

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Fisher, D M.	2. Court or Organization U.S Court of Appeals 3rd Circuit	3. Date of Report 05/11/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge, Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2017 to 12/31/2017
5b. ☐ Amended Report		
7. Chambers or Office Address 5360 Joseph F. Weis Jr., US Courthouse 700 Grant Street Pittsburgh, PA 15219-1906		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor, Distinguished Jurist in Residence	University of Pittsburgh School of Law
2. Adjunct Professor	Georgetown University Law Center
3. Member, Board of Trustees	University of Pittsburgh
4. Member, Board of Trustees	Senator John Heinz History Center
5. Member and Chair	St. Clair Country Club Capital Gifts Committee
6. President	Spring Run Mens Golf Association

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1975-03	Pennsylvania State Employees Retirement Fund, Defined Benefit Pension Plan
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2017	University of Pittsburgh School of Law - Salary	\$92,000.00
2. 2017	Georgetown University Law Center - Salary	\$10,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Georgetown Law Center	1/8/17 to 1/12/17	Washington, D.C.	Adjunct	Transportation, lodging, meals
2. Pennsylvania Bar Association	1/24/2017 to 1/29/2017	St. Kitts, Nevis	Midyear Meeting / Panel Member	Transportation, lodging, meals
3. FJA	05/9/2017	Washington, DC	Board of Directors Meeting	Transportation, meals
4. Federal State Jurisdiction Committee	05-31/2017 to 06/2/2017	Washington, DC	Fed. State Jurisdiction Committee	Transportation, lodging, meals
5. Waynesburg University	8/4/2017 to 8/10/2017	Cambridge, United Kingdom	Lecture	Transportation, lodging, meals
6. PBI	8/14/2017	Philadelphia, PA	PBI Annual Court Roundup	Transportation, lodging, meals

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7.	Academy of Trial Lawyers of Allegheny County	10/4/2017 to 10/6/2017	Farmington, PA	Trial Advocacy Retreat	Lodging and meals
8.	Federal State Jurisdiction Committee	11/29/2017 to 12/1/2017	Austin, TX	Fed. State Jurisdiction Committee	Transportation, lodging, meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Vanguard Wellington Fund	C	Dividend	M	T					
2. Citizens Bank of Pennsylvania	A	Interest	L	T					
3. PNC Bank	A	Interest	M	T					
4. Scudder Investments, Money Market Fund	A	Int./Div.	J	T					
5. PA State Employee Retirement Fund		None	P1	U					
6. MassMutual Evolution Variable Annuity MML Balanced allocation Fund	D	Int./Div.	N	T					
7. Huntingdon Bank, Stock	A	Dividend	J	T					
8. Ameriserve Financial, Stock	A	Dividend	J	T					
9. Callon Consolidated Partners, Ltd - Stock		None	J	T					
10. Legg Mason Value Trust, Mutual Fund	A	Dividend	L	T					
11. C.M. Life Ins. Co. Flex. Prem. Adjust. Univ. Life Policy	D	Interest	L	T					
12. Merrill Lynch, Black Rock Global	B	Dividend	K	T					
13. Summit Bank	A	Interest	J	T					
14.									
15.									
16.									
17.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **D M. Fisher**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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