

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Furman, Jesse M.	2. Court or Organization U.S. District Court, NY-S	3. Date of Report 08/12/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2018 to 12/31/2018
7. Chambers or Office Address Thurgood Marshall United States Courthouse 40 Centre Street, Room 2202 New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Co-Executor	Estate #1 (NOTE: No reportable assets for 2018)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2018

- salary

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Flagstar Bank	Mortgage on Rental Property, Providence, RI (Pt. VII, line 26)	M
2.		Tuition Agreements	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. MF Est LLC	G	Dividend	P1	U					
2. MF Est L.P.	D	Int./Div.	N	U					
3. Monroe Avenue Associates LLC	E	Dividend	J	U					
4. Ashland Greenup LLC	A	Dividend	J	U					
5. AFMB Russell LLC	D	Dividend	J	U					
6. KIM-FUR Retail Holdings LLC	D	Dividend	P1	U					
7. Southgate Associates L.P.	A	Dividend	J	U					
8. PECO Rho LLC	G	Dividend	N	U					
9. MJS Ponce II LP	A	Dividend	N	U					
10. MJS Ponce III LP	A	Dividend	J	U					
11. MFB Ashland Parcel 5 LLC	B	Dividend	J	U					
12. Stanhope LLC	E	Dividend	M	U					
13. Rho Capital Partners 1999 L.P.	D	Dividend	M	U					
14. iShares MSCI Emerging Markets Fund	B	Dividend	L	T					
15. Vanguard 500 Index Fund	D	Dividend	N	T					
16. Vanguard Total Bond Market Index Fund	B	Dividend	K	T					
17. Vanguard Prime Money Market Fund	E	Dividend	P1	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less K = \$15,001 - \$50,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P4 = More than \$50,000,000	S = Assessment T = Cash Market	
(See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	W = Estimated		

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18. Vanguard 500 Index Fund (IRA #1)	A	Dividend	K	T					
19. Vanguard 500 Index Fund (IRA #2)	A	Dividend	K	T					
20. Vanguard Extended Market Index Fund (Retirement)	A	Dividend	M	T					
21. Vanguard Intermediate-Term Bond Index Fund (Retirement)	A	Dividend	M	T					
22. Vanguard 500 Institutional Index Fund Inst'l Plys (Retirement)	A	Dividend	M	T					
23. Vanguard Long-Term Bond Index Fund (Retirement)	B	Dividend	M	T					
24. Rental Property, Providence, RI (2011 \$305,000)	E	Rent	N	R					
25. Citibank Accounts	B	Interest	P1	T					
26. Akiva Armon, personal loan - note receivable		None	L	T					
27. Christina Ponsa, personal loan - note receivable		None	J	T					
28. Jay Furman Family LLC	D	Dividend	L	U					
29. Jay Furman Investors LLC	D	Dividend	K	U					
30. Morgan Stanley Private Bank NA Money Market Fund	A	Int./Div.	J	T					
31. J.P. Morgan Chase Bank Account	A	Interest	L	T					
32. Mass Mutual Financial Group Guaranteed Cash Account (Retirement)	A	Interest	J	T					
33. BlackRock Bond Index Fund (Retirement)	A	Dividend	K	T					
34. Mass Mutual S&P 500 Index Fund (Retirement)	C	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Mass Mutual Mid Cap Index Fund (Retirement)	A	Dividend	J	T					
36. Mass Mutual Rsl 2000 Small Cap Index Fund (Retirement)	B	Dividend	K	T					
37. Mass Mutual MSCI EAFE International Index Fund (Retirement)	B	Dividend	K	T					
38. TD Ameritrade									
39. - TD Ameritrade Insured Deposit Account	A	Interest	P1	T	Buy (add'l)	12/20/18	O		
40. - Vanguard World Ex U.S. Small Cap ETF	E	Dividend	O	T					
41. - Vanguard Int'l Equity Index	E	Dividend	O	T					
42. Vanguard European Stock Market Index Fund	F	Dividend	P1	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I: There are no reportable assets for Estate #1 because the Estate did not exist in 2018.

Part VII: The 2018 K-1 Statements for MF Est, LLC, MF Est. L.P., Monroe Avenue Associates LLC, KIM-FUR Retail Holdings LLC, Rho Capital Partners 1999 L.P., Jay Furman Investors LLC (Part VII), and underlying entities are not yet available; accordingly, the 2017 K-1 Statements were used.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Jesse M. Furman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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