	T	Buy (add'l)	06/01/17	J		
158. Berkshire Hathaway Inc Del B		None	L	T					
159. First Eagle Global Inst CL I	B	Int./Div.	K	T					
160. Schwab NY AMT Tax-Free Money Fund		None	J	T					
161. Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	K	T					
162. Prudential Jennison Natural Resources Fund CI Z		None			Sold	05/31/17	J	A	
163. UTMA #3 (H)									
164. -Aberdeen Emerging Markets Instl	A	Int./Div.	J	T					
165. First Eagle Global Inst CL I	A	Int./Div.	J	T					
166. Schwab NY AMT Tax-Free Money Fund	A	Int./Div.	J	T					
167. Templeton Global Bond Fund CI A		None			Sold	08/17/17	K	A	
168. Templeton Global Bond Fund Advisor Class	A	Int./Div.	K	T	Buy	08/17/17	K		
169. WCM Focused International Growth Instl	A	Int./Div.	J	T	Buy	06/01/17	J		
170. Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. WisdomTree U.S. MidCap Dividend	A	Int./Div.	J	T	Sold (part)	11/14/17	J	A	
172. Energy Select Sector SPDR		None			Sold	05/31/17	J	A	
173. Prudential Jennison Natural Resources Fund CI Z		None			Sold	05/31/17	J	A	
174. UTMA #4 (H)									
175. -Aberdeen Emerging Markets Instl	A	Int./Div.	J	T	Buy (add'l)	06/01/17	J		
176. -Berkshire Hathaway Inc Del B		None	L	T					
177. First Eagle Global Inst CL I	B	Int./Div.	K	T					
178. Schwab NY AMT Tax-Free Money Fund		None	J	T					
179. Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	K	T					
180. Prudential Jennison Natural Resources Fund CI Z		None			Sold	05/31/17	J	A	
181. 529 Plan #1 (H)									
182. New York Saves Bond Market Index Portfolio		None			Sold	02/14/17	K	B	
183. New York Saves Growth Stock Index Portfolio		None	K	T	Buy (add'l)	02/14/17	J		
184. New York Saves Interest Accumulation Portfolio		None	L	T	Sold (part)	01/23/17	J	A	
185.					Sold (part)	01/30/17	J	A	
186.					Buy (add'l)	02/14/17	J		
187.					Sold (part)	07/03/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. New York Saves Value Stock Index Portfolio		None	K	T	Sold (part)	02/14/17	J	A	
189. New York Saves Inflation Protected Portfolio		None	L	T	Buy	02/14/17	K		
190. 529 Plan #2 (H)									
191. New York Saves Aggressive Growth Portfolio		None	L	T	Sold (part)	07/11/17	J	A	
192. New York Saves Interest Accumulation Portfolio		None	L	T	Sold (part)	07/11/17	J	A	
193. New York Saves Mid Cap Stock Index Portfolio		None	J	T	Sold (part)	07/11/17	J	A	
194. New York Saves Developed Markets Income Portfolio		None	J	T	Sold (part)	07/11/17	J	A	
195. 529 Plan #3 (H)									
196. New York Saves Aggressive Growth Portfolio		None	K	T					
197. New York Saves Interest Accumulation Portfolio		None	M	T					
198. New York Saves Mid Cap Stock Index Portfolio		None	K	T					
199. New York Saves Developed Markets Income Portfolio		None	K	T					
200. 529 Plan #4 (H)									
201. New York Saves Bond Market Index Portfolio		None			Sold	02/14/17	K	B	
202. New York Saves Growth Stock Index Portfolio		None	L	T	Sold (part)	02/14/17	J	A	
203. New York Saves Interest Accumulation Portfolio		None	L	T	Buy (add'l)	02/14/17	J		
204. New York Saves Value Stock Index Portfolio		None	L	T	Sold (part)	02/14/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. New York Saves Inflation Protected Portfolio		None	K	T	Buy	02/14/17	K		
206. Teachers Retirement System of NY 403(b) (H)									
207. Diversified Equity		None	M	T					
208. Stable Value		None	M	T					
209. International Equity		None	L	T					
210. Citibank Checking Account (H)		None	L	T					
211. Chase Checking Account (H)		None	K	T					
212. Estate #2- Checking Account A (H)									
213. Chase Checking Account		None	L	T					
214. Brokerage #7 (H)									
215. -CapitalSpring Direct Lending Partners, LP (Priv Equity)	A	Distribution	O	T					
216. Brokerage #8 (H)									
217. Alerian MLP ETF		None	M	T	Buy	12/18/17	M		
218. Baron Growth	C	Int./Div.	K	T					
219. -BBH Core Select N	D	Int./Div.	M	T					
220. Berkshire Hathaway Inc Del B		None	L	T	Sold (part)	02/14/17	J	D	
221.					Sold (part)	03/02/17	J	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222.					Sold (part)	08/08/17	J	D	
223.					Sold (part)	10/02/17	J	D	
224.					Sold (part)	12/04/17	J	D	
225. East Hampton Twn N Ypub Impt Go Bds Ser (y)									
226. Fidelity Contrafund	C	Int./Div.	L	T					
227. Fidelity Low-Priced Stock Fund	B	Int./Div.	K	T					
228. Royce Total Return Fund	C	Int./Div.	K	T					
229. Salient MLP & Energy Infrastructure I	D	Int./Div.	J	T	Buy	05/31/17	M		
230.					Sold (part)	12/18/17	M	A	
231. Schwab NY AMT Tax-Free Money Fund	A	Int./Div.	M	T					
232. SPDR S&P 500 ETF (X)	B	Int./Div.	L	T					
233. SPDR S&P MidCap 400 ETF (X)	A	Int./Div.	K	T					
234. S&P 500 Depository Receipt (Y)									
235. Midcap SPDR Trust Series 1 (Y)									
236. Tweedy Browne Global Value Fund	A	Int./Div.	K	T					
237. Victory Global Natural Resources Fund		None			Sold	05/31/17	M	F	
238. IRA #7 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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Name of Person Reporting

Engelmayer, Paul A.

Date of Report

01/25/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -Aberdeen Emerging Markets Instl	B	Int./Div.	M	T	Sold (part)	11/15/17	K	A	
240. -BBH Core Select N	D	Int./Div.	L	T	Sold (part)	11/15/17	J	B	
241. Carillon Reams Unconstrained Bond I (x)	C	Int./Div.	M	T					
242. Scout Unconstrained Bonds Instl (Y)									
243. First Eagle Global Inst CL I	D	Int./Div.	N	T					
244. Salient MLP & Energy Infrastructure I	B	Int./Div.	L	T	Buy	06/01/17	L		
245. Victory Global Natural Resources Fund		None			Sold	05/31/17	L	E	
246. IRA #8 (H)									
247. -BBH Core Select N	D	Int./Div.	L	T	Sold (part)	11/15/17	J	A	
248. Carillon Reams Unconstrained Bond I (x)	B	Int./Div.	L	T					
249. Scout Unconstrained Bonds Instl (Y)									
250. First Eagle Global Inst CL I	C	Int./Div.	L	T					
251. Trust #3 (H)									
252. Fidelity 500 Index Premium Class (X)	A	Int./Div.	K	T	Sold (part)	10/11/17	J	A	
253. Fidelity Total MKT Index Premium CL	A	Int./Div.	J	T	Sold (part)	10/11/17	J	A	
254. Fidelity Contrafund	B	Int./Div.	K	T					
255. Fidelity Low Price Stock	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Engelmayer, Paul A.

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. Fidelity Municipal Money Market (Y)									
257. BBH Core Select Fund	B	Int./Div.	J	T					
258. BlackRock Global Allocation Fd Instl	A	Int./Div.	J	T	Sold (part)	10/11/17	J	A	
259. Glenmede Large Cap Core	A	Int./Div.	J	T					
260. BlackRock Strategic Muni Opportunities I	A	Int./Div.	K	T	Sold (part)	10/11/17	J	A	
261. Deutsche Intermediate Tax/Amt Free Fd Instl	A	Int./Div.	J	T	Sold (part)	02/02/17	J	A	
262. Vanguard Intermediate Tax Exempt Admiral	A	Int./Div.	J	T	Sold (part)	02/02/17	J	A	
263. Vanguard Limited Term Tax Exempt Admiral	A	Int./Div.	J	T					
264. SPDR S&P 500 ETF Trust	A	Int./Div.	J	T					
265. SPDR S&P Midcap 400 ETF Trust	A	Int./Div.	J	T					
266. iShares IBOXX Investment Grade	A	Int./Div.	K	T	Buy	02/07/17	J		
267. Trust #4 (H)									
268. Chase Checking Account		None	J	T					
269. UTMA (#5) (H)									
270. Aberdeen Emerging Markets Instl	A	Int./Div.	J	T	Buy	06/01/17	J		
271. JPMorgan Strategic Income Opportunities Fund I Class (X)	A	Int./Div.	J	T					
272. JPMorgan Strategic Income Opports SEL (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Engelmayer, Paul A.

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273. Schwab Advisor Cash Reserves Premier Sweep	A	Int./Div.	J	T					
274. SPDR Bloomberg Barclays 1-3 Month T Bill ETF	A	Int./Div.	J	T	Sold (part)	12/01/17	J	A	
275. Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	J	T					
276. Prudential Jennison Natural Resources Fund CI Z (x)		None			Sold	05/31/17	J	A	
277. UTMA (#6) (H)									
278. First Trust Dow Jones Internet Index	A	Int./Div.	J	T					
279. JPMorgan Strategic Income Opportunities Fund I Class (X)	A	Int./Div.	J	T					
280. JPMorgan Strategic Income Opports SEL (Y)									
281. Schwab Advisor Cash Reserves Premier Sweep	A	Int./Div.	J	T					
282. SPDR Bloomberg Barclays 1-3 Month T Bill ETF	A	Int./Div.	J	T					
283. Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	J	T					
284. Prudential Jennison Natural Resources Fund CI Z (x)		None			Sold	05/31/17	J	A	
285. Trust #5 (H)									
286. BBH Core Select N	C	Int./Div.	K	T					
287. Berkshire Hathaway Inc Del B		None	K	T					
288. BlackRock Strategic Income Opps Instl	A	Int./Div.	K	T					
289. Carillon Reams Unconstrained Bond I (X)	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Scout Unconstrained Bonds Instl (Y)									
291. Coho Relative Value Equity Advisor	B	Int./Div.	K	T					
292. Credit Suisse Floating Rate Hi Inc A	A	Int./Div.	K	T					
293. First Eagle Global Inst CL I	A	Int./Div.	J	T					
294. FPA Crescent	B	Int./Div.	K	T					
295. iShares MSCI Emerging Market Index Fund	A	Int./Div.	J	T					
296. iShares Tr S&P 400 BARRA Midcap Growth	A	Int./Div.	J	T					
297. New York Go Bds Ser 2009-F1 4.5% 11/15/2018	A	Int./Div.	J	T	Buy	03/24/17	J		
298. New York Go Bds Ser D 5% 08/01/2018	A	Int./Div.	J	T					
299. New York St. Twy Auth St Pers Income Tax 5% 03/15/2018	A	Int./Div.	J	T	Buy	03/31/17	J		
300. Onondaga Cnty Ny Go Bds Ser 2009A 4% 03/01/2019	A	Int./Div.	J	T	Buy	03/28/17	J		
301. Onondaga Cnty Ny Go Bds Ser 2012 5% 05/01/2018	A	Int./Div.	J	T	Buy	03/31/17	J		
302. Salient MLP & Energy Infrastructure I	B	Int./Div.	K	T	Buy	05/31/17	K		
303. Schwab NY AMT Tax-Free Money Fund	A	Int./Div.	K	T					
304. Templeton Global Bond Fund Cl A	A	Int./Div.	J	T					
305. WCM Focused International Growth Instl	A	Int./Div.	K	T					
306. Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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307. WisdomTree U.S. MidCap Dividend	A	Int./Div.	J	T	Sold (part)	11/14/17	J	A	
308. Energy Select Sector SPDR		None			Sold	05/31/17	K	A	
309. Prudential Jennison Natural Resources Fund CI Z		None			Sold	05/31/17	J	A	
310. Victory Global Natural Resources Fund		None			Sold	05/31/17	J	B	
311. Trust #6 (H)									
312. BBH Core Select N	D	Int./Div.	K	T					
313. Berkshire Hathaway Inc Del B		None	K	T					
314. BlackRock Strategic Income Opps Instl	A	Int./Div.	K	T					
315. Carillon Reams Unconstrained Bond I (x)	A	Int./Div.	J	T					
316. Scout Unconstrained Bonds Instl (y)									
317. Coho Relative Value Equity Advisor	B	Int./Div.	K	T					
318. Credit Suisse Floating Rate Hi Inc A	A	Int./Div.	K	T					
319. First Eagle Global Inst CL I	B	Int./Div.	K	T					
320. FPA Crescent	B	Int./Div.	L	T					
321. iShares MSCI Emerging Market Index Fund	A	Int./Div.	J	T					
322. iShares Tr S&P 400 BARRA Midcap Growth	A	Int./Div.	J	T					
323. New York Go Bds Ser 2009-F1 4.5% 11/15/2018	A	Int./Div.	J	T	Buy	03/24/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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324. New York Go Bds Ser D 5% 08/01/2018	A	Int./Div.	J	T					
325. New York St. Twy Auth St Pers Income Tax 5% 03/15/2018	A	Int./Div.	J	T	Buy	03/31/17	J		
326. Onondaga Cnty Ny Go Bds Ser 2009A 4% 03/01/2019	A	Int./Div.	J	T	Buy	03/28/17	J		
327. Onondaga Cnty Ny Go Bds Ser 2012 5% 05/01/2018	A	Int./Div.	J	T	Buy	03/31/17	J		
328. Salient MLP & Energy Infrastructure I	B	Int./Div.	K	T	Buy	05/31/17	K		
329. Schwab NY AMT Tax-Free Money Fund	A	Int./Div.	K	T					
330. Templeton Global Bond Fund CI A		None			Sold	08/17/17	J	A	
331. Templeton Global Bond Fund Advisor Class	A	Int./Div.	J	T	Buy	08/17/17	J		
332. WCM Focused International Growth Instl	A	Int./Div.	K	T	Buy (add'l)	06/01/17	J		
333. Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	K	T					
334. WisdomTree U.S. MidCap Dividend	A	Int./Div.			Sold	11/14/17	J	A	
335. Energy Select Sector SPDR		None			Sold	05/31/17	K	A	
336. Prudential Jennison Natural Resources Fund CI Z		None			Sold	05/31/17	J	A	
337. Victory Global Natural Resources Fund		None			Sold	05/31/17	J	B	
338. IRA #9 (H)									
339. CapitalSpring Investment Partners V Parallel III, LP	D	Distribution	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In response to Committee's request for additional information regarding line 237 from the prior (2016) report that listed "BlackRock Strategic Muni Opportunities I" within Trust #3, but did not list the asset in the current (2017) report. This asset should have been inserted in place of line 260 that listed the asset as "BlackRock National Muni Fund Instl". "BlackRock National Muni Fund Instl" was sold in its entirety as displayed in the 2016 report, however it was incorrectly included in the 2017 report. The report has been amended to include "BlackRock Strategic Muni Opportunities I" in line 260 of the current (2017) report. Asset, "BlackRock National Muni Fund Instl" has been removed from the current (2017) report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Paul A. Engelmayer

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544