

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gilbert, Jeffrey T.	2. Court or Organization District Court - Northern Illinois, Eastern Division	3. Date of Report 05/11/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Everett McKinley Dirksen Courthouse 219 South Dearborn Street Suite 1366 Chicago, Illinois 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Instructor (PT)	Northwestern University Pritzker School of Law
2. Board of Directors	Federal Magistrate Judges Association
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2018	Northwestern University Pritzker School of Law (teaching income)	\$5,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JP Morgan Chase Bank (cash)	A	Interest	L	T					
2. IRA #1 (H)									
3. Dreyfus Ins Deposit Program 1 (cash) (X)	A	Interest	J	T					
4. AB Global Bond Fund (ANAYX)	A	Dividend	L	T	Buy	08/31/18	K		
5. Brown Cap Mgmt Mut FDS Sml Co Instl (BCSSX)	B	Dividend	K	T	Sold (part)	08/31/18	L	E	
6. Columbia Acorn International Fund (ACINX)	B	Dividend			Sold	10/05/18	L	C	
7. Dodge & Cox Income Fund F-2 (DODIX)	C	Dividend	M	T					
8. American Funds Europacific Growth Fund F2 (AEPFX)	D	Dividend	M	T					
9. Fidelity Advanced New Insights I (FINSX)	B	Dividend			Sold	02/15/18	L	D	
10. Goldman Sachs International Small Cap Insights (GICIX)	A	Dividend	L	T	Buy	10/08/18	L		
11. Harbor Bond Fund Institutional Class (HABDX)	C	Dividend	L	T	Buy (add'l)	02/15/18	L		
12. The Oakmark International Fund (OAKIX)	C	Dividend	L	T					
13. American Funds International Growth and Income-F2 (IGFFX)	B	Dividend	L	T					
14. John Hancock Disciplined Value Mid Cap Fund Class (JVMIX)	D	Dividend	L	T					
15. Oppenheimer Developing Markets (ODVYX)	B	Dividend	M	T					
16. Pimco Income Fund Class P (PONPX)	D	Dividend	M	T	Buy (add'l)	08/31/18	K		
17. Pimco Commodity Real Return (PCRPX)	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Pimco Commodity Plus Strategy (PCLPX)	D	Dividend	L	T	Buy	02/15/18	L		
19. Templeton Global Bond Fund Advisor Class (TGBAX)	C	Dividend	K	T					
20. Vanguard Index FDS Vanguard REIT ETF (VNQ)	C	Dividend	L	T	Sold (part)	02/15/18	L	D	
21. BROKERAGE #1 (H)									
22. DREYFUS INS DEPOSIT PROGRAM I (CASH)	A	Interest	L	T					
23. BUTLER AL UTILS BRD WTR & SWR REV B/E AGM B/Q CPN (123367AH7)	A	Interest			Redeemed	03/01/18	K		
24. BALTIMORE MD PROJ REV SUB WTR PROJS SER A B/E CPN (05922KNY1)	B	Interest			Redeemed	07/02/18	K		
25. FLORIDA ST GOVERNMENTAL UTIL AUTH UTIL REV RF 07-01-18 CPN (34281PMM2)	A	Interest			Redeemed	07/02/18	K		
26. HARRIS CNTY TX MUN UTIL DIST 198 RFDG G/O UNLTD CPN (413954GH5)	A	Interest			Redeemed	09/04/18	K		
27. PASSAIC VALLEY NJ WTR COMM REV B/E CPN (702845EN4)	B	Interest			Redeemed	12/17/18	K		
28. SHAMOKIN COAL TWP PA JT SWR AUTH (819324AT1)	A	Interest	K	T					
29. WEST MIFFLN PA SAN SWR MUN AUTH SWR REV RFDG (95407CX5)	B	Interest	K	T					
30. PORT ST LUCIE FL UTIL RV REF&IMPT SYS REV B/E CPN (735352LL8)	B	Interest	K	T					
31. LONGMONT CO ELEC & BROADBANDUTIL CPN (543097AC3)	B	Interest	K	T					
32. SAN PATRICIO TX MUN WTR DIST - 799204KQ4)	C	Interest	L	T					
33. SOUTHMOST REGL WTR AUTH 4% (84455LEU7)	B	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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34. EVEREST RE GROUP LTD (RE)	A	Dividend	K	T					
35. AT&T INC COM (T)	A	Dividend			Sold	02/15/18	J	A	
36. AIR PRODS & CHEMS INC COM (APD)	A	Dividend	J	T					
37. AMGEN INC (AMGN)	A	Dividend	K	T					
38. APPLE INC (AAPL)	A	Dividend	K	T					
39. CVS HEALTH CORPORATION (CVS)	A	Dividend	K	T					
40. CISCO SYSTEMS INC (CSCO)	A	Dividend	K	T					
41. DISNEY WALT COMPANY (DIS)	A	Dividend	K	T					
42. DOWDUPONT (DWDP)	A	Dividend	J	T					
43. EXPEDITORS INTL WASH INC (EXPD)	A	Dividend	K	T					
44. HOME DEPOT INC (HD)	A	Dividend	K	T					
45. HONEYWELL INTERNATIONAL (HON)	A	Dividend	K	T					
46. HUNT J B TRANS SVCS INC COM (JBHT)	A	Dividend	J	T					
47. INGREDION INCORPORATED (INGR)	A	Dividend	K	T					
48. INTERCONTINENTAL EXCHANGE (ICE)	A	Dividend	K	T					
49. INTERNATIONAL BUSINESS MACHINES CORP COM (IBM)	A	Dividend			Sold	10/03/18	J	B	
50. MASTERCARD INC CL A (MA)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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51. MCDONALDS CORP (MCD)	A	Dividend	K	T					
52. MICROSOFT CORP (MSFT)	A	Dividend	K	T					
53. NIKE INC CLASS B	A	Dividend	K	T					
54. ORACLE CORPORATION (ORCL)	A	Dividend	J	T					
55. T ROWE PRICE GROUP INC (TROW)	A	Distribution	K	T					
56. PROCTOR & GAMBLE CO (PG)	A	Dividend			Sold	10/03/18	J	A	
57. STANLEY BLACK & DECKER (SWK)	A	Dividend	K	T					
58. STARBUCKS CORP (SBUX)	A	Dividend			Sold	02/15/18	J	A	
59. STRYKER CORP (SYK)	A	Dividend	K	T					
60. TJX COS INC (TJX)	A	Dividend	K	T					
61. 3M CO (MMM)	A	Dividend	J	T					
62. US BANCORP (USB)	A	Dividend	K	T					
63. UNION PAC CORP COM (UNP)	A	Dividend	K	T					
64. VERIZON COMMUNICATIONS INC (VZ)	A	Dividend			Sold	02/15/18	J	A	
65. VERSUM MATLS INC COM (VSM) (X)	A	Dividend			Sold	02/15/18	J	A	
66. ISHARES TR NORTH AMERN TECH-SOFTWARE (IGV)	A	Dividend	K	T					
67. ISHARES TR NASDAQ BIOTECHNOLOGY ETF (IBB)	A	Dividend	J	T					

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68. ISHARES TR RUSSELL 1000 ETF (IWB)	B	Dividend	L	T					
69. ISHARES TR US HEALTH CARE PROVIDERS ETF (IHF)	A	Dividend	K	T					
70. SPDR SER TR S&P BK ETF (KBE)	A	Dividend	K	T					
71. IRA #2 (H)									
72. FIDELITY ADVISOR TOTAL BOND FUND CLASS I (FEPIX)	B	Dividend	L	T					
73. JOHN HANCOCK DISCIPLINED VALUE MID CAP FUND CLASS I (JVMIX)	C	Dividend	K	T					
74. MFS INTERNATIONAL GROWTH FUND CLASS I (MQGIX)	B	Dividend	K	T					
75. MFS INTERNATIONAL NEW DISCOVERY FUND (MWNIX)	B	Dividend	K	T					
76. MFS VALUE FUND CLASS I (MEIIX)		None			Sold	02/15/18	K	C	
77. PIMCO INCOME FUND CLASS P (PONPX)	C	Dividend	L	T					
78. PIMCO COMMODITIES PLUS STRATEGY (PCLPX)	C	Dividend	K	T	Buy	02/15/18	K		
79. TEMPLETON GLOBAL BOND FUND ADVISOR (TGBAX)	B	Dividend	K	T					
80. BROKERAGE #2 (H)									
81. BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORT (BSIIX)	A	Dividend	L	T	Buy	10/05/18	L		
82. EUROPEAN GROWTH FUND (AEPFX)	A	Dividend	J	T	Buy	07/13/18	J		
83. FIDELITY ADVISOR NEW INSIGHTS (FINSX)	C	Dividend	K	T	Buy	07/13/18	J		
84.					Buy (add'l)	10/05/18	K		

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85. NUVEEN HIGH YIELD MUNICIPAL BOND FUND (NHMRX)	C	Dividend	L	T					
86. PIMCO COMMODITIES PLUS STRATEGY (PCLPX)	D	Dividend	K	T	Buy	02/15/18	K		
87. T ROWE PRICE HIGH YIELD (PRFHX)	B	Dividend	L	T					
88. T ROWE PRICE EMERGING MARKETS (PRMSX)	A	Dividend	K	T					
89. AMERICAN FUNDS THE TAX EXEMPT FUND OF AMERICA (TEAFX)	D	Dividend	N	T	Buy (add'l)	07/13/18	K		
90. VANGUARD INTERMEDIATE TERM TAX EXEMPT ADMIRAL (VWIUX)	B	Dividend	L	T					
91. ISHARES TR S&P MIDCAP 400 GROWTH (IJK)	A	Dividend	K	T	Buy (add'l)	10/05/18	J		
92. SPDR S&P 500 ETF TR UNIT (SPY)	A	Dividend	K	T					
93. VANGUARD INDEX FDS VANGUARD GROWTH ETF (VUG)	A	Dividend	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Jeffrey T. Gilbert

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544