

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Grimm, Paul W.	2. Court or Organization U.S. District Court, District of Maryland	3. Date of Report 05/07/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge (active status)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Chambers 465A U.S. Courthouse 6500 Cherrywood Lane Greenbelt, MD 20770		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Instructor, part-time	Shemer Bar Review (lecturer)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Shemer Bar Review, lecturer	\$4,600.00
2. 2018	ABA-MD Discovery Problems Solutions, royalties	\$143.82
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Dietician-Health Care Services Group (HCSG)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Akron Law School	04/05/18-04/06/18	Akron, OH	Civil Discovery Conference	Travel Expenses
2. FBA-Columbus Chapter	09/20/18	Columbus, OH	FBA CLE-Federal Rule Changes	Travel Expenses
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. M&T Bank accounts	A	Interest	L	T					
2. AXA Equitable TSA (Equi-Vest)		None	L	T					
3. Fidelity Government Cash Reserves Roth	A	Dividend	J	T					
4. Fidelity Government Cash Reserves Roth	A	Dividend	J	T					
5. T Rowe Price Government Money	B	Dividend	L	T	Buy (add'l)	01/12/18	J		
6. IRA - MORGAN STANLEY (#1) (H);									
7. - Morgan Stanley Bank	A	Interest	K	T	Buy (add'l)	11/21/18	K		
8. -iShares S&P 500 Growth ETF	A	Dividend	J	T					
9. -iShares S&P 500 Value ETF	A	Dividend	J	T					
10. -iShares S&P Mid-Cap 400 V ETF	A	Dividend	J	T					
11. -Oppenheimer Large Cap Revenue	A	Dividend	J	T					
12. -Invesco S&P 500 Low Volatility ETF	A	Dividend	J	T					
13. -Vanguard FTSE Developed Markets ETF	A	Dividend	J	T					
14. -American Century Emerging Markets I	A	Dividend	J	T					
15. -Baron Small Cap Institutional	A	Dividend	J	T					
16. -Goldman Sachs Strategic Income I	A	Dividend			Sold	10/04/18	J		
17. -Goldman Sachs Absolute Return Tracker	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Janus Henderson International Opportunity	B	Dividend	J	T					
19. -Neuberger Berman Core Bond Inst	B	Dividend	K	T					
20. -Prudential Jennison Mid Cap Growth Z	B	Dividend	J	T					
21. -Prudential Absolute Return Bond Z	A	Dividend	J	T					
22. -Royce Opportunity Inv	A	Dividend	J	T					
23. -Touchstone Sands Capital Select Growth Y	B	Dividend	J	T					
24. -Wisdomtree Mgd Futures Strat	A	Dividend	J	T	Buy (add'l)	11/02/18	J		
25. -Abbey Cptl Futures Strategy I		None			Sold	11/02/18	J		
26. -Lord Abbett Total Return	B	Dividend	K	T					
27. -Guggenheim Macro Opportunity	A	Dividend	J	T	Buy	10/04/18	J		
28. IRA - MORGAN STANLEY (#2) (H):									
29. -iShares S&P Mid Cap 400 Index	A	Dividend			Buy	05/09/18	K		
30.					Sold	10/03/18	K	A	
31. -SPDR S&P 500 ETF Trust	A	Dividend	K	T	Sold (part)	10/25/18	K	B	
32.					Buy (add'l)	12/20/18	J		
33.					Buy (add'l)	12/24/18	J		
34. -Schwab U.S. Large Cap Value	A	Dividend			Buy	05/15/18	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Sold	06/26/18	K		
36.					Buy	11/13/18	K		
37.					Sold	11/20/18	K		
38. -Schwab US Mid Cap ETF	A	Dividend			Sold (part)	01/12/18	K	C	
39.					Buy (add'l)	02/22/18	K		
40.					Buy (add'l)	03/14/18	K		
41.					Sold (part)	03/28/18	K		
42.					Buy (add'l)	05/16/18	K		
43.					Sold	10/10/18	K	A	
44. -Vanguard Russell 1000 Value ETF	A	Dividend			Sold (part)	03/27/18	K	B	
45.					Sold (part)	05/08/18	K		
46.					Sold	10/30/18	K	A	
47. -Vanguard Index Funds S&P 500 ETF	A	Dividend			Sold (part)	01/08/18	K	C	
48.					Sold (part)	03/29/18	J	B	
49.					Sold (part)	04/17/18	J	A	
50.					Buy (add'l)	05/17/18	K		
51.					Sold (part)	10/18/18	K	A	

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52.					Sold	10/25/18	K	A	
53. -S&P 500 Index		None			Buy	03/01/18	K		
54.					Sold (part)	03/26/18	J		
55.					Sold	03/29/18	J		
56. -SPDR S&P Mid Cap 400 ETF Trust	A	Dividend			Buy (add'l)	04/25/18	K		
57.					Sold (part)	10/11/18	K	A	
58.					Sold	10/17/18	K	B	
59. -Vanguard Mid Cap ETF	A	Dividend	K	T	Sold (part)	02/14/18	K	A	
60.					Buy (add'l)	04/18/18	K		
61.					Sold (part)	05/02/18	K	A	
62.					Sold (part)	10/17/18	K	B	
63.					Buy (add'l)	12/17/18	J		
64.					Buy (add'l)	12/20/18	J		
65. -Goldman Sachs Access Tre 0-1 Yrs.	A	Dividend	L	T	Buy	10/23/18	L		
66. -Oppenheimer S&P Ultra Dividend	A	Dividend	K	T	Buy	07/17/18	L		
67.					Sold (part)	10/16/18	K		
68.					Sold	10/23/18	K		

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69.					Buy	12/20/18	J		
70.					Buy (add'l)	12/26/18	J		
71. -SPDR Ser TR Bbg Bcl 1 3 ETF	A	Dividend	L	T	Buy	03/28/18	K		
72.					Buy (add'l)	03/29/18	K		
73.					Sold (part)	04/25/18	J		
74.					Buy (add'l)	05/08/18	K		
75.					Sold	05/15/18	L		
76.					Buy	11/02/18	L		
77.					Sold (part)	12/24/18	J		
78. -Lord Abbett Ultra Short Bond	A	Dividend	L	T	Buy	10/23/18	L		
79. -SPDR DJIA Trust	A	Dividend			Buy	04/24/18	K		
80.					Sold	05/01/18	K		
81.					Buy	06/12/18	K		
82.					Sold	06/26/18	K		
83. -Morgan Stanley Bank	A	Interest	J	T					
84. IRA - MORGAN STANLEY (#3) (H):									
85. -Morgan Stanley Bank	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86. -Eaton Vance Tax Mg By-WR	B	Dividend	J	T					
87. -iShares Cohen & Steers REIT ETF	A	Dividend	J	T					
88. -iShares iBoxx Invest Grade Corp Bond	A	Dividend	J	T					
89. -Invesco S&P 500 High Dividend	A	Dividend	J	T					
90. -SPDR Barclays Convertible	A	Dividend	J	T					
91. -SPDR S&P Dividend	A	Dividend	J	T					
92. -Voya Global Advantage	B	Dividend	J	T					
93. -AB High Income Adv	A	Dividend	J	T					
94. -Goldman Sachs Strategic Income		None			Sold	03/26/18	J	A	
95. -Janus Henderson Global Income	A	Dividend	J	T					
96. -Principal Preferred Sec Inst.	A	Dividend	J	T					
97. -Prudential Absolute Return Bond Z	A	Dividend	J	T					
98. -Columbia Strategic Income	A	Dividend	J	T	Buy	03/26/18	J		
99. IRA - MORGAN STANLEY (#4) (H):									
100. -Synchrony Bank 1.5% CD	A	Interest			Matured	02/14/18	J		
101. -Bank of China NY City 1.05% CD	A	Interest			Matured	02/20/18	J	A	
102. -Wells Fargo Sioux Falls 1.00% CD	A	Interest			Matured	02/28/18	J	A	

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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103. -Ally Bank Midvale 1.35% CD	A	Interest			Matured	05/21/18	J	A	
104. -MS Bank 1.90% CD	A	Interest			Matured	08/21/18	J		
105. -Capital One 1.25% CD	A	Interest			Matured	11/09/18	J		
106. -Goldman Sachs 5.5% CD	A	Interest			Matured	11/19/18	J		
107. -Morgan Stanley Bank (X)	A	Interest			Buy (add'l)	02/14/18	J		
108.					Buy (add'l)	02/20/18	J		
109.					Buy (add'l)	02/28/18	J		
110.					Buy (add'l)	05/21/18	J		
111.					Buy (add'l)	08/21/18	J		
112.					Buy (add'l)	11/09/18	J		
113.					Buy (add'l)	11/19/18	J		
114.					Merged (with line 7)	11/21/18	K		
115. Exelon Corporation stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 12: Powershares S&P 500 Low Volatility ETF: name change to Invesco S&P 500 Low Volatility ETF.

Part VII, line 89: Powershares S&P 500 High Dividend: name change to Invesco S&P 500 High Dividend.

Part VII, lines 99-113: IRA Morgan Stanley #4: All CD redemption proceeds (lines 100-106) were deposited into the Morgan Stanley cash account (lines 107-113) as the CDs matured.

Part VII, lines 7 & 114: IRA Morgan Stanley #1 and IRA Morgan Stanley #4: Accumulated cash from redemptions of CDs in IRA Morgan Stanley #4 (line 114) was transferred to Morgan Stanley cash account associated with IRA Morgan Stanley #1 (line 7).

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Grimm, Paul W.

Date of Report

05/07/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Paul W. Grimm

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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