

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Goodstein, Aaron E.	2. Court or Organization District Court -E.D. Wisconsin	3. Date of Report 05/2/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full-Time (Recall)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 714 United States Courthouse 517 E. Wisconsin Avenue Milwaukee, WI 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 1. U.S. Bank Savings Accounts	B	Interest	M	T					
2. 2. IRA #1:									
3. a) Cohen & Steers Realty Shares	A	Dividend			Sold	01/16/18	J	A	
4. b) Proshares S&P 500 Aristocrats ETF	A	Dividend	K	T					
5. c) I Shares NASDAQ	A	Dividend			Sold	03/28/18	J	A	
6. d) I Shares MSCI EAFE Small Cap	A	Dividend	J	T					
7. e) Select Sector SPDR Trust	A	Dividend	J	T					
8. f) SPDR SER TR	A	Dividend	J	T					
9. g) Vanguard Mega Cap Growth ETF	A	Dividend	J	T					
10. h) Cohen & Steers PFD Secs	A	Dividend	J	T					
11. i) AQR FDS	A	Dividend			Sold	08/07/18	J	A	
12. j) DoubleLine FDS TR	A	Dividend	J	T					
13. k) Eaton Vance Atlantic Cap	A	Dividend	J	T					
14. l) Harding Loevner Funds Inc	A	Dividend	J	T					
15. m) Pimco Income FD	A	Dividend	J	T					
16. n) Calamos Invt Tr New Phineus	A	Dividend	J	T	Buy	03/29/18	J		
17. o) Advisors SER TR	A	Dividend	J	T	Buy	04/26/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Brokerage Account #1:									
19. a) Berkshire Hathaway		None	K	T					
20. b) JP Morgan TR 1	A	Interest	J	T					
21. c) Chevron Corp.	A	Dividend	J	T					
22. d) Verizon Communications	A	Dividend			Sold	06/20/18	J	A	
23. e) Cohen & Steers Realty Shares	B	Dividend			Sold	01/16/18	J	A	
24. f) Proshares S&P 500 Aristocrats ETF	A	Dividend	L	T					
25. g) Eaton Vance Atlanta Cap	A	Dividend	K	T					
26. h) IShares NASDAQ	A	Dividend			Sold	03/28/18	J	A	
27. i) IShares MSCI EAFE Small Cap	A	Dividend	J	T					
28. j) Select SPDR Trust n/k/a Select Sector SPDR Trust	A	Dividend	J	T					
29. k) SPDR SER TR	A	Dividend	J	T					
30. l) Vanguard Mega Cap Growth ETF	C	Dividend	K	T					
31. m) AQR FDS	A	Dividend			Sold	08/07/18	J	A	
32. n) Cohen & Steers PFD SECS	A	Dividend	J	T					
33. o) Doubleline FDS TR	A	Dividend	K	T					
34. p) Harding Loevner Funds, Inc.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. q) Pimco Income FD	A	Dividend	K	T					
36. r) Calamos Invt TR New Phineas	A	Dividend	J	T	Buy	03/29/18	J		
37. s) Advisors SER TR	A	Dividend	K	T	Buy	09/07/18	K		
38. Brokerage Account #2									
39. a) Exxon Mobile Corp	A	Dividend	K	T					
40. b) Johnson & Johnson	A	Dividend	K	T					
41. c) Simon Property Group Inc, New	B	Dividend	K	T					
42. d) U.S. Bancorp DE New	A	Dividend	K	T					
43. e) Universal Health Realty Income Trust Shares Beneficial In	A	Dividend	K	T					
44. f) Verizon Communications, Inc	A	Dividend	J	T					
45. g) Washington Mutual Company	A	Dividend	J	T					
46. h) Fidelity National Information Services	A	Dividend	K	T					
47. i) Walgreen Boots Alliance Inc	A	Dividend	J	T					
48. j) Washington Prime Group Inc	A	Dividend	J	T					
49. k) WMI Holdings	A	Dividend	J	T					
50. l) Proshares S&P 500 Aristocrats ETF	B	Dividend	K	T					
51. m) iShares NASDAQ	A	Dividend			Sold	03/28/18	J	A	

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. n) IShares MSCI EAFE Small Cap	A	Dividend	J	T					
53. o) Select Sector SPDR Trust	A	Dividend	J	T					
54. p) SPDR Series Trust	A	Dividend			Sold	11/06/18	J	A	
55. q) AQR FDS	A	Dividend			Sold	08/07/18	J	A	
56. r) Cohen & Steers PFD SECS	A	Dividend	J	T					
57. s) Doubleline FDS TR	A	Dividend	K	T					
58. t) Eaton Vance Atlanta Cap	A	Dividend	J	T					
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60. v) Pimco Income FD	B	Dividend	K	T					
61. w) Calamos INVT TR New Phineas	A	Dividend	J	T	Buy	03/29/18	J		
62. Advisors SER TR	A	Dividend	J	T	Buy	09/07/18	J		
63. IRA #2									
64. a) JP Morgan CC	A	Interest	J	T					
65. b) Cohen & Steers Realty Shares	A	Dividend			Sold	01/16/18	J	A	
66. c) Proshares S&P500 Aristocrats ETF	B	Dividend	K	T					
67. d) Vanguard Mega Cap Growth	A	Dividend	J	T					
68. e) IShares NASDAQ	A	Dividend			Sold	03/28/18	J	A	

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69. f) iShares MSCI EAFE Small Cap	A	Dividend	J	T					
70. g) Select Sector SPDR Trust	A	Dividend	J	T					
71. h) SPDR SER TR	A	Dividend	J	T					
72. i) AQR FDS	A	Dividend			Sold	08/07/18	J	A	
73. j) Cohen& Steers PFD SECS	A	Dividend	J	T					
74. k) Doubleline FDS TR	A	Dividend	J	T					
75. l Eaton Vance Atlanta Cap	C	Dividend	K	T					
76. m) Harding Loevner Funds Inc	A	Dividend	J	T					
77. n) Pimco Income FD	A	Dividend	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. SPDR Series Trust is identical to SPDR SER TR. Duplicative entries were deleted throughout this section.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Aaron E. Goodstein**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544