

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>HART, JACOB P.                                                                                                                       | <b>2. Court or Organization</b><br><br>EASTERN DISTRICT OF PA.                                                                                                                                                                                                                           | <b>3. Date of Report</b><br><br>04/25/2019                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>Full Time recalled U.S. Magistrate Judge                                      | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2018<br>to<br>12/31/2018 |
| <b>7. Chambers or Office Address</b><br><br>Room 3006 U.S. Courthouse<br>601 Market St.<br>Philadelphia, PA 19106                                                                                         |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |
| 5. |  |  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 10

Name of Person Reporting

HART, JACOB P.

Date of Report

04/25/2019

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2018

Self Employed Fiction Writer. Book Publisher. Sole owner of Springstreet Books.

2.

3.

4.

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 10

Name of Person Reporting

HART, JACOB P.

Date of Report

04/25/2019

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

# FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

HART, JACOB P.

Date of Report

04/25/2019

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. DAVIS OF NEW YORK MUTUAL FUND                                                                                            | D                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. BLACKROCK WORLD INVESTMENT TRUST                                                                                         | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/17/18                | J                               |                                |                                                                   |
| 3. CITIBANK BANK DEPOSIT PROGRAM (now MORGAN STANLEY BANK DEPOSIT PROGRA                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. EATON VANCE DIV. EQUITY INCOME FUND                                                                                      | B                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/17/18                | J                               |                                |                                                                   |
| 5. AB GLOBAL GOVERNMENT INCOME                                                                                              | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. LOOMIS SAYLES STRATEGIC INCOME                                                                                           | B                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. DELAWARE TAX FREE PENNSYLVANIA FUND                                                                                      | B                                       | Dividend                                      | M                                               | T                                         | Buy (add'l)                                     | 07/05/18                | K                               |                                |                                                                   |
| 8. BLACKROCK CREDIT ALL INC TR IV                                                                                           | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/17/18                | K                               |                                |                                                                   |
| 9. ALPINE ULT SHT TX OPT INC INV                                                                                            | D                                       | Dividend                                      | O                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. MAINSTAY SHT TRM HI YLD                                                                                                 | B                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. NEUBERGER BERMAN STR INC INST                                                                                           | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. NORTHSTAR REALTY FNC (NOW COLONY CAP INC                                                                                |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. BLACKROCK LARGE CAP VALUE                                                                                               | E                                       | Dividend                                      | L                                               | T                                         | Buy (add'l)                                     | 12/19/18                | K                               |                                |                                                                   |
| 14. BLACKROCK LARGE CAP GROWTH                                                                                              | B                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/18/18                | M                               |                                |                                                                   |
| 15. JP MORGAN GROWTH ADVANTAGE                                                                                              | D                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. GOLDMAN SACHS STRATEGIC                                                                                                 | A                                       | Dividend                                      | M                                               | T                                         | Buy                                             | 12/18/18                | M                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

HART, JACOB P.

Date of Report

04/25/2019

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code I<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                               |   |          |   |   |                |          |   |   |  |
|-----------------------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 17. CITIGROUP IRA IRA (H) (assests below)     |   |          |   |   |                |          |   |   |  |
| 18. --MS LIQUID ASSET FUND                    | A | Dividend | J | T |                |          |   |   |  |
| 19. CAMBIAR INTL EQUITY INV(CAMIX)            |   | None     |   |   | Sold           | 06/19/18 | L | A |  |
| 20. MET WEST TOT RET BD (MWTIX)               | C | Dividend | L | T | Sold<br>(part) | 10/02/18 | L | A |  |
| 21. BLACKROCK LOW DUR BD (BFMSX)              | C | Dividend | M | T | Sold<br>(part) | 12/17/18 | K | A |  |
| 22. T ROWE PRICE SM CAP (PRDSX)               |   | None     |   |   | Sold           | 01/17/18 | K | A |  |
| 23. LOOMIS GROWTH Y (LSGRX)                   | A | Dividend | J | T |                |          |   |   |  |
| 24. EDGEWOOD GROWTH INSTL (EGFIX)             |   | None     |   |   | Sold           | 01/17/18 | J | A |  |
| 25. JP MORGAN VALUE ADVANTAGE<br>(JVASX)      | B | Dividend | L | T | Buy<br>(add'l) | 07/31/18 | K |   |  |
| 26. E V INCOME FUND OF BOSTON<br>(EIBIX)      |   | None     |   |   | Sold           | 01/12/18 | L | C |  |
| 27. HARDING LOEVNER INTL EQTY INST<br>(HLMIX) | B | Dividend | M | T | Buy<br>(add'l) | 01/17/18 | K |   |  |
| 28. OAKMARK INTERNATIONAL (OAKIX)             | C | Dividend | M | T | Buy<br>(add'l) | 01/17/18 | K |   |  |
| 29. PIMCO SHORT TERM P (PTSPX)                | A | Dividend | M | T | Buy<br>(add'l) | 01/17/18 | J |   |  |
| 30. HARTFORD MIDCAP (HFMIX)                   | A | Dividend | K | T |                |          |   |   |  |
| 31. WELLS FARGO SPECIAL (WFMIX)               | A | Dividend | K | T |                |          |   |   |  |
| 32. CAUSEWAY EMERGING MARKETS<br>(CEMIX)      | B | Dividend | L | T | Buy<br>(add'l) | 07/31/18 | J |   |  |
| 33. WISDOMTREE TRUST JAPN<br>HEDGE(DXJ)       |   | None     |   |   | Sold           | 01/17/18 | K | A |  |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

# FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

HART, JACOB P.

Date of Report

04/25/2019

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                            |   |          |   |   |             |          |   |   |  |
|--------------------------------------------|---|----------|---|---|-------------|----------|---|---|--|
| 34. NEUBERGER INTRINSIC VALUE INST (NINLX) |   | None     |   |   | Sold        | 01/17/18 | K | A |  |
| 35. ISHARES RUSSELL 1000 GRW ETF (IWF)     | A | Dividend | L | T |             |          |   |   |  |
| 36. ISHARES RUSSELL 1000 VALUE ETF (IWD)   | A | Dividend | K | T |             |          |   |   |  |
| 37. ISHARES TIPS BOND ETF(TIP)             | A | Dividend | L | T | Buy (add'l) | 01/17/18 | J |   |  |
| 38. ISHARES RUSSELL 2000 (VALUE)           | A | Dividend | J | T | Buy         | 06/19/18 | K |   |  |
| 39. ISHARES RUSSELL 2000 (GROWTH)          | A | Dividend | J | T | Buy         | 06/19/18 | K |   |  |
| 40. DELAWARE VALUE INSTL                   | A | Dividend | K | T | Buy         | 06/19/18 | K |   |  |
| 41. WESTERN ASSET CORE                     | A | Dividend | L | T | Buy         | 10/02/18 | L |   |  |
| 42. JOHN HANCOCK INT. GROWTH               | A | Dividend | L | T | Buy         | 06/19/18 | L |   |  |
| 43. ISHARES INC. JAPAN                     | A | Dividend | K | T | Buy         | 01/17/18 | K |   |  |
| 44. CITIZENS BANK MONEY MARKET ACCOUNTS    | A | Interest | L | T |             |          |   |   |  |
| 45. CITIGROUP SEP IRA assets listed below  |   |          |   |   |             |          |   |   |  |
| 46. --MS LIQUID ASSET FUND                 | A | Dividend | J | T |             |          |   |   |  |
| 47. METROPOLITAN WEST TOT RET BD (MWTIX)   | A | Dividend | J | T | Sold (part) | 10/02/18 | J | A |  |
| 48. BLACKROCK LOW DUR BD (BFMSX)           | A | Dividend | K | T | Buy (add'l) | 01/17/18 | J |   |  |
| 49. T ROWE PRICE QM US SM CP EQ (PRDSX)    |   | None     |   |   | Sold        | 06/19/18 | J | A |  |
| 50. CAMBIAR INTL EQUITY INV (CAMIX)        |   | None     |   |   | Sold        | 06/19/18 | J | A |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

HART, JACOB P.

Date of Report

04/25/2019

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "X" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|---------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                           | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 51. LOOMIS GROWTH Y (LSGRX)                                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 52. J P MORGAN VALUE ADVANTAGE (JVASX)                                                                                    | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 53. EV INCOME FUND OF BOSTON                                                                                              | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 01/17/18                | J                               | A                              |                                                                   |
| 54. HARDING LOEVNER INTL EQTY INST (HLMIX)                                                                                | A                                       | Dividend                                      | J                                               | T                                         | Buy (add'l)                                     | 01/17/18                | J                               |                                |                                                                   |
| 55. OAKMARK INTERNATIONAL (OAKIX)                                                                                         | A                                       | Dividend                                      | K                                               | T                                         | Buy (add'l)                                     | 12/19/18                | J                               |                                |                                                                   |
| 56. PIMCO SHORT TERM P (PTSPX)                                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 57. HARTFORD MIDCAP I (HFMIX)                                                                                             | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 58. WELLS FARGO SPECIAL MDCP VL I (WFMIX)                                                                                 | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 59. CAUSEWAY EMERGING MKTS INST (CEMIX)                                                                                   | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 60. EDGEWOOD GROWTH INSTL (EGFIX)                                                                                         |                                         | None                                          |                                                 |                                           | Sold                                            | 01/17/18                | J                               | A                              |                                                                   |
| 61. NEUBERGER INTRINSIC VALUE INST (NINLX)                                                                                |                                         | None                                          |                                                 |                                           | Sold                                            | 01/17/18                | J                               | A                              |                                                                   |
| 62. WISDOMTREE TRUST JAPN HEDGE EQ (DXJ)                                                                                  |                                         | None                                          |                                                 |                                           | Sold                                            | 01/17/18                | J                               | A                              |                                                                   |
| 63. ISHARES RUSSELL 1000 GRW ETF (IWF)                                                                                    | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 64. ISHARES RUSSELL 1000 VALUE ETF (IWD)                                                                                  | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 65. ISHARES TIPS BOND ETF (TIP)                                                                                           | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 66. ISHARES INC. JAPAN                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 01/17/18                | J                               |                                |                                                                   |
| 67. ISHARES RUSSELL 2000 GROWTH                                                                                           | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 06/19/18                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

HART, JACOB P.

Date of Report

04/25/2019

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 68. ISHARES RUSSELL 2000 VALUE                                                                                              | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 06/19/18                | J                               |                                |                                                                   |
| 69. DELAWARE VALUE INSTL                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 06/19/18                | J                               |                                |                                                                   |
| 70. WESTERN ASSET CORE                                                                                                      | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 10/02/18                | J                               |                                |                                                                   |
| 71. JOHN HANCOCK INTL. GROWTH                                                                                               | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 06/19/18                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

**FINANCIAL DISCLOSURE REPORT**

Page 9 of 10

Name of Person Reporting

HART, JACOB P.

Date of Report

04/25/2019

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

**FINANCIAL DISCLOSURE REPORT**

Page 10 of 10

Name of Person Reporting

HART, JACOB P.

Date of Report

04/25/2019

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **JACOB P. HART**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544