

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dembin, Mitchell D.	2. Court or Organization District Court - San Diego	3. Date of Report 05/05/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 333 W. Broadway Room 1180 San Diego, CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member - Board of Governors	Association of Bustiness Trial Lawyers - San Diego Chapter
2. Sole Member	Silver Lining Music, LLC
3. Trustee	Jayne Joergens Living Trust
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2018

Self-employed attorney

2. 2018

Rancho Coastal Humane Society - Salary

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit Card	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. NML Mutual Fund - jms ira									
2. - Bond Fund of America	A	Dividend	J	T					
3. - Capital World Growth Fund	A	Dividend	J	T					
4. - Growth Fund of America	A	Dividend	J	T					
5. - Income Fund of America - Class A	B	Dividend	K	T					
6. NML Mutual Fund - mdd - ira									
7. - Money Market Fund - Class B	A	Dividend	J	T					
8. - American Century Div. Bond Fund	A	Dividend			Sold	07/16/18	K	A	
9. - Deutsche Enhanced Commodity Strategy	C	Dividend	K	T					
10. - Dodge & Cox Income Fund	B	Dividend	L	T					
11. - Euro Pacific Growth Fund	A	Dividend	K	T	Sold (part)	04/26/18	J	A	
12. - Fidelity Advisor Mid-cap II Fund	A	Dividend	J	T					
13. - Fidelity Advisor New Insights Fund	A	Dividend	K	T	Sold (part)	04/26/18	J	A	
14. - Hartford World Bond Fund Class I	B	Dividend	K	T					
15. - John Hancock Disciplined Fund	A	Dividend	J	T					
16. - New World Fund Class F2	A	Dividend	K	T	Sold (part)	04/26/18	J	A	
17. -Northern Small Cap Value Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - Oakmark International Fund	A	Dividend	K	T	Sold (part)	04/26/18	J	A	
19. - Pimco Income Fund Class P	B	Dividend	K	T					
20. - MFS Value Fund - Class I	B	Dividend	L	T					
21. - T Rowe Price Real Estate Fund	A	Dividend	K	T					
22. - TIAA_CREF Bond Fund Advisor	B	Dividend	K	T	Buy	07/16/18	K		
23. -Vanguard Short-Term Investment Grade A	A	Dividend	J	T					
24. Cabrillo Federal Credit Union	A	Dividend	K	T					
25. Jayne Joergens Living Trust									
26. - Chase Bank Account	A	Interest	J	T					
27. Wells Fargo Bank - Silver Lining	A	Dividend	J	T					
28. NML Whole Life - mdd	C	Dividend	L	T					
29. NML Variable Life - mdd #1-63									
30. - International Equity Fund	A	Dividend	J	T					
31. - Index 500 Stock Fund	A	Dividend	K	T					
32. - Midcap Growth Fund	A	Dividend	J	T					
33. - Growth Stock Fund	A	Dividend	J	T					
34. - Large Cap. Core Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. NML Variable Life - mdd #2-27									
36. - International Equity Fund	A	Dividend	J	T					
37. - Index 500 Stock Fund	A	Dividend	K	T					
38. - Midcap Growth Fund	A	Dividend	J	T					
39. - Growth Stock Fund	A	Dividend	J	T					
40. - Large Cap. Core Fund	A	Dividend	J	T					
41. NML SEP jms									
42. - Bond Fund of America A	A	Dividend	J	T					
43. - Capital World Growth A	A	Dividend	J	T					
44. - Growth Fund of America A	A	Dividend	J	T					
45. - Income Fund of America A	A	Dividend	J	T					
46. Luminore Private Stock (X)	A	Dividend	J	U					
47. NML Brokerage - mdd									
48. -Money Market Fund Class B	A	Dividend	J	T					
49. - American Century Intermediate A	A	Dividend	K	T					
50. -Dodge & Cox Int'l Fund	A	Dividend	J	T					
51. - Euro Pacific Growth Fund	A	Dividend	J	T					

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52. -Fidelity Advisory Intermediate Muni	A	Dividend	K	T					
53. -Fidelity Advisor Ltd. Term	A	Dividend	J	T					
54. -Fidelity Advisor Midcap II Fund	A	Dividend	J	T					
55. -Fidelity Advisor New Insights Fund	A	Dividend	J	T	Sold (part)	07/23/18	J	A	
56. -Franklin Federal Inter Term Tax-free	A	Dividend	K	T					
57. -John Hancock Disciplined Value	A	Dividend	J	T					
58. -MFS Value Fund	A	Dividend	K	T					
59. -New World Fund Class F2	A	Dividend	J	T					
60. -Northern Small Cap Value Fund	A	Dividend	J	T	Sold (part)	07/23/18	J	A	
61. -Silver Pepper Fund	A	Dividend	J	T					
62. -T Rowe Price Tax-free High Yield	A	Dividend	J	T					
63. -T Rowe Price Real Estate	A	Dividend	J	T					
64. NML Variable Annuity - jms									
65. -T Rowe Price Equity Fund	A	Dividend			Sold	06/26/18	J	A	
66. -Neuberger Berman Socially Responsive	A	Dividend			Sold	06/26/18	J	A	
67. -Fidelity VIP Contra Fund	A	Dividend			Sold	06/26/18	J	A	
68. -Janus Focused Appreciation	A	Dividend			Sold	06/26/18	J	A	

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69. - American Sentry Midcap Value	A	Dividend			Sold	06/26/18	J	A	
70. - Fidelity VIP Midcap	A	Dividend	J	T					
71. - T Rowe Price Small Cap Value	A	Dividend			Sold	06/26/18	J	A	
72. - MFS Research Int'l	A	Dividend			Sold	06/26/18	J	A	
73. - Franklin Templeton Int'l Equity	A	Dividend	J	T					
74. - Janus Int'l Growth	A	Dividend			Sold	06/26/18	J	A	
75. - MFS Emerging Markets	A	Dividend			Sold	06/26/18	J	A	
76. - T Rowe Price Short-term Bond	A	Dividend			Sold	06/26/18	J	A	
77. - Index 500 Stock	B	Dividend	K	T	Buy	06/26/18	K		
78. - Wells Capital Mgmt Select Bond	A	Dividend	K	T					
79. - Russell Core Bond (RIF Strategic)	A	Dividend			Sold	06/26/18	J	A	
80. - American Century Inflation Protection	A	Dividend			Sold	06/26/18	J	A	
81. - Pimco Multi-sector Bond	A	Dividend			Sold	06/26/18	J	A	
82. - Federated Investment High Yield Bond	A	Dividend			Sold	06/26/18	J	A	
83. - Russell (RIF) Global Real Estate Securities	A	Dividend			Sold	06/26/18	J	A	
84. - Credit Suisse Commodity Strategy	A	Dividend			Sold	06/26/18	J	A	
85. Attorney Appearance LLC (Y)									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mitchell D. Dembin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544