

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FRENSLEY, JEFFERY S.	2. Court or Organization U. S. DISTRICT COURT, TENNESSEE	3. Date of Report 08/13/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) MAGISTRATE JUDGE - FULL TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U. S. COURTHOUSE 801 BROADWAY NASHVILLE, TENNESSEE 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. EXECUTOR	ESTATE #1 (No Reportable Assets)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	LINDEN WALDORF SCHOOL ADMINISTRATOR
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	TEMPLE UNIVERSITY	TUITION	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SUNTRUST BANK	A	Int./Div.	K	T					
2. CRITERION CAPITAL ADVISORS									
3. ANWPX AMER FDS NEW PERSPECT A	B	Dividend			Sold	07/25/18	J	B	
4. AWSHX AMER FDS WASH MUTUAL A	B	Dividend			Sold	07/25/18	J	A	
5. AMCFX AMCAP FUND INC.	B	Dividend			Sold	7/25/18	J	A	
6. BSMX BRANDES INVT TR INTERNATIONAL	A	Dividend			Sold	11/29/18	J	A	
7. SGOIX FIRST EAGLE FUNDS OVERSEAS	A	Dividend	K	T					
8. ANWFX AMERICAN FUNDS NEW PERSPECTIVE	A	Dividend	K	T					
9. NFFFX AMERICAN FUNDS NEW WORLD FUND	A	Dividend	K	T					
10. WMFFX AMERICAN FUNDS WASHINGTON MUTUAL	B	Dividend	K	T					
11. WEIMX TETON WESTWOOD EQUITY	A	Dividend	K	T					
12. FDRXX Fidelity Gov. Reserves	A	Dividend	J	T	Buy	06/12/18	J		
13. PGWFX American Funds GLBL Growth PORT CL	B	Dividend	K	T	Buy	07/25/18	K		
14. Ishares TR EAFE SML Cap Equity I	A	Dividend	J	T	Buy	11/30/18	J		
15. ROTH IRA									
16. VAFAX INV AMER FRANCH CL A	A	Dividend	J	T					
17. UTMA									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. RAYMOND JAMES BANK DEPOSIT PROGRAM	B	Interest	L	T					
19. AMCFX AMCAP FUND CLASS F2 AMERICAN FUNDS N/L	C	Dividend			Redeemed	03/12/18	K	A	
20. AQMNX AQR MAANGED FTURES STRATEGY FUND CLASS N N/L	A	Dividend			Redeemed	03/12/18	J	A	
21. RRGAX DEUTSCHE GLOBAL REAL ESTATE SECURITIES FD CLASS A M/F	A	Dividend			Redeemed	03/12/18	J	A	
22. HFOIX HENDERSON INTL OPPOTUNITIES FUND CLASS I N/L	C	Dividend			Redeemed	03/12/18	J	A	
23. LAGVX LORD ABBETT INCOME CLASS FUND A M/F	A	Dividend			Redeemed	03/12/18	J	A	
24. NBGNX NEUBERGER BERMAN GENESIS FUND INVESTORS CLASS N/ L	B	Dividend			Redeemed	03/12/18	K	A	
25. NFFFX NEW WORLD FUND CLASS F2- AMERICAN FUND N/L	B	Dividend			Redeemed	03/12/18	J	A	
26. PRFDX T. ROWE PRICE EQUITY INCOME FUND N/L	C	Dividend			Redeemed	03/12/18	J	A	
27. 529									
28. CFAAX AMERICAN VAN 529 BOND FUND OF AMERICA CLASS M/F	A	Dividend			Redeemed	3/14/18	J	A	
29. CWIAX AMERICAN VA 529 CAPITAL WORLD GROWTH & INC FD CL A M/F	C	Dividend			Redeemed	03/14/18	K	A	
30. CGFAX AMERICAN VA 529 GROWTH FUND OF AMERICA CLASS A M/F	C	Dividend			Redeemed	03/14/18	K	A	
31. CBOAX AMERICAN VA 529 INTERMEDIATE BOND FUND OF AMERICAN CLS A M/F	A	Dividend			Redeemed	03/14/18	J	A	
32. CICAX AMERICAN VA 529 INVESTMENT COMPANY OF AMERICA FD CL A M/F	B	Dividend			Redeemed	03/14/18	K	A	
33. CMFAX AMERICAN VA 529 MORTGAGE FUND CLASS A M/F	A	Dividend			Redeemed	03/14/18	J	A	

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34. CNPAX AMERICAN VA 529 NEW PERSPECTIVE FUND CLASS A M/F	C	Dividend			Redeemed	03/14/18	K	A	
35. CWMAX AMERICAN VA 529 WASHINGTON MUTUAL INVESTORS FD C/ M/F	B	Dividend			Redeemed	03/14/18	J	A	
36. AAFXX American VA 529 U.S. Government Money Market					Buy	03/14/18	M		
37. AAFXX American VA 529 U.S. Government Money Market					Sold	08/14/18	K	A	
38. AAFXX American VA 529 U.S. Government Money Market					Sold	10/26/18	K	A	
39. IRA #1									
40. WELLS FARGO MONEY MARKET ACCOUNT	A	Interest			Redeemed	06/12/18	K	A	
41. FDRXX Fidelity Gov. Reserves	A	Dividend	K	T	Buy	06/12/18	K		
42. ASPZX Alger Spectra CLZ	A	Dividend	J	T	Buy	11/15/18	J		
43. CMNIX Calamos Mkt Neutral Income	A	Dividend	K	T	Buy	11/07/18	K		
44. SGOIX First Eagle Overseas	A	Dividend	J	T	Buy	11/15/18	J		
45. WOBDX JP Morgan Core Bond	A	Dividend	K	T	Buy	11/07/18	K		
46. aTTRZX Templeton Global Total RTADV	A	Dividend	K	T	Buy	11/07/18	K		
47. ROTH IRA #2									
48. FFFEX FIDELITY INSH INVS TR FREEDOM 2030 FD	C	Dividend			Redeemed	06/12/18	K	A	
49. 401 (K)									

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50. BROWN CAPITAL SM COMPANY INC.	A	Dividend			Sold	01/18/18	J	A	
51. DFA INTERNATIONAL VALUE I	A	Dividend			Sold	01/18/18	J	A	
52. NVIT MONEY MARKET	A	Int./Div.			Sold	01/18/18	K	A	
53. 3M COMMON STOCK	A	Dividend			Sold	01/18/18	J	A	
54. IRA #2									
55. VMMXX Vanguard Prime Money Market Fund	A	Int./Div.			Redeemed	06/12/18	J	A	
56. General Investment Account									
57. FDRXX Fidelity Gov. Reserves	A	Dividend	J	T	Buy	06/12/18	J		
58. ASPZX Alger Spectra CLZ	A	Dividend	J	T	Buy	11/15/18	J		
59. AZMIX Allianzgi NFJ Emerg Mkts	A	Dividend	J	T	Buy	11/15/18	J		
60. CMNIX Calamos Mkt Neutral Income	A	Dividend	K	T	Buy	11/28/18	K		
61. OAYIX Oakmark Intl Advisor Fund	A	Dividend	J	T	Buy	11/15/18	J		
62. BPAIX Boston partners ALL CAP	A	Dividend	J	T	Buy	11/15/18	J		
63. TTRZX Templeton Global Total RTADV	A	Dividend	K	T	Buy	11/28/18	K		
64. WOBDX JP Morgan Core Bond	A	Dividend	K	T	Buy	11/28/18	K		
65. SHY IShares Trust 1-3 yr Treasury Bond	A	Dividend	J	T	Buy	12/26/18	K		
66. FDZXX Fidelity MMTT	A	Interest	K	T	Buy	11/14/18	K	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I. 1. Estate #1 is the estate of a family member. There are no reportable assets in the estate.

VII. 2. Name change of the accout.

56. Added general investment accout.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ JEFFERY S. FRENSLEY

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544