

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Donato, James	2. Court or Organization U.S. District Court	3. Date of Report 11/04/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States Courthouse 450 Golden Gate Avenue San Francisco, CA 94102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Solutus Legal Search -- commissions
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Rocky Mountain IP Institute	6/1/17 to 6/2/17	Boulder, CO	Conference speech	Travel, lodging, meals
2. Daily Journal Patent Disputes Seminar	12/6/17	Beverly Hills, CA	Conference speech	Travel, meals
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Account	A	Interest	J	T					
2. Vanguard 529 Plan -- Age Based Conservative Growth Portfolio	D	Int./Div.	L	T					
3. Vanguard 529 Plan -- Age Based Conservative Growth Portfolio	D	Int./Div.	M	T					
4. Vanguard 529 Plan -- Age Based Conservative Growth Portfolio (Y)									
5. Contributory IRA #1									
6. -- SPDR S&P 500 Index ETF	A	Int./Div.	J	T					
7. -- DFA Int'l Small Cap Value	A	Int./Div.	J	T					
8. -- Pimco All Asset All Authority Instl.	A	Int./Div.	J	T	Buy	10/11/17	J	A	
9. Contributory IRA #2									
10. -- Pimco All Asset All Authority Instl.	A	Int./Div.	J	T	Buy (add'l)	10/11/17	J	A	
11. --SPDR S&P 500 ETF	A	Int./Div.	J	T					
12. Brokerage Account #1									
13. --Schwab Advisor Cash Reserves Premier	A	Interest	K	T					
14. --Pimco All Asset All Authority	A	Int./Div.	K	T					
15. --Pimco Commodity Real Return Instl.	A	Int./Div.	J	T					
16. -- Pimco Low Duration Fund	C	Int./Div.	L	T	Sold (part)	10/11/17	L	A	
17. -- Pimco Short-Term Instl.	B	Int./Div.	K	T	Sold (part)	10/11/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- TIAA-Cref Bond Fund Instl.	B	Int./Div.	L	T	Buy (add'l)	10/11/17	J	A	
19. -- Vanguard Short-Term Bd Index Admiral	C	Int./Div.	M	T	Buy (add'l)	02/21/17	J	A	
20.					Buy (add'l)	10/11/17	L	A	
21. --Vanguard Total Bd Mkt Index Admiral	C	Int./Div.	L	T	Buy (add'l)	10/11/17	K	A	
22. -- Pimco Real Return Instl.	B	Int./Div.	K	T					
23. -- Vanguard Fixed Income Inflation Protected	A	Int./Div.	L	T	Buy (add'l)	02/21/17	K	A	
24. -- Pimco Foreign Bond Hedged	B	Int./Div.	K	T	Sold (part)	02/21/17	K	A	
25. -- Powershares QQQ ETF	C	Int./Div.	K	T	Sold (part)	10/11/17	J	A	
26. -- DFA Real Estate Securities	B	Int./Div.	K	T	Sold (part)	02/21/17	K	A	
27. -- SPDR S&P 500 Index ETF	C	Int./Div.	L	T	Sold (part)	10/11/17	K	A	
28. -- Vanguard Equity Income Fund Admiral	C	Int./Div.	K	T	Buy (add'l)	02/21/17	K	A	
29.					Sold (part)	10/11/17	K	A	
30. -- DFA U.S. Large Cap Value	C	Int./Div.	M	T	Buy (add'l)	10/17/17	L	A	
31. -- DFA U.S. Micro Cap	B	Int./Div.	K	T	Sold	10/11/17	K	A	
32. -- DFA U.S. Small Cap	B	Int./Div.	K	T	Sold (part)	02/21/17	J	A	
33. -- DFA U.S. Small Cap Value	B	Int./Div.	L	T	Buy (add'l)	10/17/17	K	A	
34. -- DFA Int'l Small Cap Value	A	Int./Div.	K	T	Buy (add'l)	10/11/17	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Sold (part)	02/21/17	J	A	
36. -- DFA Int'l Small Company	A	Int./Div.	J	T					
37. -- DFA Int'l Value	A	Int./Div.	L	T	Buy (add'l)	02/21/17	J	A	
38.					Buy (add'l)	10/11/17	L	A	
39. -- iShares MSCI EAFE Index ETF	B	Int./Div.	K	T	Sold (part)	10/11/17	K	A	
40. -- Vanguard FTSE Developed Mkts ETF	A	Int./Div.	L	T	Buy (add'l)	02/22/17	J	A	
41.					Buy (add'l)	02/22/17	K	A	
42. -- DFA Emerging Mkts Core Equity	A	Int./Div.	K	T					
43. Brokerage Account #2	A								
44. -- Schwab Advisor Cash Reserves	A	Interest	J	T					
45. -- Vanguard Ltd Term Tax-Ex Admiral	A	Int./Div.	J	T	Sold	02/10/17	J	A	
46. Roth Conversion IRA #1									
47. -- Pimco Real Return Instl.	A	Int./Div.	J	T					
48. -- SPDR S&P 500 Index ETF	A	Int./Div.	K	T					
49. -- DFA U.S. Large Cap Value	A	Int./Div.	J	T					
50. -- DFA U.S. Small Cap Value	A	Int./Div.	J	T					
51. --DFA Int'l Value	A	Int./Div.	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -- DFA Emerging Mkts Core Equit	A	Int./Div.	J	T					
53. Roth Conversion IRA #2									
54. -- Vanguard Fixed Income Inflation Protected	A	Int./Div.	J	T	Buy	10/11/17	J	A	
55. -- Vanguard Information Tech ETF	A	Int./Div.	K	T					
56. -- Vanguard REIT Index ETF	A	Int./Div.	J	T					
57. -- SPDR S&P 500 Index ETF	A	Int./Div.	K	T					
58. -- DFA U.S. Small Cap	A	Int./Div.	J	T					
59. -- DFA Emerging Mkts Core Equity	A	Int./Div.	J	T					
60. Rollover IRA									
61. -- DFA Commodity Strategy	A	Int./Div.	J	T					
62. --Pimco Low Duration Fund	A	Int./Div.	K	T					
63. -- Pimco Short-term Instl	A	Int./Div.	K	T	Buy	10/11/17	K	A	
64. -- Vanguard Total Bond Mkt Index Admiral	C	Int./Div.	M	T					
65. -- Vanguard Fixed Income Inflation Protected	A	Int./Div.	K	T					
66. -- Pimco Foreign Bond Hedged	A	Int./Div.	K	T					
67. -- Vanguard Information Tech ETF	A	Int./Div.	K	T					
68. -- Vanguard REIT Index ETF	A	Int./Div.	J	T					

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69. -- SPDR S&P 500 Index ETF	C	Int./Div.	M	T					
70. -- Vanguard Quity Income Fund Admiral	A	Int./Div.	J	T					
71. -- DFA U.S. Large Cap Value	A	Int./Div.	K	T					
72. -- DFA U.S. Micro Cap	A	Int./Div.	K	T					
73. -- DFA U.S. Small Cap Value	A	Int./Div.	K	T	Buy (add'l)	10/11/17	J	A	
74. -- DFA Int'l Small Cap Value	A	Int./Div.	J	T					
75. -- DFA Int'l Small Company	A	Int./Div.	J	T					
76. -- DFA Int'l Value	A	Int./Div.	J	T					
77. -- Vanguard FTSE Developed Mkts ETF	A	Int./Div.	K	T					
78. -- DFA Emergin Mkts Core Equity	A	Int./Div.	K	T					
79.									
80.									
81.									
82.									
83.									
84.									
85.									

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86.									
87.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 4: Fund closed upon graduation of beneficiary.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James Donato**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544