

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Emerson, George W.	2. Court or Organization U.S. Bankruptcy Court, Western District of Tennessee	3. Date of Report 05/02/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Suite 675, One Memphis Place 200 Jefferson Avenue Memphis, Tennessee 38103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2018

Delta Materials Handling

2. 2018

The Costa Company, LLC

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Investment Acct #1 (Charles Schwab & Co. Inc. - SEP-IRA) (H)									
2. Berkshire Hathaway Inc Del Cl B New (BRKB)		None	L	T					
3. Dodge & Cox Stock Fund (DODGX)	A	Dividend	K	T					
4.	C	Distribution							
5. Fidelity Advisor Strategic Income (FSRIX)	A	Dividend	J	T					
6.	A	Distribution							
7. Fidelity Advisor New Insights Fund Class 1 (FINSX)	D	Distribution	K	T					
8.	A	Dividend							
9. Standard & Poors Depository Receipts (SPY)	A	Dividend	K	T					
10. Technology Select Sector SPDR ETF (XLK)	A	Dividend			Sold	07/18/18	K	E	
11. Carmax Inc. Com (KMX)		None	J	T	Sold (part)	07/18/18	K	D	
12. Fidelity Advisor Mid Cap II Fund (FIIMX)	B	Distribution	J	T					
13.	A	Dividend							
14. Yum Brands Inc Com (YUM)	A	Dividend	K	T					
15. Yum China Holdings I (YUMC)	A	Dividend			Sold	07/18/18	J	D	
16. Blackrock Income Trust Inc (BKT)	A	Dividend			Sold	07/18/18	J		
17. Pepsico Inc (PEP)	A	Dividend	K	T	Sold (part)	07/18/18	K	D	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Wells Fargo & Co New Com (WFC)	A	Dividend	K	T					
19. Fedex Corp Com (FDX)	A	Dividend	K	T	Sold (part)	07/18/18	K	E	
20. Prudential Short-Term Bond (PIFZX)	A	Dividend	K	T					
21. Vanguard Index FDS Vanguard Value ETF (VTV)	A	Dividend	K	T					
22. Vanguard Index FDS Vanguard Growth ETF (VUG)	A	Dividend	K	T					
23. Schwab Govt Money Fund (SWGXX)	A	Dividend	K	T					
24. Oakmark Intl FD Class I (OAKIX)	B	Dividend	L	T	Buy (add'l)	07/17/18	L		
25.	C	Distribution							
26. Cohen Steers Qual Income (RQI)	A	Dividend			Sold	04/13/18	K	A	
27. Wisdomtree Smallcap Earnings ETF (EES)	A	Dividend	J	T					
28. Morgan Stanley Emerging Mkts Debt CF (MSD)	A	Dividend	J	T					
29. CVS Health Corp. (CVS)	A	Dividend	K	T					
30. Eaton Vance Global Macro Abs Ret I (EIGMX)	A	Dividend	J	T	Sold (part)	07/17/18	J		
31. Deutsche Croci Intl FD Instl (SUIIX)		None			Sold	07/17/18	K	A	
32. Baron Energy & Resources FD Inst (BENIX)		None			Sold	07/17/18	K	C	
33. Deutsche Global Infrastructure Inst (TOLIX)	A	Dividend			Sold	07/17/18	K		
34. Baron Emrg Mkts FD Inst (BEXIX)	A	Dividend	L	T	Buy (add'l)	07/17/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. iShares Core S&P Small-Cap ETF (IJR)	A	Dividend	K	T					
36. Cohen & Steers Real Estate Secs I (CSDIX)	A	Dividend	K	T	Buy	07/17/18	K		
37. DWS Enhanced CMDY Strat (SKIRX)	C	Dividend	K	T	Buy	07/17/18	K		
38. Lord Abbett Floating (LFRIX)	A	Dividend	J	T	Buy	07/17/18	J		
39. Schwab Short-Term Bond Index Fund (SWSBX)	A	Dividend	K	T	Buy	07/17/18	K		
40. Royce Intl Premier FD (RIPNX)	A	Dividend	K	T	Buy	07/17/18	K		
41. Templeton Global Income CF (GIM)	A	Dividend	J	T	Buy	07/18/18	J		
42. Paragon Affinity Money Mkt Savings	B	Interest	L	T					
43. Investment Acct #2 (Charles Schwab & Co. Inc. - IRA Contributory) (H)									
44. Fidelity Advisor New Insights Fund Class I (FINSX)	A	Distribution			Sold	04/17/18	K	D	
45. Templeton Global Bond Fund Class A (TPINX)	A	Dividend	J	T	Sold (part)	04/17/18	J		
46. Principal Midcap Fund Class A (PEMGX)		None			Sold	04/17/18	J	C	
47. Schwab Govt Money Fund (SWGXX)	A	Dividend	J	T					
48. Deutsche Global Infrastructure (TOLSX)	A	Dividend			Sold	04/17/18	J		
49. Deutsche Croci Intl FD CL S (SCINX)		None			Sold	04/17/18	K	B	
50. Lord Abbett Short Dur Income FD CL A (LALDX)	A	Dividend	J	T	Buy (add'l)	04/17/18	J		
51.					Sold (part)	12/04/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. Carillon Scout Mid Cap FD I (UMBMX)	A	Dividend	J	T	Buy (add'l)	04/17/18	J		
53.	A	Distribution							
54. T Rowe Price Gbl Real Estate Adv CL (PAGEX)	A	Dividend			Sold	04/17/18	J	A	
55. T Rowe Price Eqty Incm FD Adv (PAFDX)	A	Dividend			Sold	04/17/18	J	A	
56. American Century Small Co FD Inv (ASQIX)		None			Sold (part)	04/17/18	J	A	
57.					Sold	12/04/18	J	A	
58. Lord Abbett Floating (LFRAX)	A	Dividend	J	T	Sold (part)	04/17/18	J		
59. Virtus Seix High Yield I (SAMHX)	A	Dividend			Sold	04/17/18	J		
60. Schwab Fundamental US Large ETF IV (FNDX)	A	Dividend	K	T	Buy (add'l)	04/18/18	J		
61.					Sold (part)	12/05/18	J	A	
62. Schwab US Aggregate Bond ETF (SCHZ)	A	Dividend			Sold	04/18/18	J		
63. Schwab US Large Cap Value ETF (SCHV)	A	Dividend	K	T	Buy (add'l)	04/18/18	J		
64.					Sold (part)	12/05/18	J	A	
65. Schwab US Large Cap Growth ETF (SCHG)	A	Dividend	K	T	Buy (add'l)	04/18/18	K		
66.					Sold (part)	12/05/18	J	A	
67. Baron Emrg Mkts FD (BEXFX)	A	Dividend	J	T	Buy (add'l)	04/17/18	J		
68.					Buy (add'l)	12/04/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Schwab Short Term Bond Index Fund (SWSBX)	A	Dividend	J	T	Buy	04/17/18	J		
70. Oakmark Intl Fund Ind (OAKIX)	A	Dividend	J	T	Buy	04/17/18	J		
71.	A	Distribution			Buy (add'l)	12/04/18	J		
72. Lord Abbett Income FD CLA (LAGVX)	A	Dividend			Buy	04/17/18	J		
73.					Sold	12/04/18	J		
74. Deutsche Enhanced CMDY STRAT INST (SKIRX)	A	Dividend	J	T	Buy	04/17/18	J		
75.					Buy (add'l)	12/04/18	J		
76. Eaton Vance Global Macro Abs Ret A (EAGMX)	A	Dividend	J	T	Buy	04/17/18	J		
77. Cohen & Steers Real Estate Secs I (CSDIX)	A	Dividend	J	T	Buy	04/17/18	J		
78. Schwab Fundamental Intl Small Com ETF (FNDC)	A	Dividend	J	T	Buy	04/18/18	J		
79.					Buy (add'l)	12/05/18	J		
80. Schwab International Equity ETF (SCHF)	A	Dividend	J	T	Buy	04/18/18	J		
81.					Buy (add'l)	12/05/18	J		
82. PGIM Short Term Corp BD FD CL Z (PIFZX)	A	Dividend	J	T	Buy	12/04/18	J		
83. Schwab Fundamental US Small Com ETF (FNDA)	A	Dividend	J	T	Buy	12/05/18	J		
84. Mass Mutual (401K) - MFS Lifetime 2040 R2	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts

NOTE: Earlier this year, new computers were issued to chambers staff. Inadvertently, some programs and files were not transferred from the old computer to the new computer, including the software program for the 2017 Financial Disclosure Report. This error was discovered when attempting to import the data from the 2017 report to the current report. By this time, unfortunately, the hard drive from the old computer had been wiped and the information was no longer recoverable. The information included in "Part VII. Investments and Trusts" of this report has been recreated from the hard copy of the 2017 report, and any edits or changes to assets, or to the sequence in which they are listed, are noted below.

- The individual assets of "Investment Acct #1 (Charles Schwab & Co. Inc. - SEP-IRA) (H)" are listed at lines 2 - 41. The assets in this account are separate from the assets listed under "Investment Acct #2 (Charles Schwab & Co. Inc.- IRA Contributory) (H)."
- Paragon Affinity Money Mkt Savings was listed at line number 26 on last year's report. Because this asset is a separate account and not an individual asset within Investment Acct #1, it is now listed at line number 42, following the assets listed for Investment Acct #1.
- The individual assets of "Investment Acct #2 (Charles Schwab & Co. Inc. - IRA Contributory) (H)" are listed at lines 44 - 83.
- Line 45 - The ticker symbol for Templeton Global Bond Fund Class A changed from TPICZ to TPINX.
- Mass Mutual (401K) - MFS Lifetime 2040 R2 was listed at line number 58 on last year's report. Because this asset is a separate account and not an individual asset within Investment Acct #2, it is now listed at line number 84, following the assets listed for Investment Acct #2.

Part VII. Investments and Trusts

The following assets did not generate income during the reporting period:

- Line 2: Berkshire Hathaway Inc Del Cl B New (BRKB)
- Line 11: Carmax Inc Com (KMX)
- Line 31: Deutsche Croci Intl FD (SUIIX)
- Line 32: Baron Energy & Resources FD Incs (BENIX)
- Line 46: Principal Midcap Fund Class A (PEMGX)
- Line 49: Deutsche Croci Intl FD CL S (SCINX)
- Line 56: American Century Small Co FD Inv (ASQIX)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ George W. Emerson

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544