

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Eddy, Cynthia R.	2. Court or Organization U.S. District Court, Western District of Pennsylvania	3. Date of Report 07/11/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge (Full Time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. District Court, Western District of Pennsylvania 700 Grant Street Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2018

Self-employed (attorney)

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC Bank (cash)	A	Interest	M	T					
2. IRA #1 (H)									
3. PNC Treasury Money Market Fd Cl A (PRAXX) (cash)	A	Dividend	J	T	Sold (part)	01/19/18	J		
4.					Buy (add'l)	03/06/18	J		
5.					Sold (part)	04/13/18	J		
6.					Sold (part)	07/13/18	J		
7.					Buy (add'l)	09/06/18	J		
8.					Sold (part)	10/19/18	J		
9.					Sold	12/28/18	J		
10.					Buy	12/31/18	J		
11. American Century Mid Cap Value Inv (ACMVX)	B	Dividend	J	T					
12. American Century Small Cap Val Fd Inv (ASVIX)	A	Dividend	J	T					
13. American Growth Fund of America F1 (GFFFX)	D	Dividend	K	T	Sold (part)	03/06/18	J		
14. Eaton Vance Global Macro Abs Ret I (EGRIX)		None			Sold	12/31/18	K		
15. Federated Total Return Bond Is (FTRBX)	C	Dividend	L	T	Buy (add'l)	12/28/18	K		
16. Fidelity Advisor Floating Rate (FFRIX)	A	Dividend	K	T					
17. Harding Loevner Intl Equity Port Inv (HLMIX)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Invesco Developing Markets Fd A (GTDYX)	A	Dividend	J	T					
19. JP Morgan Value Adv Fd Cl I (formerly Cl L) (JVAIX)	C	Dividend	L	T					
20. Lazard Global Listed Infra Instl (GLIFX)		None	K	T	Buy	12/28/18	K		
21. Lord Abbett Short Duration Inc Cl F (LDLFX)	B	Dividend	K	T	Buy (add'l)	12/28/18	J		
22. Mainstay Epoch Global Equity Yld I (EPSYX)	B	Dividend			Sold	12/28/18	K		
23. Pimco Real Return Fund Cl I3 (formerly Cl I2) (PRLPX)	A	Dividend	K	T					
24. Invesco Exchange (formerly Powershares) FTSE RAFI US 1000 (PRF)	B	Dividend	L	T					
25. Templeton Global Bond Adv Cl (TGBAX)	A	Dividend			Sold	12/28/18	J		
26. T Rowe Price Real Estate Fund (TRREX)	A	Dividend	K	T					
27. T Rowe Price Intl Discovery Fund (PRIDX)	A	Dividend	J	T					
28. T Rowe Price Mid Cap Growth (RPMGX)	B	Dividend	K	T					
29. Vanguard Scottsdale Russell 1000 Growth (VONG)	A	Dividend	L	T	Sold (part)	09/06/18	J		
30. Vanguard Small Cap Growth ETF (VBK)	A	Dividend	J	T					
31. IRA #2 (H)									
32. JP Morgan Chase Bank NA (cash)		None			Redeemed	01/31/18	L		
33. Federated Prime Cash Obligations Fund SS (PRCXX) (cash)	A	Dividend	L	T	Buy	01/31/18	L		
34. Lululemon Athletica Inc (LULU)		None	K	T					

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35. GS Fin Corp Mtn Zero Cpn (40054LS39)		None	L	T					
36. BROKERAGE #1 (H)									
37. PNC Treasury MM (cash)	A	Interest	K	T					
38. Aberdeen Ultra Short Municipal Inc Instl (ATOIX)	A	Dividend	J	T					
39. American Funds Europacific (AEGFX)	A	Dividend	J	T					
40. Blackrock Capital Appreciation (MDFGX)	A	Dividend	J	T					
41. Blackrock Equity (MDDVX)	A	Dividend	J	T					
42. Carillon Eagle Mid Cap Growth (HAGAX)	A	Dividend	J	T					
43. Franklin Utilities (FKUTX)	A	Dividend	J	T					
44. Oppenheimer Developing Markets (ODMAX)	A	Dividend	J	T					
45. Royce Special Equity (RYSEX)	A	Dividend	J	T					
46. Blackrock ST Municipal Fund (MELMX)	A	Dividend	J	T					
47. Invesco Tax Free Intermediate Fund (ATFAX)	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 3, 14, and 16: These assets became reportable in 2018 without corresponding reportable transactions.

Part VII, line 38: Name change; see 2017, line 95.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: *s/ Cynthia R. Eddy*

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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