

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gan, Scott H.	2. Court or Organization United States Bankruptcy Court-Arizona	3. Date of Report 05/22/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address James A. Walsh Courthouse 38 South Scott Avenue Tucson, AZ 85701-1704		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Gan, Scott H.

Date of Report

05/22/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Gan, Scott H.

Date of Report

05/22/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Gan, Scott H.

Date of Report

05/22/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JPMorgan Chase Bank (cash) (X)	A	Interest	L	T					
2. BBVA Compass Bank (cash) (X)		None	J	T					
3. TRUST #1 (H)									
4. FIDELITY GOVERNMENT CASH RESERVES (CASH)	D	Dividend	N	T					
5. JAMES BALANCED: GOLDEN RAINBOW I (GLRIX)		None			Sold	02/07/18	M	E	
6. THORNBURG GLOBAL OPPT FD INSTL CL (THOIX)	C	Dividend	M	T					
7. THORNBURG INV INCOME BUILDER CL I (TIBIX)	B	Dividend			Sold	04/03/18	M	D	
8. NUVEEN HIGH YIELD MUNICIPAL CLASS I (NHMRX)	B	Dividend			Sold	04/25/18	L	D	
9. AXIS CAPITAL HOLDINGS LTD COM (AXS)	A	Dividend			Sold	01/04/18	J	A	
10. FRESH DEL MONTE PRODUCE INC COM STK (FDP)		None			Sold	01/12/18	J	B	
11. AES CO (AES)		None			Sold	01/04/18	J	C	
12. AT&T INC COM USD1 (T)	B	Dividend	K	T	Buy	02/07/18	K		
13. AETNA INC NEW COM (AET)	A	Dividend			Merged (with line 19)	11/29/18	K		
14. ALPHABET INC CAP STK CL A (GOOGL)		None	K	T					
15. AMAZON.COM INC (AMZN)		None	L	T					
16. AMERICAN INTL GROUP INC COM NEW (AIG)	A	Dividend	J	T					
17. APPLE INC (AAPL)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

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05/22/2019

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18. BERKSHIRE HATHAWAY INC DEL CL B NEW (BRKB)		None	K	T	Buy	04/04/18	K		
19. CVS HEALTH CORP COM (CVS) (X)		None	J	T					
20. C S X CORP (CSX)	A	Dividend			Sold	09/14/18	K	E	
21. CHEVRON CORP NEW COM (CVX)	B	Dividend	L	T	Buy (add'l)	10/31/18	K		
22. CISCO SYS INC COM (CSCO)	B	Dividend	K	T					
23. CONOCOPHILLIPS (COP)	A	Dividend	J	T					
24. EXXON MOBIL CORP (XOM)	B	Dividend	K	T	Buy (add'l)	02/06/18	K		
25. GENERAL MTRS CO COM (GM)	A	Dividend			Sold	04/04/18	J	B	
26. JOHNSON & JOHNSON (JNJ)	A	Dividend	J	T					
27. LILLY ELI & CO COM NPV (LLY)	A	Dividend	J	T					
28. MERCK & CO INC NEW COM (MRK)	A	Dividend	K	T	Buy	02/06/18	K		
29. MICROSOFT CORP (MSFT)	A	Dividend	L	T	Buy	04/04/18	K		
30. NIPPON TELEGRAPH & TELEPHONE ADS (NTT)		None			Sold	02/14/18	J	C	
31. ORACLE CORP COM (ORCL)	A	Dividend	K	T					
32. PANASONIC CORP ADR (PCRFY)		None			Sold	01/04/18	K	D	
33. PFIZER INC (PFE)	B	Dividend	K	T					
34. PHILLIP MORRIS INTL INC COM (PM)	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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35. PROCTER AND GAMBLE CO COM (PG)	B	Dividend	L	T	Buy (add'l)	04/04/18	K		
36.					Buy (add'l)	04/26/18	K		
37. ROYAL DUTCH SHELL PLC SPON ADR B (RDSB)	B	Dividend			Sold	11/14/18	K	D	
38. SANOFI SPONSORED ADR (SNY)		None			Sold	04/04/18	J		
39. TYSON FOODS INC CL A (TSN)		None			Sold	01/12/18	J	C	
40. VERIZON COMMUNICATION (VZ)	A	Dividend	K	T	Buy (add'l)	04/04/18	J		
41. WH GROUP LIMITED SPON ADR (WHGLY)		None			Sold	01/04/18	K	D	
42. CHANDLER ARIZ GO BDS SER 2017 (158843XB3)	B	Interest			Sold	07/02/18	K		
43. CHANDLER ARIZ GO BDS SER 2017 (158843XD9)	B	Interest	L	T					
44. SCOTTSDALE ARIZ MUN PPTY CORP EXCISE TAX (810489RG1)	B	Interest	L	T	Buy	04/26/18	K		
45. GILBERT ARIZ WTR RES MUN PPTY CORP UTIL (37530AAE8)		None	L	T	Buy	08/09/18	L		
46. SCOTTSDALE ARIZ GO BDS PROJECTS OF 2015 (810454AX6)	B	Interest	L	T					
47. ARIZONA ST TRANSN BRD EXCISE TAX REV (040649MR3)		None	M	T	Buy	08/23/18	M		
48. ARIZONA ST TRANSN BRD EXCISE TAX REV (040649MS1)		None	M	T	Buy	08/23/18	M		
49. ARIZONA ST TRANSN BRD EXCISE TAX REV (040649MT9)		None	M	T	Buy	08/23/18	M		
50. IRA #1 (H)									
51. FIDELITY GOVERNMENT CASH RESERVES (CASH)	A	Interest	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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52. ALPS ETF TR ALERIAN MLP ETF (AMLP)	A	Dividend			Sold	04/04/18	J		
53. AT&T INC COM USD1 (T)	A	Dividend	J	T	Buy (add'l)	04/04/18	J		
54. ALPHABET INC CAP STK CL A (GOOGL)		None	J	T	Buy	11/14/18	J		
55. APPLE INC (AAPL)	A	Dividend	K	T					
56. BERKSHIRE HATHAWAY INC DEL CL B NEW (BRKB)		None	K	T	Buy	05/08/18	K		
57. CHEVRON CORP NEW COM(CVX)	A	Dividend	J	T					
58. CONOCOPHILLIPS (COP)	A	Dividend	J	T					
59. FORD MTR CO DEL COM (F)(Y)									
60. JOHNSON & JOHNSON (JNJ)	A	Dividend	J	T					
61. OCCIDENTAL PETROLEUM CORP (OXY)	A	Dividend	J	T					
62. PFIZER INC (PFE)	A	Dividend	K	T					
63. PHILLIP MORRIS INTL INC COM (PM)	A	Dividend	J	T					
64. ROYAL DUTCH SHELL PLC SPON ADR B (RDSB)	A	Dividend			Sold	11/14/18	J		
65. ALLSTATE CORP DEP SH .PFD (ALLPRE)	A	Dividend	J	T					
66. CITIGROUP INC 5.80% SERIES C (C.C)	A	Dividend			Redeemed	10/22/18	J	B	
67. JPMORGAN CHASE & CO DEP PFD P (JPMPPRA)	A	Dividend	J	T					
68. REGIONS FINL CORP NEW DEP SHS REPSTG (RFPPRA)	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

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69. ZIONS BANCORPORATION DEP SHS REPSTG (ZBPRG)	A	Dividend	J	T					
70. OTHER HOLDINGS (H)									
71. Golf Link Green Building LLC	B	Distribution			Redeemed	03/28/18	L	D	
72. Fremont Street LLC	D	Distribution	K	U					
73. IP Building LLC	D	Distribution	K	U					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Gan, Scott H.

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05/22/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Gan, Scott H.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Scott H. Gan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544