

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gershon , Nina	2. Court or Organization EDNY	3. Date of Report 07/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) USDJ senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/1/2018 to 12/31/2018
7. Chambers or Office Address 225 Cadman Plaza E. Brooklyn, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	JAMS- miscellaneous
2. 2018	pension-NYState
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. JAMS	May 3-5, 2018	charleston, south carolina	annual meeting	room and board, transportation
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IBM comon stock	A	Dividend	K	T					
2. Citibank Checking	A	Interest	L	T					
3. Chase CD (IRA)	A	Interest			Merged (with line 11)	08/20/18	J	A	
4. MorganStanley Active (Liquid) Assets	A	Dividend	J	T					
5. Kaufmann Fund	E	Dividend	N	T					
6. NYS Deferred Comp	E	Int./Div.	N	T	Distributed (part)	12/15/18	K	A	
7. NY Money Trust (MS)	A	Interest	J	T					
8. Vanguard 500 (IRA)	A	Dividend	L	T	Distributed (part)	12/14/18	K	A	
9. Vanguard GNMA Fund	B	Interest	L	T					
10. Dreyfus Mun. Bond Fund	A	Interest			Sold	07/26/18	J	A	
11. Vanguard GNMA (IRA)	B	Dividend	K	T	Distributed (part)	11/15/18	J	A	
12. NYS Hsg Finance Agency Bond	A	Interest	K	T					
13. Citibank Market Rate Acct	A	Interest	L	T					
14. NYS TWY Auth	B	Interest	L	T					
15. SuffolkCty Public Impr. Bond	B	Interest	L	T					
16. Metropolitan W BE Bond	B	Interest	K	T					
17. VanguardTotalBondMktIndexFund(IRA)	B	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. NYC GO Ser L Bond	B	Interest			Sold	04/02/18	L	A	
19. NYS Dorm Auth Bond	B	Interest	K	T					
20. NYC Mun Water Bond	A	Interest	K	T					
21. MTA NY Trans Bond	A	Interest	K	T					
22. NYC Dorm Auth	B	Interest	K	T					
23. Vanguard 500	D	Dividend	N	T					
24. Nuveen Pre Inc Muni Fd	A	Interest	J	T					
25. Tribrg Tunl A	B	Interest			Sold	05/15/18	K	A	
26. PtAuth NY&NJ	B	Interest	K	T					
27. NYS GOB-E	A	Interest	K	T					
28. NYC TFA Fiscal	B	Interest	K	T					
29. Vanguard SEP (IRA)(Total Intern'l Stock Index Fund)	A	Interest	N	T	Buy (add'l)	01/30/18	K		
30.					Buy (add'l)	04/08/18	K		
31.					Buy (add'l)	07/28/18	K		
32. Vanguard Ext.Mkt.IndexFund	A	Dividend	K	T					
33. Vanguard Total Int'l Stock Index Fund	B	Dividend	K	T					
34. MinisinkVYCentSchDist	A	Interest	K	T					

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35. StatePubSchBldgAuth PACollege	A	Interest	K	T					
36. NYS Dorm Auth	B	Interest	L	T					
37. WesternNassauCty WtrAuth	B	Interest	L	T					
38. Western InterMuni FD	B	Interest	K	T					
39. Vanguard 500	A	Dividend	K	T					
40. Triboro Bridge Tunnel	C	Interest	L	T					
41. NY Convention Ctr Dev Corp	A	Interest	K	T					
42. NYC NY TransFinAuth	B	Interest	K	T					
43. NYC GOB Ser-I-1	A	Interest	L	T					
44. NYC Mun Water Finance	A	Interest	K	T					
45. JAMS		None	M	T					
46. NYC GOB Ser1-1	A	Interest	K	T					
47. NYC Mun Water Finance Secd genl res rev-HH	A	Interest	K	T					
48. NYC Transitional Fin Auth	A	Interest	K	T					
49. JPMorganChase Checking Acct	A	Interest	L	T					
50. NYC TransFinAuthBldgAidRev	A	Interest	M	T	Buy	11/19/18	M		
51. NYC TransFinAuthBldgAidRev	A	Interest	J	T	Buy	11/19/18	J		

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52. DutchessCty FinFutureIssue	A	Interest	K	T	Buy	05/14/18	K		
53. MonroeCtyNY IndlDevCorpRev	A	Interest	L	T	Buy	01/31/18	L		
54. NYC MunFinWater Auth	A	Interest	M	T	Buy	04/18/18	M		
55. NY ConventionCtrDevCorp	A	Interest	L	T	Buy	06/28/18	L		
56. NY ConventionCtrDevCorp	A	Interest	M	T	Buy	10/04/18	M		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 49: there were previously no reportable assets in this account

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Nina Gershon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544