

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gorence, Patricia J.	2. Court or Organization Eastern District of Wisconsin	3. Date of Report 12/05/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge-Full, Part-time as of July 1, 2013	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. Courthouse, Room 264 517 E. Wisconsin Avenue Milwaukee, Wisconsin 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Board Member	Project Return
2.	Board Member	Slovenian Arts Council-University of Wisconsin-Milwaukee
3.	Outreach Committee, Chair	SS. Peter and Paul Parish - Milwaukee
4.	Board Member	Wisconsin Equal Justice Taskforce
5.	Member	Wisconsin Law Foundation

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Tradition IRA- #1 Morgan Stanley									
2. --Morgan Stanley Bank Money Market Fund	A	Interest	J	T	Open	01/01/18	J		
3. --First Trmpl & Enrg Inc Fnd	A	Dividend	J	T					
4. --First Trst Dyn Devel. Int Etf (FFDJ)	A	Dividend	J	T					
5. --Blackrock Multi-Asset Inc. Inst	A	Dividend	J	T					
6. --Blackrock Strategic Inc OPPI	A	Dividend	J	T					
7. --Guggenheim Floating RT Spat l	A	Dividend	J	T					
8. --Guggenheim Limited Duration l	A	Dividend	J	T					
9. Guggenheim Macro Opport l	A	Dividend	J	T					
10. Invesco Equal WGHTD S&P 500 Y	A	Dividend	J	T					
11. JP Morgan - ultra short inc.	A	Dividend	J	T					
12. --Loomis Sayles Strategic, Inc	A	Dividend	J	T					
13. --Metropolitan West Tot Ret Bd l	A	Dividend	J	T					
14. Principal Midcap Instl	A	Dividend	J	T					
15. Goldman Sachs	A	Dividend	J	T					
16. Putnam Short Duration Income	A	Dividend	J	T					
17. Virtus Vontobel Emrg Mkt Opp l	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Wells Fargo Spec Sm Cp Val 1	A	Dividend	J	T					
19. IQ Hedge Multi-strat Tracker	A	Dividend	J	T					
20. Columbia Emerging Markets Cons	A	Dividend	J	T					
21. Morgan Stanley - #2 Assets Acct.									
22. --Morgan Stanley Bank	A	Interest	J	T					
23. --Stocks BK Montreal	A	Dividend	J	T					
24. --Stocks Fidelity Natl Information	A	Dividend	K	T					
25. --Mutual Funds American Global Balanced A	A	Dividend	J	T					
26. --Ishares Care Msci emerging	A	Dividend	J	T					
27. --Ishares Inc. Msci Japan ETF	A	Dividend	J	T					
28. --Ishares Msci Emu ETF	A	Dividend	J	T					
29. --Ishares Russell 2000 Grwth ETF	A	Dividend	J	T					
30. --Ishares Russell 2000 value ETF	A	Dividend	J	T					
31. --Ishares Russell Midcap G ETF	A	Dividend	J	T					
32. --Ishares Russell Midcap V ETF	A	Dividend	J	T					
33. --Ishares S&P 500 Grwth ETF	A	Dividend	J	T					
34. --Ishares S&P 500 Val ETF	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. --Ishures Tips Bond ETF	A	Dividend	J	T					
36. PIMCO Enhanced SHRT MTRT EXC	A	Dividend	J	T					
37. --Vanguard FTSE Europe ETF	A	Dividend	J	T					
38. --Vanguard Short Term BND	A	Dividend	J	T					
39. --Vanguard Short Term Corp	A	Dividend	J	T					
40. --Vanguard Total Bond Market	A	Dividend	J	T					
41. --Wisdom Tree MGD Futures Strat	A	Dividend	J	T					
42. Morgan Stanley - Traditional IRA #3 Assets Acct.									
43. --Morgan Stanley Bank	A	Interest	J	T					
44. --Mutual Funds Baron Real Estate Inst	A	Dividend	J	T					
45. --Alerian MLP ETF	A	Dividend	J	T					
46. --Black Rock Multi- Asset Inc. Inst strategic Inc Oppi	A	Dividend	J	T					
47. --Black Rock Strategic Inc Oppi	A	Dividend	J	T					
48. --First Eagle Global I	A	Dividend	J	T					
49. --Guggenheim Floating RTS Stratt	A	Dividend	J	T					
50. --Guggenheim Limited Duration I	A	Dividend	J	T					
51. --Guggenheim Macro Opport I	A	Dividend	J	T					

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52. --Invesco Equal Wghtd S&P 500 Y	A	Dividend	J	T					
53. --JPMorgan US Lg Op Core Pls 1	A	Dividend	J	T					
54. --Loomis Sayles Strategic Inc Y	A	Dividend	J	T					
55. --Metropolitan West Tot Ret Bd 1	A	Dividend	J	T					
56. --Oppenheimer Int'l Growth Y	A	Dividend	J	T					
57. --Oppenheimer Int'l Small-Mid Co Y	A	Dividend	J	T					
58. --Principal Md Cap Instl	A	Dividend	J	T					
59. --Putnam Short Duration Income	A	Dividend	J	T					
60. --Virtus Vonto Bel Emrg Mkt Oppi	A	Dividend	J	T					
61. --Wells Fargo Spec Sm Op Val 1	A	Dividend	J	T					
62. Tradition IRA #4 Morgan Stanley									
63. --Morgan Stanley Bank Money Mkt Fund	A	Interest			Open	1/31/18	K		
64. --First Trmlp & Enrg Inc Fnd	A	Dividend	J	T					
65. --Black Rock Multi-Asset Inc. Inst	A	Dividend	J	T					
66. --Black Rock Strategic Inc. OPPI	A	Dividend	J	T					
67. --First Eagle Global	A	Dividend	J	T					
68. --Guggenheim Floating Rt Stpat 1	A	Dividend	J	T					

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69. --Guggenheim Limited Duration 1	A	Dividend	J	T					
70. --Goldman Sachs	A	Dividend	J	T					
71. Annuity American Legacy Signature 1 / Lincoln Asset Allocation Fund	A	Dividend	M	T					
72. Townhouse, City Mijas, Province Malaga, Spain	A	Rent			Sold	03/16/18	N	G	Bach/Gorence Danishcouple

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Patricia J. Gorence

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544