

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Furay, Catherine J.	2. Court or Organization U.S. Bankruptcy Court, Western District of Wisconsin	3. Date of Report 05/10/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Bankruptcy Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States Courthouse 120 N. Henry Street, Room 340 Madison, WI 53703-2559		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Committee Member	Villages of Bishops Bay Architectural Review Committee
2. Member of Board of Directors and Finance Committee; Treasurer	University of Wisconsin-Eau Claire Foundation Inc.
3. Committee Member	Elections, Finance, and Online Learning Committees of the National Conference of Bankruptcy Judges
4. Chairman of the Board and Past-President of Certification Oversight Comm.	Turnaround Management Association
5. Global TMA Board of Trustees Member	Turnaround Management Association
6. Education Advisory Committee for Central States Bankruptcy Conference	American Bankruptcy Institute

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 1/2/2018	LexisNexis - compensation for updating Construction Law treatise	\$2,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Steinhilber Swanson, LLC - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Turnaround Management Association	02/06/18 - 02/08/2018	Las Vegas, NV	TMA Distressed Investing Conference and Certification Oversight Committee Meeting	Transportation, meals, lodging, tuition
2. American Bankruptcy Institute	06/07/18 - 06/09/18	Lake Geneva, WI	ABI Central States Bankruptcy Workshop	Transportation, lodging
3. Turnaround Management Association	09/24/18 - 09/26/18	Colorado Springs, CO	TMA Annual Meeting and Certification Oversight Committee Meeting	Transportation, lodging, tuition
4. National Conference of Bankruptcy Judges	10/27/18 - 10/30/18	San Antonio, TX	NCBJ 2018 Annual Meeting	Transportation, lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	*See note in Part VIII		\$0.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	J
2.	American Express	Credit Card	J
3.	Visa	Credit Card	J
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Bank Account The Park Bank*		None			Closed	01/02/18	J		
2. Bank Account Middleton Community Bank, n/k/a Monona Bank	A	Interest	K	T					
3. The Peoples Community Bancshares	A	Interest	J	T					
4. Mitzvah LLC		None	M	U					
5. Bank Account Towne Bank	A	Interest	J	T					
6. INVESTMENT ACCOUNT #1 (UBS); (H)									
7. - Cash (UBS)	A	Interest	J	T					
8. - ABB LTD (ABB)	A	Dividend			Sold (part)	01/11/18	J	A	
9.					Sold (part)	02/21/18	J	A	
10.					Sold	12/14/18	J	A	
11. - America Movil (AMX)		None			Sold (part)	01/11/18	J	A	
12.					Sold (part)	02/21/18	J	A	
13.					Sold	05/08/18	J	A	
14. - Amgen Inc. (AMGN)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
15.					Sold (part)	02/21/18	J	A	
16. - Apple Inc. (AAPL)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
17.					Sold (part)	02/21/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Banco Santander (SAN)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
19.					Sold (part)	02/21/18	J	A	
20.					Sold (part)	05/11/18	J	A	
21. - Capital One Financial Corp (COF)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
22.					Sold (part)	02/21/18	J	A	
23. - Carnival Corp New-Paired Stock (CCL)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
24.					Sold (part)	02/21/18	J	A	
25. - Cisco Systems Inc. (CSCO)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
26.					Sold (part)	02/21/18	J	A	
27.					Sold (part)	05/08/18	J	A	
28. - Citigroup Inc. (C)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
29.					Sold (part)	02/21/18	J	A	
30. - Coca Cola Co. (KO)	A	Dividend	J	T	Sold (part)	02/21/18	J	A	
31. - Diageo PLC New (DEO)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
32.					Sold (part)	02/21/18	J	A	
33. - Duke Energy Corp (DUK)	A	Dividend	J	T	Buy (add'l)	01/11/18	J		
34.					Sold (part)	02/21/18	J	A	

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35. - Eaton Corp PLC (ETN)	A	Dividend			Sold (part)	01/11/18	J	A	
36.					Sold (part)	02/21/18	J	A	
37.					Sold (part)	05/08/18	J	A	
38.					Sold	05/11/18	J	A	
39. - Exxon Mobil Corp (XOM)	A	Dividend	J	T	Buy (add'l)	01/11/18	J		
40.					Sold (part)	02/21/18	J	A	
41. - Ford Motor Co. (F)	A	Dividend			Buy (add'l)	01/11/18	J		
42.					Sold (part)	02/21/18	J	A	
43.					Sold	12/14/18	J	A	
44. - Halliburton Co. (HAL)		None			Sold	01/11/18	J	A	
45. - HSBC Holdings (HSBC)	A	Dividend			Sold (part)	01/11/18	J	A	
46.					Sold (part)	02/21/18	J	A	
47.					Sold	05/08/18	J	A	
48. - McDonald's Corp. (MCD)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
49.					Sold (part)	02/21/18	J	A	
50. - Merck & Co Inc. (MRK)	A	Dividend	J	T	Buy (add'l)	01/11/18	J		
51.					Sold (part)	02/21/18	J	A	

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52. - Microsoft Corp. (MSFT)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
53.					Sold (part)	02/21/18	J	A	
54. - Mondelez International Inc. (MDLZ)	A	Dividend	J	T	Buy (add'l)	01/11/18	J		
55.					Sold (part)	02/21/18	J	A	
56. - Nestle (NSRGY)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
57.					Sold (part)	02/21/18	J	A	
58. - Oracle Corp. (ORCL)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
59.					Sold (part)	02/21/18	J	A	
60. - Qualcomm Inc. (QCOM)	A	Dividend	J	T	Buy (add'l)	01/11/18	J		
61.					Sold (part)	02/21/18	J	A	
62. - Sanofi Spon ADR (SNY)	A	Dividend	J	T	Buy (add'l)	01/11/18	J		
63.					Sold (part)	02/21/18	J	A	
64. - Unilever NV (UN)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
65.					Sold (part)	02/21/18	J	A	
66. - Walt Disney Co. (DIS)	A	Dividend	J	T	Buy (add'l)	01/11/18	J		
67.					Sold (part)	02/21/18	J	A	
68. - Western Union (WU)	A	Dividend	J	T	Buy (add'l)	01/11/18	J		

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69.					Sold (part)	02/21/18	J	A	
70. - Westpac Banking Ltd. (WBK)	A	Dividend			Buy (add'l)	01/11/18	J		
71.					Sold (part)	02/21/18	J	A	
72.					Sold	12/14/18	J	A	
73. - Wisdomtree Emerging Markets High Divid Fund ETF (DEM)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
74.					Sold (part)	02/21/18	J	A	
75. - Wisdomtree Emerging Markets ETF (DGS)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
76.					Sold (part)	02/21/18	J	A	
77. - SPDR Nuveen Barclays Municipal ETF (TFI)	A	Dividend	K	T	Buy (add'l)	01/11/18	J		
78.					Sold (part)	02/21/18	J	A	
79. - Vaneck Vectors ETF High Yield Municipal (HYD)	A	Dividend	J	T	Buy (add'l)	01/11/18	J		
80.					Sold (part)	02/21/18	J	A	
81. - VISA Inc. (V)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
82.					Sold (part)	02/21/18	J	A	
83. - Monsanto Co. (MON)	A	Dividend			Sold (part)	02/21/18	J	A	
84.					Sold	05/08/18	J	A	
85. - Starbucks Corp. (SBUX)	A	Dividend	J	T	Sold (part)	02/21/18	J	A	

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86. - Ishares MSCI ACWI (ACWI)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
87.					Buy (add'l)	12/14/18	J		
88. - SPDR Nuveen Barclays Short-Term Muni (SHM)	A	Dividend	J	T	Buy (add'l)	01/11/18	J		
89.					Sold (part)	02/21/18	J	A	
90. - Blackrock (BLK)	A	Dividend			Buy	05/09/18	J		
91.					Sold	12/14/18	J	A	
92. - Eastman Chemical (EMN)	A	Dividend			Buy	05/09/18	J		
93.					Sold	12/14/18	J	A	
94. - General Electric (GE)	A	Dividend			Buy	01/11/18	J		
95.					Sold (part)	02/21/18	J	A	
96.					Sold	10/30/18	J	A	
97. - iShares Core S&P 500 ETV (IVV)	A	Dividend	J	T	Buy	12/14/18	J		
98. - Royal Dutch Shell (RDSA)	A	Dividend	J	T	Buy	01/11/18	J		
99.					Sold (part)	02/21/18	J	A	
100. IRA #1 (UBS): (H)									
101. - Cash (UBS)	A	Interest	J	T					
102. - Abb Ltd Spon ADR (ABB)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Buy (add'l)	12/27/18	J		
104. - America Movil (AMX)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
105.					Sold (part)	05/08/18	J	C	
106. - Amgen Inc. (AMGN)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
107.					Buy (add'l)	12/27/18	J		
108. - Apple Inc. (AAPL)	A	Dividend	K	T	Sold (part)	01/11/18	J	A	
109.					Buy (add'l)	12/27/18	J		
110. - Banco Santander (SAN)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
111.					Sold (part)	05/11/18	J	A	
112.					Buy (add'l)	12/27/18	J		
113. - Capital One Financial Corp (COF)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
114.					Buy (add'l)	12/27/18	J		
115. - Carnival Corp New-Paired Stock (CCL)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
116.					Buy (add'l)	12/27/18	J		
117. - Cisco Systems Inc. (CSCO)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
118.					Sold (part)	05/08/18	J	B	
119.					Buy (add'l)	12/27/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Citigroup Inc. (C)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
121.					Buy (add'l)	12/27/18	J		
122. - Coca Cola Co. (KO)	A	Dividend	K	T	Buy (add'l)	01/11/18	J		
123.					Buy (add'l)	12/27/18	J		
124. - Diageo PLC New GB Spon ADR (DEO)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
125.					Buy (add'l)	12/27/18	J		
126. - Duke Energy Corp (DUK)	A	Dividend	J	T	Buy (add'l)	01/11/18	J		
127.					Buy (add'l)	12/27/18	J		
128. - Eaton Corp PLC (ETN)	A	Dividend			Sold (part)	01/11/18	J	A	
129.					Sold (part)	05/08/18	J	A	
130.					Sold	05/11/18	J	A	
131. - Exxon Mobil Corp (XOM)	A	Dividend	J	T	Buy (add'l)	01/11/18	J		
132.					Buy (add'l)	12/27/18	J		
133. - Ford Motor Co. (F)	A	Dividend	J	T	Buy (add'l)	01/11/18	J		
134.					Buy (add'l)	12/27/18	J		
135. - General Electric Co. (GE)	A	Dividend			Buy (add'l)	01/11/18	J		
136.					Sold	10/30/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Halliburton Co. (HAL)		None			Sold	01/11/18	J	A	
138. - HSBC Holdings PLC New GB Spon ADR (HSBC)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
139.					Sold (part)	05/08/18	J	A	
140.					Buy (add'l)	12/27/18	J		
141. - McDonald's Corp. (MCD)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
142.					Buy (add'l)	12/27/18	J		
143. - Merck & Co Inc. New Com (MRK)	A	Dividend	K	T	Buy (add'l)	01/11/18	J		
144.					Buy (add'l)	12/27/18	J		
145. - Microsoft Corp. (MSFT)	A	Dividend	K	T	Sold (part)	01/11/18	J	B	
146.					Buy (add'l)	12/27/18	J		
147. - Mondelez International Inc. (MDLZ)	A	Dividend	J	T	Buy (add'l)	01/11/18	J		
148.					Buy (add'l)	12/27/18	J		
149. - Nestle S A Spon ADR REPSTG Reg SHS Switz (NSRGY)	A	Dividend	K	T	Sold (part)	01/11/18	J	A	
150.					Buy (add'l)	12/27/18	J		
151. - Oracle Corp. (ORCL)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
152.					Buy (add'l)	12/27/18	J		
153. - Qualcomm Inc. (QCOM)	A	Dividend	K	T	Buy (add'l)	01/11/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	12/27/18	J		
155. - Sanofi Spon ADR (SNY)	A	Dividend	K	T	Buy (add'l)	01/11/18	J		
156.					Buy (add'l)	12/27/18	J		
157. - Schlumberger Ltd. Netherlands Antilles (SLB)	A	Dividend	J	T	Buy (add'l)	01/11/18	J		
158.					Buy (add'l)	12/27/18	J		
159. - Unilever NV Y SHS New Netherlands Spon ADR (UN)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
160.					Buy (add'l)	12/27/18	J		
161. - Walt Disney Co. (DIS)	A	Dividend	K	T	Buy (add'l)	01/11/18	J		
162.					Buy (add'l)	12/27/18	J		
163. - Western Union Co (WU)	A	Dividend	J	T	Buy (add'l)	01/11/18	J		
164.					Buy (add'l)	12/27/18	J		
165. - Westpac Banking Ltd Spon ADR Australia ADR (WBK)	B	Dividend	J	T	Buy (add'l)	01/11/18	J		
166.					Buy (add'l)	12/27/18	J		
167. - Wisdomtree Emerging Markets High Divid Fund ETF (DEM)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
168.					Buy (add'l)	02/23/18	J		
169. - Wisdomtree Emerging Markets ETF (DGS)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
170.					Buy (add'l)	02/23/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$5,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Blackstone Group Lp (BX)	B	Dividend	K	T	Sold (part)	01/11/18	J	A	
172.					Buy (add'l)	12/27/18	J		
173. - Ishares Core U.S. Aggregate Bond ETF (AGG)	D	Dividend	M	T	Buy (add'l)	01/11/18	K		
174. - Pimco 0-5 High Yield Corp. Bond Index ETF (HYS)	B	Dividend	K	T	Buy (add'l)	01/11/18	J		
175. - Powershares Senior Loan (BKLN)	B	Dividend	K	T	Buy (add'l)	01/11/18	J		
176. - Vanguard Charlotte FDS Total Intl Bond Index Fund ETF (BNDX)	A	Dividend	K	T	Buy (add'l)	01/11/18	J		
177. - Visa Inc. (V)	A	Dividend	K	T	Sold (part)	01/11/18	J	A	
178.					Buy (add'l)	12/27/18	J		
179. - Monsanto Co. (MON)	A	Dividend			Sold (part)	01/11/18	J	A	
180.					Sold	05/08/18	J	A	
181. - Daimler AG Unsponsored ADR (DMLRY)		None			Sold	01/11/18	J	A	
182. - Glaxo SmithKline PLC ADR (GSK)	A	Dividend	J	T	Buy (add'l)	01/11/18	J		
183.					Buy (add'l)	12/27/18	J		
184. - Johnson Ctls Intl PLC (JCI)	A	Dividend	J	T	Buy (add'l)	01/11/18	J		
185.					Buy (add'l)	12/27/18	J		
186. - Roche Hldg Ltd Spons ADR Switz ADR (RHHBY)	A	Dividend	J	T	Buy (add'l)	01/11/18	J		
187.					Buy (add'l)	12/27/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Starbucks Corp (SBUX)	A	Dividend	J	T	Buy (add'l)	01/11/18	J		
189.					Buy (add'l)	12/27/18	J		
190. - VF Corp (VFC)	A	Dividend			Sold (part)	01/11/18	J	A	
191.					Sold	05/08/18	J	A	
192. - Ishares Short-Term Corporate Bond Fund (ISGB)*	C	Dividend	L	T	Buy	01/11/18	J		
193. - Anheuser Busch Inbev Spon ADR (BUD)	A	Dividend	J	T	Buy	05/11/18	J		
194.					Buy (add'l)	12/27/18	J		
195. - Blackrock Inc (BLK)	A	Dividend	J	T	Buy	05/09/18	J		
196.					Buy (add'l)	05/11/18	J		
197.					Buy (add'l)	12/27/18	J		
198. - Daimler AG Spon ADR (DDA1Y) (X)	A	Dividend	J	T	Buy (add'l)	05/09/18	J		
199.					Buy (add'l)	12/27/18	J		
200. - Eastman Chemical Co. (EMN)	A	Dividend	J	T	Buy	05/09/18	J		
201.					Buy (add'l)	12/27/18	J		
202. - Ingersoll-Rand PLC (IR)	A	Dividend	J	T	Buy	05/09/18	J		
203.					Buy (add'l)	12/27/18	J		
204. - Royal Dutch Shell PLC CL A Spon ADR (RDSA)	A	Dividend	J	T	Buy	01/11/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	12/27/18	J		
206. - Tencent (TCEHY)	A	Dividend	J	T	Buy	05/09/18	J		
207.					Buy (add'l)	12/27/18	J		
208. - Telefonica S A Spon ADR (TEF)	A	Dividend	J	T	Buy	05/09/18	J		
209.					Buy (add'l)	12/27/18	J		
210. IRA #2 (UBS): (H)									
211. - Cash (UBS)	A	Interest	J	T					
212. - Abb Ltd Spon ADR (ABB)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
213.					Buy (add'l)	12/27/18	J		
214. - America Movil (AMX)		None			Sold (part)	01/11/18	J	A	
215.					Sold	05/08/18	J	A	
216. - Amgen Inc. (AMGN)	A	Dividend	K	T	Sold (part)	01/11/18	J	A	
217.					Buy (add'l)	12/27/18	J		
218. - Apple Inc. (AAPL)	A	Dividend	K	T	Sold (part)	01/11/18	J	A	
219.					Buy (add'l)	12/27/18	J		
220. - Banco Santander (SAN)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
221.					Sold (part)	05/11/18	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222.					Buy (add'l)	12/27/18	J		
223. - Capital One Financial Corp (COF)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
224.					Buy (add'l)	12/27/18	J		
225. - Carnival Corp New-Paired Stock (CCL)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
226.					Buy (add'l)	12/27/18	J		
227. - Cisco Systems Inc. (CSCO)	A	Dividend	K	T	Sold (part)	01/11/18	J	A	
228.					Sold (part)	05/08/18	J	C	
229.					Buy (add'l)	12/27/18	J		
230. - Citigroup Inc. (C)	A	Dividend	K	T	Sold (part)	01/11/18	J	A	
231.					Buy (add'l)	12/27/18	J		
232. - Coca Cola Co. (KO)	A	Dividend	K	T	Buy (add'l)	01/11/18	J		
233.					Buy (add'l)	12/27/18	J		
234. - Diageo PLC New GB Spon ADR (DEO)	A	Dividend	K	T	Sold (part)	01/11/18	J	A	
235.					Buy (add'l)	12/27/18	J		
236. - Duke Energy Corp. (DUK)	A	Dividend	K	T	Buy (add'l)	01/11/18	J		
237.					Buy (add'l)	12/27/18	J		
238. - Eaton Corp PLC (ETN)	A	Dividend			Sold (part)	01/11/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold (part)	05/08/18	J	A	
240.					Sold	05/11/18	J	A	
241. - Exxon Mobil Corp (XOM)	A	Dividend	J	T	Buy (add'l)	01/11/18	J		
242.					Buy (add'l)	12/27/18	J		
243. - Ford Motor Co. (F)	B	Dividend	J	T	Buy (add'l)	01/11/18	J		
244.					Buy (add'l)	12/27/18	J		
245. - General Electric Co. (GE)	A	Dividend			Buy (add'l)	01/11/18	J		
246.					Sold	10/30/18	J	A	
247. - Halliburton Co. (HAL)		None			Sold	01/11/18	J	B	
248. - HSBC Holdings PLC New GB Spon ADR (HSBC)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
249.					Sold (part)	05/08/18	J	A	
250.					Buy (add'l)	12/27/18	J		
251. - McDonald's Corp. (MCD)	A	Dividend	K	T	Sold (part)	01/11/18	J	A	
252.					Buy (add'l)	12/27/18	J		
253. - Merck & Co Inc. New Com (MRK)	A	Dividend	K	T	Buy (add'l)	01/11/18	J		
254.					Buy (add'l)	12/27/18	J		
255. - Microsoft Corp. (MSFT)	A	Dividend	K	T	Sold (part)	01/11/18	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Buy (add'l)	12/27/18	J		
257. - Mondelez International Inc. (MDLZ)	A	Dividend	K	T	Buy (add'l)	01/11/18	J		
258.					Buy (add'l)	12/27/18	J		
259. - Nestle S A Spon ADR REPSTG Reg SHS Switz (NSRGY)	A	Dividend	K	T	Sold (part)	01/11/18	J	A	
260.					Buy (add'l)	12/27/18	J		
261. - Oracle Corp. (ORCL)	A	Dividend	K	T	Sold (part)	01/11/18	J	A	
262.					Buy (add'l)	12/27/18	J		
263. - Qualcomm Inc. (QCOM)	A	Dividend	L	T	Buy (add'l)	01/11/18	J		
264.					Buy (add'l)	12/27/18	J		
265. - Sanofi Spon ADR (SNY)	A	Dividend	K	T	Buy (add'l)	01/11/18	J		
266.					Buy (add'l)	12/27/18	J		
267. - Schlumberger Ltd. Netherlands Antilles (SLB)	A	Dividend	J	T	Buy (add'l)	01/11/18	J		
268.					Buy (add'l)	12/27/18	J		
269. - Unilever NV Y SHS New Netherlands Spon ADR (UN)	A	Dividend	K	T	Sold (part)	01/11/18	J	A	
270.					Buy (add'l)	12/27/18	J		
271. - Walt Disney Co. (DIS)	A	Dividend	K	T	Buy (add'l)	01/11/18	J		
272.					Buy (add'l)	12/27/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. - Western Union Co (WU)	A	Dividend	K	T	Buy (add'l)	01/11/18	J		
274.					Buy (add'l)	12/27/18	J		
275. - Westpac Banking Ltd Spon ADR Australia ADR (WBK)	B	Dividend	K	T	Buy (add'l)	01/11/18	J		
276.					Buy (add'l)	12/27/18	J		
277. - Wisdomtree Emerging Markets High Divid Fund ETF (DEM)	A	Dividend	K	T	Sold (part)	01/11/18	J	A	
278.					Buy (add'l)	02/23/18	J		
279. - Wisdomtree Emerging Markets ETF (DGS)	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
280.					Buy (add'l)	02/23/18	J		
281. - Blackstone Group Lp (BX)	B	Dividend	K	T	Sold (part)	01/11/18	J	A	
282.					Buy (add'l)	12/27/18	J		
283. - Ishares Core U.S. Aggregate Bond ETF (AGG)	D	Dividend	N	T	Buy (add'l)	01/11/18	K		
284. - Pimco 0-5 High Yield Corp. Bond Index ETF (HYS)	B	Dividend	K	T	Buy (add'l)	01/11/18	J		
285. - Powershares Senior Loan (BKLN)	B	Dividend	K	T	Buy (add'l)	01/11/18	J		
286. - Vanguard Charlotte FDS Total Intl Bond Index Fund ETF (BNDX)	B	Dividend	K	T	Buy (add'l)	01/11/18	J		
287. - Visa Inc. (V)	A	Dividend	K	T	Sold (part)	01/11/18	J	B	
288.					Buy (add'l)	12/27/18	J		
289. - Monsanto Co. (MON)	A	Dividend			Sold (part)	01/11/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Sold	05/08/18	J	A	
291. - Daimler AG Spon ADR (DDA1Y)*	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
292.					Buy (add'l)	05/09/18	J		
293.					Buy (add'l)	12/27/18	J		
294. - Glaxo Smithkline PLC ADR (GSK)	A	Dividend	J	T	Buy (add'l)	01/11/18	J		
295.					Buy (add'l)	12/27/18	J		
296. - Johnson Ctls Intl PLC (JCI)	A	Dividend	J	T	Buy (add'l)	01/11/18	J		
297.					Buy (add'l)	12/27/18	J		
298. - Roche Hldg Ltd Spons ADR Switz (RHHBY)	A	Dividend	K	T	Buy (add'l)	01/11/18	J		
299.				T	Buy (add'l)	12/27/18	J		
300. - Starbucks Corp (SBUX)	A	Dividend	K	T	Buy (add'l)	01/11/18	J		
301.					Buy (add'l)	12/27/18	J		
302. - VF Corp (VFC)	A	Dividend			Sold (part)	01/11/18	J	A	
303.					Sold	05/08/18	J	A	
304. - Ishares Short-Term Corporate Bond ETF (ISGB)*	C	Dividend	M	T	Buy (add'l)	01/11/18	J		
305. - Anheuser Busch Inbev Spon ADR (BUD)	A	Dividend	J	T	Buy	05/11/18	J		
306.					Buy (add'l)	12/27/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. - Blackrock Inc. (BLK)	A	Dividend	K	T	Buy	05/09/18	K		
308.					Buy (add'l)	05/11/18	J		
309.					Buy (add'l)	12/27/18	J		
310. - Eastman Chemical Co. (EMN)	A	Dividend	J	T	Buy	05/09/18	K		
311.					Buy (add'l)	12/27/18	J		
312. - Ingersoll-Rand PLC (IR)	A	Dividend	J	T	Buy	05/09/18	J		
313.					Buy (add'l)	12/27/18	J		
314. - Royal Dutch Shell PLC CL A Spon ADR (RDSA)	A	Dividend	J	T	Buy	01/11/18	J		
315.					Buy (add'l)	12/27/18	J		
316. - Tencent (TCEHY)	A	Dividend	J	T	Buy	05/09/18	J		
317.					Buy (add'l)	12/27/18	J		
318. - Telefonica S A Spon ADR (TEF)	A	Dividend	J	T	Buy	05/09/18	J		
319.					Buy (add'l)	12/27/18	J		
320. Extendquip LLC		None	K	U					
321. State of Wisconsin Life Insurance	A	Dividend	J	T					
322. Wisconsin Retirement System Dept of Employee Trust Funds	A	Interest	J	T					
323. IRA #3 (UBS): (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. - Cash (UBS)		None	J	T					
325. - Barclays Bank PLC Trigger PS DXJ		None			Redeemed	08/31/18	K	B	
326. - UBS AG Trigger PS EM EQTY BSKT		None			Redeemed	08/31/18	K	A	
327. - Goldman Sachs Group, Inc. Airbag PS UKX		None			Redeemed	10/31/18	J	A	
328. - Credit Suisse AG Airbag PS SPTSX60		None	J	T					
329. - JP Morgan Chase Financial T-ISS SPX		None	K	T					
330. - GS Finance Corp T-SS SPX		None	K	T					
331. - Barclays Bank T-Gears SPX		None	K	T	Buy	03/26/18	K		
332. - Barclays PLC T-Gears VWO		None	J	T	Buy	03/26/18	J		
333. - GS Finance T-Gears SX5E		None	K	T	Buy	03/27/18	K		
334. - HSBC USA CT-Gears SPX		None	K	T	Buy	03/26/18	K		
335. - Royal Bank of Canada CT-Gears SX5E		None	K	T	Buy	03/27/18	K		
336. IRA #4 (UBS): (H)									
337. - Cash (UBS)	A	Interest	J	T					
338. - Barclays Bank PLC Trigger PS DXJ		None			Redeemed	08/31/18	K	A	
339. - UBS AG Trigger PS EM EQTY BSKT		None			Redeemed	08/31/18	K	A	
340. - Goldman Sachs Group, Inc. Airbag PS UKX		None			Redeemed	10/31/18	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. - Credit Suisse AG Airbag PS SPTSX60		None	K	T					
342. - JP Morgan Chase Financial T-ISS SPX		None	K	T					
343. - GS Finance Corp T-SS SPX		None	K	T					
344. - Barclays Bank T-Gears SPX		None	K	T	Buy	03/26/18	K		
345. - Barclays Bank T-Gears VWO		None	K	T	Buy	03/26/18	K		
346. - GS Finance Corp T-Gears SX5E		None	K	T	Buy	03/28/18	K		
347. - HSBC USA CT-Gears SPX		None	K	T	Buy	03/26/18	K		
348. - Royal Bank of Canada CT-Gears SX5E		None	K	T	Buy	03/27/18	K		
349. 401k ACCOUNT (ING): (H)									
350. Lincoln Financial Group Annuity 401k*	A	Int./Div.			Closed	05/18/18	N		
351. IRA #5 (UBS): (H)									
352. - Cash (UBS)		None	L	T					
353. - ACAP Strategic Fund	C	Int./Div.	M	T	Buy (add'l)	06/26/18	K		
354. - Skybridge Multi-Advisor	C	Int./Div.	M	T	Buy (add'l)	07/03/18	L		
355. - Blackstone Real Estate	C	Dividend	M	T	Buy	06/26/18	M		
356. IRA #6 (UBS): (H)									
357. - Cash (UBS)		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. - ACAP Strategic Fund	C	Int./Div.	L	T					
359. - Skybridge Multi-Advisor	C	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part V. Gifts. During 2018, friends sent me gifts of art, jewelry, and memorabilia. I have no reason to believe that any of these items exceeded the \$375 limit. If I should learn otherwise, I will amend this form.

Part VII. Investments and Trusts.

Line 192 - formerly Ishares 1-3 Year Credit Cond ETF (CSJ)

Line 291 - formerly Daimler AG Un-sponsored ADR (DMLRY)

Line 304 - formerly Ishares 1-3 Yr Credit Bond ETF (CSJ)

Line 350 - This annuity was closed and the funds were transferred to IRA #5.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Catherine J. Furay**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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