

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fehling, Richard E.	2. Court or Organization U.S. Bankruptcy Court - ED PA	3. Date of Report 07/27/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Actice	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 301 Madison Bldg 400 Washington Street Reading, PA 19601-3915		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Stevens & Lee - Salary Retired 8/31/18
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Natl Conf Bkrtcy Judges	11/18/18	Washington DC	CLE	Travel
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Santander Bank	Mortgage on Rental # 2 - North Wildwood, New Jersey	M
2.	American Express	Credit Card	J
3.	Citi Mastercard	Credit Card	J
4.	Marriot VISA	Credit Card	J
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Santander Bank	A	Interest	J	T					
2. First National Bank Savings	A	Interest	L	T					
3. First National Bank Checking	A	Interest	J	T					
4. Federal Thrift Plan	A	Int./Div.	M	T					
5. DXC - X	A	Dividend	J	T	Buy	06/30/18	J		
6. Hewlett-Packard	A	Dividend	J	T					
7. TD Ameritrade	A	Int./Div.	J	T					
8. Safeguard Scientific		None	J	T					
9. IRA - #1 Richard Fehling (Fidelity Investments)									
10. - Fidelity Cash Reserves	A	Dividend	M	T					
11. - AMG Yacktmann Fund I	E	Dividend	M	T					
12. - Fidelity Int'l Discovery	D	Dividend	M	T					
13. - Fidelity Stock Selector Small Cap	E	Dividend	M	T					
14. - Fidelity Capital Appreciation	E	Dividend	M	T					
15. - Fidelity Contrafund	E	Dividend	N	T					
16. - Fidelity Balanced	C	Dividend	K	T					
17. - Pimco Low Duration Class D	B	Dividend			Sold	08/07/18	M	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Pimco Total Return Class D	B	Dividend			Sold	08/10/18	L	A	
19. - 3D Systems Corp	A	Dividend			Sold	03/21/18	J	A	
20. IRA - #2 (Fidelity Investments)									
21. - Fidelity Cash Reserves	A	Dividend	J	T					
22. - Fidelity Growth & Income	A	Dividend	J	T					
23. - Safeguard Scientific Inc	A	Dividend	J	T					
24. - McDonalds Corp	A	Dividend			Sold	06/30/18	J	A	
25. - Pimco Low Duration Class D	A	Dividend			Sold	06/30/18	J	A	
26. - Pimco Total Return Class D	A	Dividend			Sold	06/30/18	J	A	
27. 401(k)/Pension - #3 (Stevens & Lee)	C	Int./Div.			Distributed	05/31/18	M	A	Roll-over to IRA
28. S&L CGH Partnership - Reading, PA	A	Rent	J	U					
29. Rental Property #2 - North Wildwood, NJ	A	Rent	O	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Richard E. Fehling**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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