

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davis, Diane	2. Court or Organization Utica, NY	3. Date of Report 05/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 220 U.S. Courthouse 10 Broad Street Utica, New York 13501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	Trust #4
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Troy Web Consulting LLC - salary
2. 2018	NYS Department of Taxation and Finance - salary
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Central NY Bankruptcy Bar Association	05/18/2018	New Hartford, NY	non-FJE educational seminar funded by the BAR association	meals
2. Second Circuit Judicial Conference	06/13/2018-06/15/2018	Saratoga, NY	annual meeting	lodging
3. Federal Judicial Conference	07/11/2018-07/13/2018	Denver, CO	2018 FJC National Workshop	program fee, airfare, lodging, meals
4. Court governance or administrative/managerial meetings	09/12/2018-09/14/2018	Lake Placid, NY	meetings	lodging

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5.	National Conference of Bankruptcy Judges	10/28/2018-10/31/2018	San Antonio, TX	2018 NCBJ Annual Conference	program fee, airfare, lodging, meals
6.	Central NY and Capital District Bankruptcy Bar Associations	11/09/2018-11/10/2018	Cooperstown, NY	Bar Associations Annual Bankruptcy Conference	meals, lodging
7.	Court governance or administrative/managerial meetings	12/06/2018-12/07/2018	Albany, NY	meetings	lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Brokerage Account #1					Closed	04/30/18			
2. -Fidelity Advisors Small Cap Fund Class A	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 174
3. -Henderson Global Investors Intl Opport Fund A	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 176
4. -Mainstay Large Cap Growth Fund Class A	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 178
5. -MFS Value Fund Class A	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 180
6. -FT Templeton Global Bond A	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 188
7. -PIMCO Total Return Fund Class A	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 186
8. -USB Bank USA Dep Acct	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 287
9. -USB PACE Large Company Growth Equity Investment Fund Class	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 266
10. -UBS PACE Intl Emerging Mkts Equity Investment Fund Class P	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 262
11. -UBS PACE Large Company Value Equity Investment Fund Class P	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 268
12. -UBS PACE International Equity Investment Fund Class P	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 264
13. -UBS PACE Small/Medium Co Value Equity Investment Fund	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 272
14. -UBS Government Sec Fixed Income Investment Fund	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 274
15. -UBS Strategic Fixed Income Investment Fund Long	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 282
16. -UBS High Yield Investments Class P	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 276
17. -CSRSX	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 170

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -GTEYX	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 190
19. -FMI Large Cap Fund	A	Int./Div.			Sold	04/13/18	J	A	
20. -UBS PACE Small/Medium Co Growth Equity Inv Class P	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 270
21. -UBS PACE International Fixed Investment Class P	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 286
22. -UBS PACE Alternative Strategies Investments Class P	A	Int./Div.			Closed	04/13/18	K		nontaxable exchg to ln 284
23. -SPY	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 207
24. -JVMIX	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 222
25. -AQMNX	A	Int./Div.			Sold	03/05/18	J	A	
26. -JHAIX	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 246
27. -UBS PACE Interim Fixed Income	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 278
28. -BGCIX	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 242
29. -RLSIX	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 248
30. -NMPAX	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 172
31. -BMDIX	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 218
32. -CWSIX	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 220
33. -VCRSX	A	Int./Div.			Sold	03/05/18	J	A	
34. -BGLSX	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 244

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -BHYIX	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 182
36. -Delaware Diversified Income I	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 184
37. -EFA	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 198
38. -LQD	A	Int./Div.			Buy (add'l)	02/07/18	J		
39.					Closed	04/13/18	J		nontaxable exchg to ln 226
40. -LFMIX	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 192
41. -PCGTX	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 280
42. -IJR	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 194
43. -VO	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 196
44. -IEFA	A	Int./Div.			Buy (add'l)	02/27/18	J		
45.					Closed	04/13/18	J		nontaxable exchg to ln 201
46. -IEMG	A	Int./Div.			Closed	04/13/18	K		nontaxable exchg to ln 204
47. -VTV	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 211
48. -VUG	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 213
49. -EMB	A	Int./Div.			Buy (add'l)	02/27/18	J		
50.					Closed	04/13/18	J		nontaxable exchg to ln 228
51. -CRED	A	Int./Div.			Closed	04/13/18	K		nontaxable exchg to ln 231

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -GOVT	A	Int./Div.			Sold (part)	02/27/18	J	A	
53.					Closed	04/13/18	K		nontaxable exchg to ln 233
54. -MINT	A	Int./Div.			Sold	02/27/18	J	A	
55. -JNK	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 238
56. -EMKIX	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 240
57. -EMLC	A	Int./Div.			Sold (part)	02/27/18	J	A	
58.					Closed	04/13/18	J		nontaxable exchg to ln 250
59. -PREQX (Y)					Closed	04/13/18	J		nontaxable exchg < \$1000
60. -VT	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 215
61. -IUSG	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 205
62. -OIGYX	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 252
63. -PBDPX	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 254
64. -QSPIX	A	Int./Div.			Buy (add'l)	03/05/18	J		
65.					Closed	04/13/18	J		nontaxable exchg to ln 258
66. -QFVIX	A	Int./Div.			Closed	04/13/18	J		nontaxable exchg to ln 256
67. -ABYIX	A	Int./Div.			Buy	03/05/18	J		
68.					Closed	04/13/18	J		nontaxable exchg to ln 235

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. -IEF (Y)					Closed	04/13/18	J		nontaxable exchg to ln 224
70. -BXMIX (Y)					Closed	04/13/18	J		nontaxable exchg to ln 260
71. Brokerage Account #2									
72. NYS Retirement Plan - no control		None	N	T					
73. Trustco	A	Interest	J	T					
74. Keybank	A	Int./Div.	M	T					
75. SEFCU	A	Interest	M	T					
76. Citizens	A	Interest	K	T					
77. Brokerage Account #3									
78. Morgan Stanley Bank N.A.	A	Interest	J	T					
79. -IWR	A	Int./Div.	K	T					
80. -IJH	A	Int./Div.	K	T					
81. -IJR	A	Int./Div.	K	T					
82. -IWM	A	Int./Div.	J	T					
83. -FNX	A	Int./Div.	J	T					
84. -FYX	A	Int./Div.	J	T					
85. -EWJ	A	Int./Div.	J	T					

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86. -DIA	A	Int./Div.	K	T					
87. -FEZ	A	Int./Div.	J	T					
88. -SPY	A	Int./Div.	J	T					
89. -VGK	A	Int./Div.	J	T					
90. -VPL	A	Int./Div.	J	T					
91. -EWS (Y)									
92. -EEM	A	Int./Div.	J	T					
93. -EWY (Y)									
94. -EWU	A	Int./Div.	J	T					
95. -MDY	A	Int./Div.	J	T					
96. -IWD	A	Int./Div.	J	T					
97. -IWF	A	Int./Div.	J	T					
98. -RSP	A	Int./Div.	J	T					
99. -IJJ	A	Int./Div.	J	T					
100. -MUXA	A	Int./Div.	J	T					
101. -JPM	A	Int./Div.	J	T					
102. -WMT	A	Int./Div.	J	T					

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	P4 ~More than \$50,000,000
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

103. -MSFT	A	Int./Div.	J	T					
104. -VZ	A	Int./Div.	J	T					
105. -T (Y)									
106. -CAT	A	Int./Div.	J	T					
107. -CSCO	A	Int./Div.	J	T					
108. -XOM	A	Int./Div.	J	T					
109. -JNJ	A	Int./Div.	J	T					
110. -WFC	A	Int./Div.	J	T					
111. -PG	A	Int./Div.	J	T					
112. -AXP	A	Int./Div.	J	T					
113. -AAPL	A	Int./Div.	J	T					
114. -BK	A	Int./Div.	J	T					
115. -BA	A	Int./Div.	J	T					
116. -EMN	A	Int./Div.	J	T					
117. -HD	A	Int./Div.	J	T					
118. -IBM	A	Int./Div.	J	T					
119. -PEP	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -UTX	A	Int./Div.	J	T					
121. -DIS	A	Int./Div.	J	T					
122. -FE (X)	A	Int./Div.	J	T					
123. -MRK (X)	A	Int./Div.	J	T					
124. -Citizens Philadelphia PA CD	A	Interest			Buy	03/27/18	M		
125.					Matured	07/05/18	M	A	
126. -Goldman Sachs Bk NY CD	B	Interest			Buy	03/27/18	M		
127.					Matured	10/04/18	M	A	
128. -Bank of China NYC Branch CD	A	Interest			Buy	08/01/18	M		
129.					Matured	11/15/18	M	A	
130. -Capital One Bk USA CD	A	Interest	M	T	Buy	11/02/18	M		
131. -Bank New York Mellon CD	A	Interest	M	T	Buy	11/16/18	M		
132. Trust #4					Closed	07/31/18			
133. -Morgan Stanley cash (Y)									
134. -residential property Delray Beach, FL, Palm Beach County (Y)									
135. Brokerage Account #4									
136. -JPM	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -T (Y)									
138. -CAT	A	Dividend	J	T					
139. -CSCO	A	Dividend	J	T					
140. -KO	A	Dividend	J	T					
141. -XOM (Y)									
142. -INTC	A	Dividend	J	T					
143. -JNJ	A	Dividend	J	T					
144. -WFC	A	Dividend	J	T					
145. -PG	A	Dividend	J	T					
146. -MCD	A	Dividend	J	T					
147. -WMT	A	Dividend	J	T					
148. -MSFT	A	Dividend	J	T					
149. -MRK (X)	A	Dividend	J	T					
150. -EWJ	A	Int./Div.	J	T	Buy	04/30/18	J		
151. -DIA	A	Int./Div.	K	T	Buy	04/17/18	K		
152. -BND	A	Int./Div.	L	T	Buy	04/30/18	L		
153. -BSV	A	Int./Div.	K	T	Buy	04/27/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	04/30/18	K		
155. -BNDX	A	Int./Div.	J	T	Buy	04/30/18	J		
156. -VRP	A	Int./Div.	J	T	Buy	04/27/18	J		
157. -IWD	A	Int./Div.	K	T	Buy	04/30/18	K		
158. -IWF	A	Int./Div.	K	T	Buy	04/27/18	J		
159.					Buy (add'l)	04/30/18	K		
160. -HYG	A	Int./Div.	J	T	Buy	04/30/18	J		
161. -IWO	A	Int./Div.	J	T	Buy	04/27/18	J		
162.					Buy (add'l)	04/30/18	J		
163. -IWN	A	Int./Div.	J	T	Buy	04/17/18	J		
164.					Buy (add'l)	04/30/18	J		
165. -IJJ	A	Int./Div.	J	T	Buy	04/27/18	J		
166. -IJK	A	Int./Div.	J	T	Buy	04/27/18	J		
167. -IWS	A	Int./Div.	J	T	Buy	04/30/18	J		
168. -IWP	A	Int./Div.	J	T	Buy	04/30/18	J		
169. -JSOSX	A	Int./Div.	J	T	Buy	04/27/18	J		
170. -CSRSX	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 17

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold	04/30/18	J	A	
172. -NMPA	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 30
173.					Sold	04/25/18	J	A	
174. -FSCIX	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 2
175.					Sold	04/25/18	J	A	
176. -HFOIX	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 3
177.					Sold	04/30/18	J	B	
178. -MLAIX	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 4
179.					Sold	04/30/18	J	B	
180. -MEIIX	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 5
181.					Sold	04/30/18	J	B	
182. -BHYIX	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 35
183.					Sold	04/30/18	J	A	
184. -Delaware Diversified Income I	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 36
185.					Sold	04/30/18	J	A	
186. -PTTPX	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 7
187.					Sold	04/30/18	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -TGBAX	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 6
189.					Sold	04/30/18	J	A	
190. -GTEYX	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 18
191.					Sold	04/30/18	J	A	
192. -LFMIX	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 40
193.					Sold	04/30/18	J	A	
194. -IJR	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 42
195.					Sold	04/30/18	J	A	
196. -VO	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 43
197.					Sold	04/30/18	J	A	
198. -EFA	A	Int./Div.	J	T	Open	04/13/18	J		nontaxable exchg frm ln 37
199.					Buy (add'l)	04/27/18	J		
200.					Sold (part)	04/30/18	J	B	
201. -IEFA	A	Int./Div.	K	T	Open	04/13/18	J		nontaxable exchg frm ln 45
202.					Buy (add'l)	04/30/18	K		
203.					Buy (add'l)	12/24/18	J		
204. -IEMG	A	Int./Div.	J	T	Open	04/13/18	K		nontaxable exchg frm ln 46

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -IUSG	A	Int./Div.			Open	04/13/18	J		nontaxable exchg frm ln 61
206.					Sold	04/30/18	J	A	
207. -SPY	A	Int./Div.	J	T	Open	04/13/18	J		nontaxable exchg frm ln 23
208.					Buy (add'l)	04/27/18	J		
209.					Sold (part)	04/30/18	J	A	
210. -BIL	A	Int./Div.	J	T	Buy	04/24/18	J		
211. -VTV	A	Int./Div.			Open	04/13/18	J		nontaxable exchg frm ln 47
212.					Sold	04/30/18	J	B	
213. -VUG	A	Int./Div.			Open	04/13/18	J		nontaxable exchg frm ln 48
214.					Sold	04/30/18	J	B	
215. -VT	A	Int./Div.			Open	04/13/18	J		nontaxable exchg frm ln 60
216.					Sold	04/30/18	J	A	
217. -VCIT	A	Int./Div.	J	T	Buy	04/27/18	J		
218. -BMDIX	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 31
219.					Sold	04/25/18	J	A	
220. -CWSIX	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 32
221.					Sold	04/25/18	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222. -JVMIX	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 24
223.					Sold	04/25/18	J	A	
224. -IEF	A	Int./Div.			Open	04/13/18	J		nontaxable exchg frm ln 69
225.					Sold	04/30/18	J	A	
226. -LQD	A	Int./Div.			Open	04/13/18	J		nontaxable exchg frm ln 39
227.					Sold	04/30/18	J	A	
228. -EMB	A	Int./Div.			Open	04/13/18	J		nontaxable exchg frm ln 50
229.					Sold	04/30/18	J	A	
230. -SHY	A	Int./Div.	J	T	Buy	04/27/18	J		
231. -CRED	A	Int./Div.			Open	04/13/18	J		nontaxable exchg frm ln 51
232.					Sold	04/30/18	J	A	
233. -GOVT	A	Int./Div.			Open	04/13/18	K		nontaxable exchg frm ln 53
234.					Sold	04/30/18	K	A	
235. -ABYIX	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 68
236.					Sold	04/30/18	J	A	
237. -MINT	A	Int./Div.	K	T	Buy	04/30/18	K		
238. -JNK	A	Int./Div.			Open	04/13/18	J		nontaxable exchg frm ln 55

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Davis, Diane

Date of Report

05/15/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold	04/30/18	J	A	
240. -EMKIX	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 56
241.					Sold	04/30/18	J	A	
242. -BGCIX	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 28
243.					Sold	04/30/18	J	A	
244. -BGLSX	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 34
245.					Sold	04/30/18	J	A	
246. -JHAIX	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 26
247.					Sold	04/26/18	J	A	
248. -RLSIX	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 29
249.					Sold	04/30/18	J	A	
250. -EMLC	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 58
251.					Sold	04/30/18	J	A	
252. -OIGYX	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 62
253.					Sold	04/30/18	J	A	
254. -PBDPX	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 63
255.					Sold	04/30/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. -QFVIX	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 66
257.					Sold	04/30/18	J	A	
258. -QSPIX	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 65
259.					Sold	04/30/18	J	A	
260. -BXMIX	A	Int./Div.			Open	04/12/18	J		nontaxable exchg frm ln 70
261.					Sold	04/30/18	J	A	
262. -PCMX	A	Int./Div.			Open	04/13/18	J		nontaxable exchg frm ln 10
263.					Sold	04/26/18	J	A	
264. -PCIEX	A	Int./Div.			Open	04/13/18	J		nontaxable exchg frm ln 12
265.					Sold	04/26/18	J	B	
266. -PCLCX	A	Int./Div.			Open	04/13/18	J		nontaxable exchg frm ln 9
267.					Sold	04/26/18	J	B	
268. -PCLVX	A	Int./Div.			Open	04/13/18	J		nontaxable exchg frm ln 11
269.					Sold	04/26/18	J	A	
270. -PCSGX	A	Int./Div.			Open	04/13/18	J		nontaxable exchg frm ln 20
271.					Sold	04/26/18	J	A	
272. -PCSVX	A	Int./Div.			Open	04/13/18	J		nontaxable exchg frm ln 13

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273.					Sold	04/26/18	J	A	
274. -PCGLX	A	Int./Div.			Open	04/13/18	J		nontaxable exchg frm ln 14
275.					Sold	04/26/18	J	A	
276. -PHYPX	A	Int./Div.			Open	04/13/18	J		nontaxable exchg frm ln 16
277.					Sold	04/26/18	J	A	
278. -PCIFX	A	Int./Div.			Open	04/13/18	J		nontaxable exchg frm ln 27
279.					Sold	04/26/18	J	A	
280. -PCGTX	A	Int./Div.			Open	04/13/18	J		nontaxable exchg frm ln 41
281.					Sold	04/26/18	J	A	
282. -PCSIX	A	Int./Div.			Open	04/13/18	J		nontaxable exchg frm ln 15
283.					Sold	04/26/18	J	A	
284. -PASPX	A	Int./Div.			Open	04/13/18	J		nontaxable exchg frm ln 22
285.					Sold	04/26/18	J	B	
286. -Morgan Stanley Bank	A	Interest	J	T	Open	04/13/18	J		nontaxable exchg frm ln 21
287.					Open	04/25/18	J		nontaxable exchg frm ln 8

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART I:

I serve as Co-trustee on Trust #4 [REDACTED]. My position as co-trustee occurred upon [REDACTED] passing in July 2015 when the trust became irrevocable. The assets of the trust will be monitored and disposed of by the trustees and the balance will be distributed equally to [REDACTED], including myself. [REDACTED] I have waived [REDACTED] right to receive [REDACTED] lawful commission from the trust. The trust terminated during 2018.

PART VII:

The assets in Brokerage Account #1 (lines 1-70) were transferred in-kind to Brokerage Account #4 (lines 170-287). Notations have been made in column 5 indicating where the corresponding nontaxable transaction/transfers can be found.

Line 132 indicates the aggregate total for Trust #4. All of the information listed in lines 132 through 134 are the holdings for this trust. The sale of the asset listed in line 134 was closed 10/27/17 and the distribution of the proceeds was reported on the 2017 report on lines 311-314. The account listed in line 133 remained open to pay any final expenses and the final distributions were made in July 2018 at the time the trust was closed.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Diane Davis**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544