

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Evans, Barbara S.	2. Court or Organization United States District Court - District of New Mexico	3. Date of Report 07/03/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge (PT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States District Court Joe Skeen Federal Building 500 North Richardson Avenue Roswell, NM 88201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	Trustee	Trust #2
3.	Trustee	Trust #3
4.	Trustee	Trust #4
5.	Trustee	Trust #5
6.	Board Member, Executive Committee member	Holsum, Inc.
7.	Board Member	SWS Family LLC
8.	Board Member	JKS Resources LLC
9.	Vice President	J. Kenneth and Alice Smith Family Foundation
10.	President, Board of Directors	Alianza of New Mexico
11.	Board Member (former)	Historical Foundation of Southeastern New Mexico

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1. _____
2. _____
3. _____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Atwood Malone Turner and Sabin PA (salary)	\$40,902.70
2. 2018	Holsom, Inc. (Director's Fee)	\$7,000.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Atwood Malone Turner and Sabin PA (salary)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. PIONEER BANK (CASH)	A	Interest	J	T					
2. NY LIFE INSURANCE (WHOLE)	A	Interest	K	T					
3. HOLSUM, INC	G	Distribution	P1	T					
4. SWS FAMILY LLC	E	Royalty	P1	W					
5. JKS RESOURCES LLC	A	Distribution	L	W					
6. IRA #1 (H)									
7. SPDR S&P 500 ETF (SPY)	A	Dividend	J	T					
8. VANGUARD TOT STOCK MKT INDX ADM (VTSAX)	A	Dividend	J	T					
9. IRA #2 (H)									
10. INVESCO (QQQ TRUST (QQQ)	A	Dividend	J	T					
11. ISHARES MSCI EAFE ETF (EFA)	A	Dividend	J	T					
12. SPDR S&P 500 ETF (SPY)	A	Dividend	J	T					
13. ZEO STRATEGIC INCM FD 1 (ZEOIX)	A	Dividend	J	T					
14. THORNBURG BETTER WORLD 1 (TBWIX)	B	Dividend	J	T					
15. TRUST ACCT #1 (H)									
16. SCHWAB ONE CASH ACCOUNT (CASH)	A	Interest	J	T					
17. ARK WEB X.0 ETF (ARKW)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. ISHARES GOLD ETF (IAU)		None	J	T					
19. ISHARES S&P 100 ETF (OEF)	A	Dividend	K	T					
20. SEGALL BRYANT & HAMILL PLUS BD FD RETAIL (WTIBX)	A	Dividend			Sold	10/09/18	J		
21. PIMCO SHORT TRM INSTL (PTSHX)	A	Dividend	J	T	Buy	10/10/18	J		
22. THORNBURG STRATEGIC INC FD I (TSIIX)	A	Dividend	K	T					
23. COHEN & STEERS REALTY SHS (CSRSX)	A	Dividend	J	T					
24. MATTHEWS ASIAN GRWTH & INC FD INV (MACSX)	B	Dividend	K	T					
25. OAKMARK EQ & INC FD INV (OAKBX)	B	Dividend	K	T					
26. POPLAR FOREST PARTNERS INST (IPFPX)	A	Dividend	J	T					
27. THORNBURG BETTER WORLD INTL I (TBWIX)	C	Dividend	K	T					
28. TWEEDY BROWNE GLOBAL VAL FD (TBGVX)	B	Dividend	K	T					
29. VANGUARD TOT INTL STK INDX ADM (VTIAX)	A	Dividend	J	T					
30. VANGUARD TOT STOCK MKT INDX FD ADM (VTSAX)	A	Dividend	K	T					
31. TRUST ACCT #2 (H)									
32. SCHWAB ONE CASH ACCOUNT (CASH)	A	Interest	J	T					
33. ARK WEB X.O ETF (ARKW)	A	Dividend	J	T					
34. TEMPLETON GLOBAL BD FD A (TPINX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. THORNBURG STRATEGIC INCOME FD I (TSIIX)	A	Dividend	J	T					
36. ZEO SHORT DURATION INC FD I (ZEOIX)	A	Dividend	J	T					
37. AMG YACHTMAN FD I (YACKX)	C	Dividend	K	T					
38. BUFFALO DISCOVERY FD (BUFTX)	A	Dividend	K	T					
39. COHEN & STEERS REALTY SHS (CSRSX)	A	Dividend	K	T					
40. OAKMARK EQ & INC FD INV (OAKBX)	B	Dividend	K	T					
41. OPPENHEIMER DEV MKTS FD CL A (ODMAX)	A	Dividend	J	T					
42. PAYDEN EQ INC FD INV (PYVIZ)	A	Dividend	K	T					
43. POPLAR FOREST PARTNRS INST (IPFPX)	B	Dividend	K	T					
44. THORNBURG BETTER WORLD INTL I (TBWIX)	A	Dividend	J	T					
45. TWEEDY BROWNE GBL VAL FD (TBGVX)	A	Dividend	J	T					
46. VANG TOT INTL STK INDX ADM (VTIAX)	A	Dividend	K	T					
47. VANG 500 INDX FD INV SHS (VFINX)	A	Dividend	K	T					
48. TRUST ACCT #3 (H)									
49. SCHWAB ONE CASH ACCT (CASH)	A	Interest	J	T					
50. BERKSHIRE HATHAWAY (BRKB)		None	K	T					
51. ARK WEB X.0 ETF (ARKW)	A	Dividend	J	T					

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52. ISHARES MSCI EAFE ETF (EFA)	A	Dividend			Sold	11/26/18	K		
53. VANGUARD TOTAL STOCK MKT ETF (VTI)	A	Dividend	K	T	Buy	11/26/18	K		
54. VANGUARD TOTAL WORLD STOCK ETF (VT)	A	Dividend	K	T	Buy (add'l)	11/26/18	J		
55. THORNBURG STRATEGIC INC FD I (TSIIX)	A	Dividend	J	T					
56. ZEO STRATEGIC INCM FD I (ZEOIX)	A	Dividend	J	T					
57. MATTHEWS ASIAN GROWTH & INC FD I (MACSX)	A	Dividend			Sold	11/26/18	K		
58. OAKMARK EQUITY & INCOME FD I (OAKBX)	B	Dividend	K	T					
59. OPPENHEIMER DEV MKTS FD A (ODMAX)	A	Dividend	J	T					
60. PAYDEN EQUITY INCOME FD I (PYVIZ)	B	Dividend	K	T					
61. POPLAR FOREST PARTNERS I (IPFPX)	B	Dividend	J	T					
62. THORNBURG BETTER WORLD I (TBWIX)	B	Dividend	J	T					
63. TWEEDY BROWNE GLOBAL VAL FD (TBGVX)	A	Dividend	J	T					
64. VANGUARD 500 INDEX FD I (VFINX)	A	Dividend	K	T					
65. TRUST ACCT #4 (H)									
66. SCHWAB ONE CASH ACCT (CASH)	A	Interest	J	T					
67. ARK WEB X.0 ETF (ARKW)	A	Dividend	J	T					
68. TEMPLETON GLOBAL BOND FUND CL A (TPINX)	A	Dividend	J	T					

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69. ZEO STRATEGIC INCM FD I (ZEOIX)	A	Dividend	J	T					
70. COHEN & STEERS REALTY SHS (CSRSX)	A	Dividend	J	T					
71. OAKMARK EQUITY & INCOME FD INV (OAKBX)	B	Dividend	J	T					
72. OPPENHEIMER DEVELOPING MKTS FD CL A (ODMAX)	A	Dividend	J	T					
73. PAYDEN EQUITY INCOME FD I (PYVIZ)	A	Dividend	K	T					
74. POPLAR FOREST PARTNERS CL INST (IPFPX)	B	Dividend	J	T					
75. VANGUARD EXTENDED MARKET INDEX FD INV SHRS (VEXMX)	A	Dividend	K	T					
76. VANGUARD TOTAL INTL STK INDEX ADM (VTIAX)	A	Dividend	K	T					
77. VANGUARD 500 INDEX FD INDEX FD INV SHS (VFINX)	A	Dividend	L	T					
78. TRUST ACCT #5 (H)									
79. SCHWAB ONE CASH ACCOUNT (CASH)	A	Interest	J	T					
80. BERKSHIRE HATHAWAY (BRKB)		None	K	T					
81. ENERGY SELECT SECTOR SPDR (XLE)	A	Dividend	J	T					
82. SEGALL BRYANT & HAMILL PLUS BD RET (WTIBX)	A	Dividend			Sold	10/09/18	J		
83. ISHARES MSCI PACIFIC EX JAP (EPP)	A	Dividend	J	T					
84. PIMCO SHORT TERM INSTL (PTSHX)	A	Dividend	J	T	Buy	10/10/18	J		
85. COHEN & STEERS REALTY SHS (CSRSX)	B	Dividend	J	T					

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86. THORNBURG BETTER WORLD INTL I (TBWIX)	B	Dividend	K	T					
87. VANGUARD EXTENDED MARKET INDEX FD INV SHRS (VEXMX)	A	Dividend	J	T					
88. VANGUARD TOTAL STOCK MKT IDX FD ADM (VTSAX)	A	Dividend	K	T					
89. BROKERAGE ACCT #1 (H)									
90. CHARLES SCHWAB BANK SWEEP (CASH)	A	Interest	K	T					
91. SCHWAB VALUE ADVANTAGE (SWVXX) (CASH)	B	Dividend	M	T					
92. BROKERAGE ACCT #2 (H)									
93. SCHWAB ONE CASH ACCT (CASH)	A	Interest	K	T					
94. PPG INDUSTRIES, 1 2.3%19 (693506BH9)		None	K	T	Buy	12/12/18	K		
95. MYLAN NV 3.75%20F (62854AAL8)	A	Interest	K	T					
96. KRAFT HEINZ FOODS 3.5%22 (50077LAJ5)		None	K	T	Buy	09/13/18	K		
97. ST JUDE MEDICAL 3.25%23 (790849AJ2)	A	Interest			Redeemed	10/28/18	K		
98. CLOVIS NM GRT 3%19 (189387CG6)	A	Interest	K	T					
99. NM ST SEVERANCE 2.25%19 TAX SPL (647310Y96)	A	Interest	K	T					
100. NM ST SEVERANCE TAX 4%20 (647310L58)	A	Interest	K	T					
101. BELEN NM CNS SD #2 3%20 GO UTX (077581NZ5)	A	Interest	K	T					
102. LAS CRUCES NM SD #2 5%25 (517534RA3)		None	K	T	Buy	10/16/18	K		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. HOBBS NEW MEX 5%50 (43385PAG4)	B	Interest	K	T					
104. ABBOTT LABORATORIES (ABT)	A	Dividend	K	T	Buy (add'l)	09/11/18	J		
105. ALLIANCE DATA SYSTEM (ADS)	A	Dividend	K	T	Buy	10/10/18	K		
106. ASTRAZENECA PLC (AZN)	A	Dividend	K	T	Buy (add'l)	09/11/18	J		
107. EQUINOR (EQNR)	B	Dividend	K	T					
108. FIAT CHRYSLER AUTO (FCAU)		None	K	T	Buy	09/11/18	K		
109. HOME DEPOT (HD)	B	Dividend	K	T					
110. KONINKLIJKE PHILIPS (PHG)	A	Dividend	K	T					
111. PAYPAL HOLDINGS (PYPL)		None	K	T					
112. SALESFORCE.COM (CRM)		None	K	T					
113. TENCENT HOLDINGS (TCEHY)	A	Dividend	K	T					
114. TEXAS CAPITAL BANCSHARES (TCBI)		None	K	T					
115. TOTAL S A (TOT)	A	Dividend	J	T					
116. UNITEDHEALTH GRP (UNH)	A	Dividend	K	T					
117. VOLKSWAGEN A G (VWAGY)	A	Dividend	K	T					
118. ISHARES CORE S&P 500 (IVV)	B	Dividend	L	T	Sold (part)	12/10/18	J		
119. VANGUARD EXTENDED MARKET (VXF)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. VANGUARD TOTAL STOCK (VTI)	B	Dividend	L	T					
121. ZEO STRATEGIC INCM FD I (ZEOIX)	A	Dividend	K	T					
122. AMG YACKTMAN FD I (YACKX)	D	Dividend	L	T					
123. BUFFALO DISCOVERY FUND (BUFTX)	B	Dividend	K	T					
124. MATTHEWS ASIAN GROWTH & INC FD I (MACSX)	B	Dividend	J	T					
125. OAKMARK EQUITY & INCOME FD I (OAKBX)	C	Dividend	K	T					
126. PARNASSUS ENDEAVOR FD I (PPFWX)	C	Dividend	K	T					
127. PAYDEN EQUITY INCOME FD I (PYVIZ)	A	Dividend	K	T					
128. THORNBURG BETTER WORLD I (TBWIX)	C	Dividend	K	T					
129. THORNBURG INVT INCOME BLDR FD CL I (TIBIX)	B	Dividend	K	T					
130. TWEEDY BROWNE GLOBAL VAL FD (TBGVX)	B	Dividend	K	T					
131. VANGUARD DIV GROWTH FD INV SHS (VDIGX)	C	Dividend	L	T					
132. VANGUARD TOTAL INTL STK IDX ADM (VTIAX)	A	Dividend	K	T					
133. WEYERHAEUSER CO (WY)	B	Dividend	K	T					
134. 401K ACCT #1 (H)									
135. AMERICAN FUNDS 2040 TARGET DATE FUND R4 (RDGTX)	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, line 6: The primary purpose of this business is agricultural. My role involves monitoring the acquisition of new farming properties, reviewing milk production, and some personnel issues.

Part VII, line 4: Valuation of this item is based upon the estimated value of real estate. A more precise valuation is not available.

Part VII, line 5: Valuation of this item is based upon the estimated value of gas and oil investments. A more precise valuation is not available..

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, lines 17, 24, 26, 43, 56, 60, 61, 78, 94, 96, 99, 100, 106, 107, 112-114, 124, 125, 128, 131, 133, 134, 141, 148, 153, 158, and 160-162 of the initial report are not reportable in the 2018 reporting period.

Part VII, line 115 of the initial report had reportable value at the end of 2018.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Barbara S. Evans**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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