

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Goeke, Joseph R.	2. Court or Organization United States Tax Court	3. Date of Report 05/16/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge (Senior Status)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 400 Second Street, N.W. Room 410 Washington, D.C. 20217		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Ishares MBS ETF	A	Dividend	J	T					
2. St. Mary's County Bonds (2032)	A	Interest	L	T					
3. Vanguard Intermediate Term Bond ETF	A	Interest	J	T					
4. Capital One Accounts	A	Interest	K	T					
5. Citibank Accounts	A	Interest	K	T					
6. Proctor & Gamble - common stock	E	Dividend	N	T					
7. Nestle SA Shares	D	Dividend	K	T					
8. Franklin Fed Tax-Free Income Fund	E	Interest	N	T					
9. Royal Dutch Shell PLC	A	Dividend	J	T					
10. NYC General Purpose Bonds	A	Interest			Redeemed	03/01/18	J		Loss
11. Oregon School Bonds	A	Interest	M	T					
12. Euro Pac Growth Fund	E	Dividend	K	T					
13. AT&T Inc. - senior notes	A	Interest	K	T					
14. FICO - Bonds	B	Interest	L	T					
15. Resolution FDG Corp. - Fed Bonds	A	Interest	J	T					
16. U.S. Treasury - Bonds	B	Interest	K	T					
17. Metlife -Bonds	B	Interest	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. Wells Fargo CD	B	Interest	K	T					
19. Smuckers stock	A	Dividend	J	T					
20. Barclay Bank PLC - Bonds	A	Interest	K	T					
21. Fed Natl Mtge Assn - Bonds	A	Interest	K	T					
22. Cohen & Stearns Intl - Bonds	A	Interest	J	T					
23. Darby Bank - CD	A	Interest	K	T					
24. Verizon Bonds due 2047	A	Interest	L	T					
25. Great Hall - Investment Funds	A	Interest	K	T					
26. Harris PFD Capital Corp. - Pref stks	B	Interest	K	T					
27. UIT Guggenheim defined portfolio	A	Interest	K	T					
28. Prudential PLC - Bonds	B	Interest	K	T					
29. Southwestern Bank	A	Interest	K	T					
30. Bank of America - Bonds	A	Interest	M	T					
31. Salisbury MD GO Bonds (2029)	A	Interest	K	T					
32. Montgomery County MD bonds (2034)	A	Interest	K	T					
33. Transportation Alliance - CD	B	Interest	L	T					
34. Growth Fund of America	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Pioneer Cullen - Value Fund	A	Dividend	J	T					
36. Montgomery County Bonds (2029)	A	Interest	K	T					
37. JNL Elite Annuity	A	Interest			Redeemed	07/10/18	L	B	
38. Eagle Mid - Capital Fund	A	Dividend	J	T					
39. Vanguard Mega	A	Dividend	J	T					
40. Pioneer Cullen - Value Fund C	A	Dividend	K	T					
41. Chain Bridge Bank - Stock	A	Dividend	K	T					
42. National Grid PLC	A	Dividend	J	T					
43. Citigroup - Shares	A	Dividend	K	T					
44. BB&T - Account	A	Interest	J	T					
45. J P Morgan Chase	A	Interest	L	T	Buy	09/17/18	L		
46. USB Capital - Bonds	A	Interest	J	T					
47. CMA - Tax Exempt Fund	A	Interest	J	T					
48. Calamos - Und	A	Dividend	J	T					
49. Treasury - Level Plus Fund	B	Interest	M	T					
50. Merrill Lynch - Bank Deposit Prog.	A	Interest	J	T					
51. Montgomery County MD Bonds (2033)	A	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Berkshire Hathaway B shares		None	L	T					
53. Fidelity - Income Fund	A	Interest	K	T					
54. Merrill Lynch - CMA Fund	A	Interest	J	T					
55. Jefferson Pilot - Ins. Policies (successor LIBERTY MUTUAL)	B	Dividend	L	T					
56. Berkshire - Life Ins. Policy Whole Life	D	Dividend	L	T					
57. ABN AMRO - Cap Fund TR VII	A	Interest	J	T					
58. HSBC Bank C/D	A	Interest	L	T					
59. Lehman Bros - Bonds	B	Interest	L	T					
60. Evergreen - Wells Fargo Asset Allocation Fund	A	Dividend	J	T					
61. Oregon School Bonds	C	Interest	M	T					
62. Citigroup - Capital Bonds	A	Interest	J	T					
63. BAC - Capital Trust Bonds	A	Interest	J	T					
64. General Mills Stock	A	Dividend	K	T					
65. Columbia - Small Cap Value Fund	A	Dividend	J	T					
66. Sentinel - Small Co. Fund	A	Dividend	J	T					
67. Metlife - Insurance Whole Life	A	Dividend			Redeemed	06/29/18	L	C	
68. GNMA - Bonds	A	Interest	J	T					

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69. Discover - Bonds - CD	A	Interest	L	T					
70. Washington State Economic Development Bonds	A	Interest	L	T					
71. Black Rock Global	A	Dividend	J	T					
72. ML Bank USA	A	Interest	J	T					
73. Bank Of Nova Scotia	B	Dividend	L	T					
74. PIMCO - All Asset Fund	A	Dividend	J	T					
75. Deutsche Unconstrained	A	Dividend	K	T					
76. Aegon NV Bonds	A	Interest	K	T					
77. McDonalds Corp.	A	Dividend	L	T					
78. ING Group	A	Interest	K	T					
79. Eaton Vance Risk Managed Fund	A	Dividend	J	T					
80. JP Morgan Chase - CD	C	Interest	L	T					
81. Power Shares Bank Amer. - Bonds	A	Interest	K	T					
82. Coty	A	Dividend	J	T					
83. UIT First Trust High Dividend	A	Dividend			Sold	11/27/18	L	A	
84. Ivy Large Cap Growth	A	Dividend	K	T					
85. Conoco Phillips	A	Dividend	J	T					

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86. exelon corp	A	Dividend	K	T					
87. intel	A	Dividend	K	T					
88. Chevron	A	Dividend	J	T					
89. Ally Bank Utah	A	Interest	L	T	Buy	11/27/18	L		
90. FIA	A	Dividend	J	T					
91. Ocean City MD GO Bonds	A	Interest	L	T					
92. Anne Arundel County General Purpose Bonds G/O 2010	B	Interest	K	T					
93. Palm Beach County Qualified School Bonds	C	Interest	L	T					
94. Goldman Sachs Bank CD	A	Interest	L	T					
95. UIT Advisors Fund	A	Int./Div.	J	T					
96. Metropolitan Transportation Bonds	B	Interest	K	T					
97. MTA Mass rapid transit bonds	B	Interest	L	T					
98. Lansing Michigan Capital Improvement Bonds Eaton County	A	Interest	K	T					
99. Fort Lauderdale Special Obligation Bonds	B	Interest	K	T					
100. Anne Arundel co. general purpose bonds	B	Interest	K	T					
101. New orleans general purpose bonds	B	Interest			Sold	09/17/18	L	A	
102. Shrewsbury mass land acquisition bonds	A	Interest	K	T					

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103. Goldman Sachs C/D	A	Interest	K	T					
104. Fort Lauderdale Pension bonds	B	Interest	K	T					
105. Synchrony Bank C/D	A	Interest	K	T					
106. UPS stock	A	Dividend	K	T					
107. Honolulu Bonds (2035)	A	Interest	J	T					
108. Vanguard Total STK MKT ETF	A	Dividend	K	T					
109. Bank of Nova Scotia Stock	A	Dividend	K	T					
110. New Jersey Economic Development Authority Bonds	A	Interest	M	T					
111. Vanguard Health Care ETF	A	Dividend	J	T					
112. Vanguard Dividend Appreciation ETF	A	Dividend	K	T					
113. Vanguard midcap	A	Dividend	K	T					
114. Bank of America Account	A	Interest	J	T					
115. Putnam Capital Spectrum Fund	A	Dividend	J	T					
116. Janus Flexible Bond Fund	A	Interest	J	T					
117. Deutsche Managed Municipal Bond Fund	A	Interest	K	T					
118. Lord Abbett Bond Fund	A	Interest	J	T					
119. Lincoln National Life Insurance Whole Life	A	Dividend	K	T					

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120. Milwaukee Redev Bonds (2035)	A	Interest	M	T					
121. Wells Fargo Dep Shares 5.7%	B	Dividend	K	T					
122. Fresno (2025) Bonds	A	Interest	L	T					
123. UIT Infrastructure	A	Dividend	J	T	Buy	02/23/18	J		
124. HSBC Bank CD	A	Interest	K	T	Buy	02/23/18	K		
125. Vanguard Growth ETF	A	Dividend	J	T	Buy	03/28/18	J		
126. ISHARES Emerging Markets	A	Dividend	J	T	Buy	03/28/18	J		
127. UBS Bank CD	B	Interest	M	T	Buy	10/22/18	M		
128. John Hancock (Education Trust of Alaska) JMG	A	Interest	K	T	Buy	05/14/18	K		
129. Power Shares EM Sovereign Bond	A	Interest	J	T	Buy	01/31/18	J		
130. Vanguard Small Cap Growth ETF	A	Dividend	J	T	Buy	01/31/18	J		
131. ISHARES 3-7 Treasury Bond Fund	A	Interest	J	T	Buy	01/31/18	J		
132. ISHARES Tips Bond ETF	A	Interest	J	T	Buy	01/31/18	J		
133. San Bernardino Ca School bonds	A	Interest	J	T					
134. GE Cap BK C/D	A	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Goeke, Joseph R.

Date of Report

05/16/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Goeke, Joseph R.

Date of Report

05/16/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Joseph R. Goeke

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544