

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DOMINGUEZ, DANIEL R.	2. Court or Organization US DISTRICT COURT PUERTO RICO	3. Date of Report 05/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US DISTRICT JUDGE (Senior with 66% caseload)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2018 to 12/31/2018
7. Chambers or Office Address JOSE V. TOLEDO US COURTHOUSE SUITE 348 300 RECINTO SUR ST. SAN JUAN, PR 00901		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. UBS Resource Management Account-(cash account)	E	Int./Div.	J	T					
2. UBS Portfolio (H)	E	Int./Div.	O	T					
3. -PR GNMA POOL 0397364X UNIT 099	B	Interest			Sold	06/15/18	K	A	
4. -PR GNMA POOL 0397364X UNIT 100	A	Interest	K	T					
5. -PR GNMA POOL 0437567X UNIT 094	A	Interest	K	T					
6. -PR GNMA POOL 0437567X UNIT 095	A	Interest	K	T					
7. -PR GNMA POOL 0402635X UNIT 100	A	Interest	K	T					
8. -PR GNMA POOL 0437567X UNIT 096	A	Interest	K	T					
9. -PR GNMA POOL 0437567X UNIT 097	A	Interest	K	T					
10. -PR GNMA POOL 0437567X UNIT 098	A	Interest	K	T					
11. -PR GNMA POOL 0437567X UNIT 099	A	Interest	K	T					
12. -PR GNMA PL483972X UNIT 81	B	Interest			Sold	08/15/18	K	A	
13. -PRGNMA PL607391X UNIT 96	A	Interest	K	T					
14. -Puerto Rico AAA Portfolio Bond Fund (CUSIP No. 74514P108)	A	Dividend	J	T					
15. -Puerto Rico Investors Bond Fund	A	Dividend	J	T					
16. -Puerto Rico Sales Tax Fing Corp S (CUSIP No. 74529JAC9)	D	Interest			Sold	07/18/18	N	A	
17. Victory Income Fund Income Class A symbol: IPFIX	A	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18. Gloucester Co NJ Impt AU, CUSIP 37970PGLO	A	Interest	K	T					
19. Delaware St Econ Dev Aut Assur RV, CUSIP 246387SR6	A	Interest	L	T	Buy	12/18/18	L		
20. Donna TX CTFS Oblig LT, CUSIP 257831NH6	A	Interest	L	T	Buy	11/30/18	L		
21. Doubleline Income Solutions FD, DSL, Symbol:DSL	A	Interest	J	T	Buy	11/30/18	J		
22. Eaton Vance Tax Advantaged Global Divid Income Fund, Symbol:ETG	A	Interest	J	T	Buy	11/30/18	J		
23. Nuveen Tax Adv Total Return Strategy Fund, Symbol: JTA	A	Interest	J	T	Buy	11/30/18	J		
24. PACE Global Money Market Investments Fund Class P, Symbol:PCEXX	A	Interest	J	T	Buy		J		
25. PACE Global Fixed Income Investments Fund Class P, Symbol:PCGLX	A	Interest	J	T	Buy	11/30/18	J		
26. PACE High Yield Investments Class P, Symbol:PHYPX	A	Interest	J	T	Buy	11/30/18	J		
27. PACE Intermediate Fixed Income Investments Class P, Symbol:PCIFX	A	Interest	J	T	Buy	11/30/18	J		
28. PACE Mortgaged Backed Sec Fixed Income Invest Fund Class P, PCGTX	A	Interest	J	T	Buy	11/30/18	J		
29. PACE Municipal Fixed Income Investments Class P, Symbol:PCMNX	A	Interest	J	T	Buy	11/30/18	K		
30. PACE Strategic Fixed Income Investments Class P, Symbol: PM Ymbol:PCMNX	A	Interest	J	T	Buy	11/30/18	J		
31. CBRE Clarion Global Real Estate, Symbol: IGR	A	Interest	J	T	Buy	11/30/18	J		
32. ENERGY Transfer LP Units Ltd Partnership MLP, Symbol: ET	A	Interest	J	T	Buy	11/30/18	J		
33. Merrill Lynch Cash Money Account (X)	A	Interest	K	T					
34. Merrill Lynch Portfolio (H)(X)	D	Interest	N	T					

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35. -GNMP 39659506 #100 (X)	B	Interest	K	T					
36. -GNMP 483972 #82 (X), CUSIP 36243EJU5	B	Interest			Sold	08/15/18	K	A	
37. -GNMP 607391 #97 (X) CUSIP 36239SUH6 36239SUG8	B	Interest	K	T					
38. -GBMP 607391 #98 (X) CUSIP 36239SUG8	B	Interest	K	T					
39. -GNMP 607391 #99 (X) CUSIP 36239SUF0	B	Interest	K	T					
40. US TREASURY BILL: 01/31/2019 CUSIP 912796PP8	A	Interest	M	T	Buy	07/30/18	M		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

UBS: New investments during the year 2018: See lines 19-32. The new investments (lines 19-32) were purchased from the sales proceeds of GNMA 0397364X, Unit 099 (line 3); GNMA PL483972X Unit 81 (line 12); and, Puerto Rico Sales Tax Fing Corp S, CUSIP No. 74529JAC9 (line 16).

MERRILL LYNCH: New investment during the year 2018: See line 40.

All the 2018 new assets investments were made from the sales proceeds of UBS' assets, see line 3; line 12; line 16; Merrill Lynch's asset, see line 36; and, savings from my Thrift Savings Plan (TSP) benefits.

Please note that last year I used my TSP's savings for investment purposes, as I did this year, and I plan to do the same next year until my TSP account is closed.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ DANIEL R. DOMINGUEZ**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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