

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) GLASSER, ISRAEL L.	2. Court or Organization UNITED STATES DISTRICT COURT	3. Date of Report 05/14/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR U.S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address UNITED STATES COURTHOUSE 225 CADMAN PLAZA EAST BROOKLYN, NEW YORK 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Advisory Council	Hebrew Free Loan Society
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

GLASSER, ISRAEL L.

Date of Report

05/14/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	NYS Employee's Retirement System	\$8357.16
2. 2018	TIAA Retirement Annuity	\$36000.
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	TIAA Retirement Annuity
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

GLASSER, ISRAEL L.

Date of Report

05/14/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. American Tel. & Tel.	A	Dividend	M	T					
2. Alcatel Lucent	A	Dividend	J	T					
3. P.P. & L.	A	Dividend	J	T					
4. Chevron Texaco Corp.	C	Dividend	L	T					
5. Exxon Mobil	D	Dividend	N	T					
6. Conoco Phillips	A	Dividend	K	T					
7. Norfolk Southern	B	Dividend	K	T					
8. Pepsico, Inc.	B	Dividend	M	T					
9. Washington Gas & Light	B	Dividend			Sold	07/11/18	M	G	
10. Nuveen Tax Ex. U. Tr. Ser. 202	B	Interest	K	T					
11. Ferris Baker Res. Fd	D	Interest	M	T					
12. HSBC	A	Interest	L	T					
13. TIAA/CREF Managed Allocation Fund	A	Dividend	M	T					
14. State of Israel	A	Interest	J	T					
15. CMA NY Mun	A	Interest	J	T					
16. Quest	A	Dividend	J	T					
17. Axa	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

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☐ NONE (No reportable income, assets, or transactions.)

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18. Merrill Lynch Bkg Adv	A	Dividend	K	T					
19. AVAYA	A	Dividend	J	T					
20. IBM	A	Dividend	J	T					
21. Vodafone	A	Dividend	J	T					
22. Agere	A	Dividend	J	T					
23. Comcast	A	Dividend	J	T					
24. GE Capital Corp. SRNOTE 5.815	A	Interest	L	T					
25. American Capital	A	Dividend	J	T					
26. Franklin Mutual Global (formerly Mutual Discovery)	A	Dividend	J	T					
27. Verizon	A	Dividend	K	T					
28. Southern Co.	A	Dividend	K	T					
29. Kraft Food 6.125%	A	Interest			Matured	02/01/18	K	A	
30. Burlington Northern 4.7%	A	Interest	L	T					
31. Goldman Sachs 5.375%	A	Interest	K	T					
32. Proctor & Gamble	A	Dividend	K	T					
33. Abbott Labs	A	Dividend	K	T					
34. Suffolk Co. NY Pub. Impt.	A	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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35. NYS Twy. Auth. St. Pers.	A	Interest			Matured	09/17/18	K	A	
36. First Eagle Global Fund	A	Dividend	K	T					
37. First Eagle Fund of America	A	Dividend	J	T					
38. Blackrock Equity	A	Dividend	K	T					
39. Centerpoint Energy	A	Dividend	J	T					
40. Berkshire Hathaway B	A	Dividend	K	T					
41. NYS Dorm Auth	A	Dividend	K	T					
42. NYS Ser C RF	A	Dividend	K	T					
43. First Eagle Fund of America	A	Dividend	J	T					
44. Black Rock Equity Dividend	A	Dividend	J	T					
45. Black Rock Global	A	Dividend	J	T					
46. Bank of China NY	A	Dividend	J	T					
47. TriBoro B & T Auth.	A	Dividend	K	T					
48. Exelon	A	Dividend	K	T					
49. Johnson & Johnson	A	Dividend	K	T					
50. Metro. Trans. Auth. NY Rev Trans.	A	Dividend	K	T					
51. Metro. Trans. AT NY Ded Tax Fd	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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52. Phillips 66	A	Dividend	J	T					
53. CD Bank of India NY New York	A	Dividend	J	T					
54. New. York NY Ser 1	A	Dividend	J	T					
55. Intel	A	Dividend	L	T					
56. NYS Dorm Auth. Sales Tax Rev.	A	Dividend	J	T					
57. Pfizer	A	Dividend	K	T					
58. CD Bank of Baroda	A	Dividend	J	T					
59. Emerson Electric	A	Dividend			Sold	08/29/18	L	E	
60. Walmart	A	Dividend	L	T					
61. Walmart	A	Dividend	K	T					
62. NYS Series A OID Bond	A	Dividend	K	T					
63. Brookhaven NY LT Series A Bond	A	Dividend	J	T					
64. NYS Form ATRV Bond	A	Dividend	J	T					
65. Municipal Bond - NYC TFA Revenue Bond	A	Dividend	J	T					
66. JP Morgan Growth Adv. Fund	A	Dividend			Sold	09/26/18	K	D	
67. CD Merrick Bank South Jordan, UT	A	Dividend	J	T					
68. Wells Fargo (WFC)	A	Dividend			Sold	02/05/18	L	D	

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

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69. Welltower (WELL)	A	Dividend	K	T					
70. United Parcel Service (UPS)	A	Dividend	K	T					
71. General Mills (GIS)	A	Dividend	K	T					
72. Merck (MRK)	A	Dividend	K	T					
73. Coca Cola (KO)	A	Dividend	K	T	Buy	02/26/18	K		
74. Walt Disney (DIS)	A	Dividend	K	T	Buy	03/23/18	K		
75. Kimberly Clark (KMB)	A	Dividend	K	T	Buy	04/17/18	K		
76. 3M Company (MMM)	A	Dividend	L	T	Buy	10/25/18	L		
77. Texas Instruments (TXN)	A	Dividend	L	T	Buy	12/07/18	L		
78. Apple (AAPK)	A	Dividend	L	T	Buy	12/26/18	L		
79. AB Large Cap Growth Fund (APGYX) (See Part VIII)	A	Dividend			Sold	09/26/18	K	D	
80. Columbia Contrarian Core Fund (SMGIX) (See Part VIII)	B	Dividend			Sold	09/26/18	L	D	

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting	Date of Report
GLASSER, ISRAEL L.	05/14/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

AB Large Cap Growth Fund (APGYX) and Columbia Contrarian Core Fund (SMGIX) were purchased by Merrill Lynch on March 30, 2016. The Merrill Lynch account was transferred to Royal Bank of Canada, which accounts for their initial appearance on this Report.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

GLASSER, ISRAEL L.

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05/14/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ ISRAEL L. GLASSER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544