

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ginsburg, Douglas H.	2. Court or Organization D.C. Circuit	3. Date of Report 01/03/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge (senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. Courthouse 333 Constitution Avenue, NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Professor of Law	George Mason University Antonin Scalia Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	George Mason University Foundation, Teaching	\$373,450.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Self Employed fund raiser for non profit
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bar Association	1/19/2018 - 1/22/2018	Cayman Islands United Kingdom	Professional Association	Transportation, Food & Lodging
2. George Mason Univ. Antonin Scalia Law School	1/25/ 2018 - 1/29/2018	Key Largo, FL	Teaching	Transportation, Food & Lodging
3. Free to Choose Network Educational T.V.	2/28/2018 - 3/5/2018	West Palm Beach, FL	Meeting	Transportation, Food & Lodging
4. George Mason Univ. Global Antitrust Institute	3/11/2018 - 3/17/2018	Oahu, HI	Teaching	Transportation, Food & Lodging
5. Free to Choose Network Educational T.V.	3/20/2018 - 3/20/2018	New York, NY	Meeting	Transportation, Food & Lodging

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6.	University of Chicago Law School	4/18/2018 - 4/23/2018	Chicago, IL	Meeting	Transportation, Food & Lodging
7.	George Mason Univ. Antonin Scalia Law School	5/4/2018 - 5/8/2018	Jacksonville, FL	Teaching	Transportation, Food & Lodging
8.	Free to Choose Network Educational T.V.	5/16/2018 - 5/18/2018	New York, NY	Meeting	Transportation, Food & Lodging
9.	George Mason Univ. Antonin Scalia Law School	5/19/2018 - 6/2/2018	Rome, Italy	Teaching	Transportation, Food & Lodging
10.	George Mason Univ. Antonin Scalia Law School	6/12/2018 - 6/14/2018	New York, NY	Teaching	Transportation, Food & Lodging
11.	George Mason Univ. Antonin Scalia Law School	6/24/2018 - 7/2/2018	Los Angeles, CA	Teaching	Transportation, Food & Lodging
12.	George Mason Univ. Antonin Scalia Law School	7/12/2018 - 7/27/2018	San Francisco, CA	Teaching	Transportation, Food & Lodging
13.	Fordham University Law School	9/4/2018 - 9/7/2018	New York, NY	Teaching	Transportation, Food & Lodging
14.	George Mason Univ. Antonin Scalia Law School	9/16/2018 - 9/17/2018	New York, NY	Teaching	Transportation, Food & Lodging
15.	George Mason Univ. Antonin Scalia Law School	9/28/2018 - 10/7/2018	Canary Islands, Spain	Teaching	Transportation, Food & Lodging
16.	Free to Choose Network Educational T.V.	10/11/2018 - 10/11/2018	Asheville, NC	Meeting	Transportation, Food & Lodging
17.	George Mason Univ. Antonin Scalia Law School	10/12/2018 - 10/12/2018	Asheville, NC	Teaching	Transportation, Food & Lodging
18.	George Mason Univ. Global Antitrust Institute	10/19/2018 - 10/23/2018	Sao Paulo, Brazil	Teaching	Transportation, Food & Lodging
19.	IBRAC Antitrust Conference	10/24/2018 - 10/26/2018	Sao Paulo, Brazil	Professional Association	Transportation, Food & Lodging
20.	George Mason Univ. Antonin Scalia Law School	11/1/2018 - 11/2/2018	New York, New York	Teaching	Transportation, Food & Lodging
21.	George Mason Univ. Antonin Scalia Law School	11/8/2018 - 11/9/2018	New York, New York	Teaching	Transportation, Food & Lodging
22.	Univ. Pennsylvania Leadership IP Conference	11/11/2018 - 11/13/2018	Brussels, Belgium	Teaching	Transportation, Food & Lodging
23.	George Mason Univ. Global Antitrust Institute	11/19/2018 - 11/22/2018	Luxembourg, Belgium	Teaching	Transportation, Food & Lodging
24.	Free to Choose Network Educational T.V.	11/29/2018 - 11/29/2018	New York, New York	Meeting	Transportation, Food & Lodging
25.	Free to Choose Network Educational T.V.	12/7/2018 - 12/11/2018	New York, New York	Meeting	Transportation, Food & Lodging
26.	George Mason Univ. Antonin Scalia Law School	12/12/2018 - 12/13/2018	New York, New York	Teaching	Transportation, Food & Lodging

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. TIAA Traditional	D	Interest	N	T					
2. CREF Equity Index R3	B	Dividend	L	T					
3. WBRXX (renamed FZBXX)	B	Dividend	O	T					
4. DSG TR BL10323 (H)									
5. FDRXX	B	Dividend	L	T					
6. CVX	C	Dividend	M	T					
7. ECL	C	Dividend	M	T					
8. EPD		None	K	T					
9. FAST	D	Dividend	L	T					
10. SYK	C	Dividend	N	T					
11. KMX		None	M	T					
12. SMG	D	Dividend	M	T					
13. SBUX	C	Dividend	M	T					
14. IDXX		None	N	T					
15. CHD	C	Dividend	M	T					
16. C	A	Dividend	J	T					
17. ALGN		None	O	T	Sold (part)	01/26/18	K	E	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. FTV	A	Dividend	L	T					
19. RMAX		None			Sold	01/26/18	M	E	
20. UPS	C	Dividend			Sold	11/12/18	M		
21. GOOG		None	M	T					
22. AXP	C	Dividend	M	T					
23. BOBE	E	Dividend			Sold	01/05/18	M	E	
24. FB		None			Sold	03/21/18	M	E	
25. MSFT	C	Dividend	N	T					
26. TYL		None	M	T					
27. MMP		None	K	T					
28. AMZN		None	N	T					
29. DHR	B	Dividend	M	T					
30. HCSG		None			Sold	02/07/18	M	G	
31. V	B	Dividend	N	T					
32. CAT	B	Dividend			Buy	01/26/18	K		
33.					Buy	04/17/18	L		
34.					Sold	10/23/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. FLT		None			Buy	02/16/18	M		
36.					Sold	12/11/18	M		
37. INXN		None			Buy	01/26/18	M		
38.					Sold	12/11/18	M		
39. RPD		None	M	T	Buy	04/18/18	L		
40. WDAY		None	M	T	Buy	02/16/18	M		
41. JNJ	A	Dividend	K	T					
42. RP (X)		None	M	T					
43. XOM	E	Dividend	N	T					
44. BCG TR B8711 (H)									
45. MRK	A	Dividend	K	T					
46. RDS A	B	Dividend	K	T					
47. CHD	A	Dividend	K	T	Sold (part)	06/01/18	J	C	
48. ECL	A	Dividend	K	T	Sold (part)	06/20/18	K	D	
49.					Buy (add'l)	07/16/18	K		
50.					Buy (add'l)	08/03/18	J		
51. JCOM		None			Sold	01/19/18	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. RMAX		None			Sold	01/26/18	K	D	
53. VCSH	A	Dividend	K	T	Sold (part)	04/18/18	K		
54. CAT	A	Dividend			Sold (part)	06/20/18	K	C	
55.					Buy (add'l)	07/16/18	J		
56.					Buy (add'l)	08/03/18	J		
57.					Sold	10/23/18	K		
58. MSFT	A	Dividend	K	T					
59. ALRM		None	K	T	Buy	06/20/18	J		
60.					Buy (add'l)	07/16/18	J		
61.					Buy (add'l)	08/03/18	J		
62. AXP	A	Dividend	K	T	Buy	06/20/18	K		
63.					Sold (part)	06/26/18	J	A	
64.					Buy (add'l)	07/16/18	J		
65.					Buy (add'l)	08/03/18	J		
66.					Sold (part)	09/13/18	J	A	
67.					Buy (add'l)	11/14/18	J		
68. CONE		None	K	T	Buy	10/26/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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69. DHR	A	Dividend	K	T	Buy	06/20/18	K		
70.					Buy (add'l)	07/16/18	J		
71.					Buy (add'l)	08/03/18	J		
72.					Buy (add'l)	09/25/18	J		
73. DLB	A	Dividend	K	T	Buy	06/20/18	J		
74.					Buy (add'l)	07/16/18	J		
75.					Buy (add'l)	08/03/18	J		
76.					Buy (add'l)	10/01/18	J		
77. GSHD		None	K	T	Buy	06/20/18	J		
78.					Buy (add'l)	07/16/18	J		
79.					Buy (add'l)	08/03/18	J		
80.					Buy (add'l)	10/26/18	J		
81. RPD		None	K	T	Buy	04/18/18	J		
82.					Buy (add'l)	06/20/18	J		
83.					Buy (add'l)	07/16/18	J		
84.					Buy (add'l)	08/03/18	J		
85. RHT		None	K	T	Buy	06/20/18	K		

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86.					Buy (add'l)	07/16/18	J		
87.					Buy (add'l)	08/03/18	J		
88. ROL	A	Dividend	K	T	Buy	06/20/18	K		
89.					Buy (add'l)	07/16/18	J		
90.					Buy (add'l)	08/03/18	J		
91.					Buy (add'l)	09/25/18	J		
92. SYK	A	Dividend	K	T	Buy	06/20/18	J		
93.					Buy (add'l)	07/16/18	J		
94.					Buy (add'l)	08/03/18	J		
95.					Sold (part)	09/13/18	J		
96. VEEV		None	K	T	Buy	06/20/18	K		
97.					Buy (add'l)	07/16/18	J		
98.					Buy (add'l)	08/03/18	J		
99. VRSK		None	K	T	Buy (add'l)	06/20/18	K		
100.					Buy (add'l)	07/16/18	J		
101.					Buy (add'l)	08/03/18	J		
102. WDAY		None	K	T	Buy	06/20/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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103.					Buy (add'l)	07/16/18	J		
104.					Buy (add'l)	08/03/18	J		
105. ZTS		None	K	T	Buy (add'l)	06/20/18	K		
106.					Buy (add'l)	07/16/18	J		
107.					Buy (add'l)	08/03/18	J		
108. ALLY FIN 02005NAW0	A	Int./Div.	L	T	Buy	06/21/18	M		
109. TENET 87243QAB2	A	Int./Div.	M	T	Buy	06/21/18	M		
110. ANIXTER 035287AE1	A	Int./Div.	L	T	Buy	06/21/18	M		
111. TREEHOUSE 89469AAB0	A	Int./Div.	L	T	Buy	06/21/18	M		
112. ALGN		None			Buy	06/20/18	J		
113.					Buy (add'l)	07/16/18	J		
114.					Buy (add'l)	08/03/18	J		
115.					Sold	12/10/18	K		
116. ADS		None			Buy	06/20/18	J		
117.					Buy (add'l)	07/16/18	J		
118.					Sold	08/03/18	K		
119. BDX	A	Dividend			Buy	07/13/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ginsburg, Douglas H.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	07/16/18	J		
121.					Buy (add'l)	08/03/18	J		
122.					Sold	12/10/18	K		
123. KMX		None			Buy	06/20/18	K		
124.					Buy (add'l)	07/16/18	J		
125.					Buy (add'l)	08/03/18	J		
126.					Buy (add'l)	09/25/18	J		
127.					Sold	12/10/18	K		
128. DPLO		None			Buy	06/20/18	J		
129.					Buy (add'l)	07/16/18	J		
130.					Buy (add'l)	08/03/18	J		
131.					Sold (part)	10/01/18	J		
132.					Sold	11/14/18	J		
133. FLT		None			Buy	06/20/18	K		
134.					Buy (add'l)	07/16/18	J		
135.					Buy (add'l)	08/03/18	J		
136.					Sold (part)	09/13/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	12/10/18	K		
138. FND		None			Buy	06/20/18	J		
139.					Buy (add'l)	07/16/18	J		
140.					Buy (add'l)	08/03/18	J		
141.					Sold	09/25/18	K		
142. GRUB		None			Buy	06/20/18	J		
143.					Buy (add'l)	07/16/18	J		
144.					Buy (add'l)	08/03/18	J		
145.					Sold	12/10/18	K		
146. GWRE		None			Buy	06/26/18	J		
147.					Buy (add'l)	07/16/18	J		
148.					Buy (add'l)	08/03/18	J		
149.					Sold	12/10/18	K		
150. IDXX		None			Buy	06/20/18	J		
151.					Buy (add'l)	07/16/18	J		
152.					Buy (add'l)	08/03/18	J		
153.					Sold	12/10/18	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. INGN		None			Buy	06/20/18	K		
155.					Buy (add'l)	07/16/18	J		
156.					Buy (add'l)	08/03/18	J		
157.					Buy (add'l)	10/26/18	J		
158.					Sold	12/10/18	K		
159. INXN		None			Buy	01/26/18	J		
160.					Buy (add'l)	04/17/18	J		
161.					Buy (add'l)	08/03/18	J		
162.					Sold	10/26/18	K		
163. JBHT	A	Dividend			Buy	06/20/18	K		
164.					Sold (part)	06/26/18	J		
165.					Buy (add'l)	08/03/18	J		
166.					Sold (part)	10/26/18	J		
167.					Sold	12/10/18	K		
168. KMPR	A	Dividend			Buy	06/01/18	J		
169.					Buy (add'l)	06/20/18	J		
170.					Buy (add'l)	07/13/18	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	07/16/18	K		
172.					Buy (add'l)	08/03/18	J		
173.					Sold (part)	11/14/18	J		
174.					Sold	12/10/18	K		
175. LULU		None			Buy	06/20/18	K		
176.					Buy (add'l)	07/16/18	J		
177.					Buy (add'l)	08/03/18	J		
178.					Sold	12/11/18	K		
179. KRNT		None			Buy	09/12/18	K		
180.					Sold	12/11/18	K		
181. MSM	A	Dividend			Buy	01/26/18	K		
182.					Sold (part)	06/20/18	J		
183.					Sold	07/31/18	J		
184. NOVT		None			Buy	07/31/18	K		
185.					Buy (add'l)	08/03/18	K		
186.					Sold (part)	10/26/18	J		
187.					Sold	12/10/18	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. NTNX		None			Buy	01/17/18	J		
189.					Sold (part)	06/20/18	J	B	
190.					Buy (add'l)	07/16/18	J		
191.					Buy (add'l)	08/03/18	J		
192.					Sold	12/10/18	K		
193. PF	A	Dividend			Buy	01/09/18	J		
194.					Buy (add'l)	06/20/18	J		
195.					Sold (part)	07/13/18	K	A	
196.					Buy (add'l)	07/16/18	J		
197.					Sold	07/31/18	J	A	
198. POOL	A	Dividend			Buy	06/20/18	J		
199.					Buy (add'l)	07/16/18	J		
200.					Buy (add'l)	08/03/18	J		
201.					Sold	12/10/18	K		
202. PSTG		None			Buy	06/20/18	K		
203.					Buy (add'l)	07/16/18	J		
204.					Buy (add'l)	08/03/18	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	09/12/18	J		
206.					Sold	12/10/18	K		
207. RP		None			Buy	06/20/18	K		
208.					Buy (add'l)	07/16/18	K		
209.					Buy (add'l)	08/03/18	J		
210.					Sold (part)	10/26/18	J		
211.					Sold	12/10/18	K		
212. SITE		None			Buy	06/20/18	K		
213.					Buy (add'l)	07/16/18	J		
214.					Buy (add'l)	08/03/18	J		
215.					Sold	12/10/18	K		
216. SIX	A	Dividend			Buy	06/20/18	K		
217.					Buy (add'l)	07/16/18	J		
218.					Buy (add'l)	08/03/18	J		
219.					Sold	12/10/18	K		
220. TRU	A	Dividend			Buy	06/20/18	J		
221.					Buy (add'l)	07/16/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222.					Buy (add'l)	08/03/18	J		
223.					Sold	12/10/18	K		
224. TYL		None			Buy	06/20/18	K		
225.					Buy (add'l)	07/16/18	J		
226.					Buy (add'l)	08/03/18	J		
227.					Sold	12/10/18	K		
228. MTN	A	Dividend			Buy	06/20/18	J		
229.					Buy (add'l)	07/16/18	J		
230.					Buy (add'l)	08/03/18	J		
231.					Buy (add'l)	11/14/18	J		
232.					Sold	12/10/18	K		
233. XPO		None			Buy	06/20/18	J		
234.					Buy (add'l)	07/16/18	J		
235.					Buy (add'l)	08/03/18	J		
236.					Sold	12/10/18	J		
237. YETI		None			Buy	10/26/18	J		
238.					Buy (add'l)	11/14/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold	12/10/18	J		
240. DSG IRA B6657 (H)									
241. MO	C	Dividend	L	T	Sold (part)	03/27/18	J	D	
242. PM	D	Dividend	L	T					
243. PEP	B	Dividend	K	T					
244. SYK	A	Dividend	K	T					
245. MRCC	B	Dividend	K	T					
246. PG	A	Dividend			Sold	01/26/18	K	A	
247. ALGN		None			Sold	01/26/18	L	F	
248. AMZN		None	L	T					
249. RMAX		None			Sold	01/26/18	K	C	
250. SBUX	A	Dividend			Sold	07/11/18	J		
251. ALRM		None	K	T					
252. GOOG		None	J	T					
253. AXP	A	Dividend	K	T					
254. CAT	A	Dividend			Buy (add'l)	01/26/18	J		
255.					Sold	10/23/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

01/03/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. KRNT		None			Sold	02/13/18	J		
257. MSFT	A	Dividend	K	T					
258. RP		None	K	T					
259. WDAY		None	K	T					
260. BDX	A	Dividend	J	T	Buy	09/26/18	J		
261. CONE	A	Dividend	K	T	Buy	01/26/18	K		
262. FLT		None	J	T	Buy	02/13/18	J		
263. GSHD		None	J	T	Buy	07/20/18	J		
264. JBHT	A	Dividend	J	T	Buy	01/26/18	K		
265. INXN		None	J	T	Buy	02/13/18	J		
266. KMPR	A	Dividend	J	T	Buy	07/20/18	J		
267. ROL	A	Dividend	J	T	Buy	09/26/18	J		
268. ZTS	A	Dividend	K	T	Buy	01/26/18	K		
269. IEMG	A	Dividend			Buy	01/26/18	K		
270.					Sold	09/26/18	K		
271. MSM	A	Dividend			Buy	01/26/18	K		
272.					Sold	07/20/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

01/03/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. PSTG		None			Buy	07/11/18	J		
274.					Sold	12/10/18	J		
275. DSG IRA 3696 (H)									
276. FDRXX		None	J	T					
277. AMZN		None	J	T	Buy	02/08/18	J		
278. DSG TR UW 3902 (H)									
279. BP	B	Dividend	K	T					
280. KO	A	Dividend	K	T					
281. ESRX		None			Sold (part)	03/15/18	L	E	
282.					Merged (with line 314)	12/24/18	J	C	
283. MON	A	Dividend			Sold	06/07/18	K	D	
284. SLB	B	Dividend	K	T					
285. DUK	B	Dividend	L	T					
286. EMR	B	Dividend	L	T					
287. ETE		None			Merged (with line 316)	10/19/18	K		
288. NEE	B	Dividend	K	T					
289. MCD	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

01/03/2020

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

290. ACN	B	Dividend	L	T					
291. CVX	B	Dividend	L	T					
292. CBSH	A	Dividend	K	T	Distributed (part)	12/17/18	J		
293. EEP		None			Merged (with line 315)	12/20/18	J		
294. ETP	B	Distribution			Merged (with line 316)	10/19/18	K		
295. PAA	A	Distribution	J	T					
296. BA	B	Dividend	L	T					
297. HDSIX	B	Dividend	K	T					
298. Wells Fargo Bank deposit sweep (renamed FZBXX)	B	Interest	N	T					
299. NKE	A	Dividend	L	T					
300. AMT	A	Dividend	K	T					
301. VNQ	A	Dividend	K	T					
302. NSC	A	Dividend	K	T					
303. HDV	C	Dividend	L	T					
304. CMCSA	A	Dividend	J	T					
305. CB	A	Dividend	K	T					
306. LOW	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

307. MDT	A	Dividend	K	T					
308. XLK	A	Dividend	L	T					
309. XLF	A	Dividend	K	T					
310. SYK	A	Dividend	K	T					
311. GOOG		None	L	T					
312. T	A	Dividend			Sold	08/22/18	J		
313. DGRO	A	Dividend	J	T					
314. CI		None	J	T					
315. ENB		None	J	T					
316. ET	B	Distribution	L	T					
317. ICSH	B	Dividend	M	T	Buy	08/22/18	M		
318. BSV	C	Dividend			Buy	01/08/18	M		
319.					Sold	12/11/18	M		
320. VCSH	C	Dividend			Buy	01/08/18	M		
321.					Sold	08/22/18	M		
322. HON	A	Dividend			Buy	02/08/18	J		
323.					Sold	12/11/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. IEFA	A	Dividend			Buy	03/23/18	K		
325.					Sold	12/11/18	K		
326. IJR	A	Dividend			Buy	03/23/18	K		
327.					Sold	12/11/18	K		
328. DHG BLAIR IRA 6813 (H)									
329. FDRXX		None	J	T					
330. AMZN		None	M	T					
331. DHR	A	Dividend	K	T					
332. ECL	A	Dividend	K	T					
333. IDXX		None	K	T					
334. SYK	A	Dividend	K	T					
335. V	A	Dividend	L	T					
336. PG	A	Dividend			Sold	03/19/18	K		
337. ALGN		None	K	T	Sold (part)	01/29/18	K	E	
338.					Sold (part)	12/04/18	J	D	
339.					Sold (part)	12/21/18	J	C	
340. RMAX	A	Dividend			Sold	09/10/18	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ginsburg, Douglas H.

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01/03/2020

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. GOOG		None	K	T					
342. ALRM		None			Sold	01/29/18	K		
343. AXP	A	Dividend	K	T					
344. CAT	A	Dividend			Sold	10/23/18	K	A	
345. FB		None			Sold	03/20/18	K	D	
346. IRM	B	Dividend			Sold	06/18/18	K		
347. KRNT		None			Sold	01/29/18	K		
348. MSFT	A	Dividend	L	T					
349. MRCC	A	Dividend			Sold	03/19/18	K		
350. RP		None	K	T					
351. TYL		None	K	T					
352. VEEV		None	K	T					
353. WDAY		None	L	T					
354. WMGI		None			Sold	01/29/18	K		
355. ZTS	A	Dividend	K	T					
356. CSCO	A	Dividend	K	T	Buy	03/19/18	K		
357.					Buy (add'l)	04/17/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

01/03/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. DLB	A	Dividend	K	T	Buy	03/19/18	K		
359.					Buy (add'l)	09/10/18	J		
360. GRUB		None	J	T	Buy	09/10/18	K		
361. INXN		None	K	T	Buy	01/29/18	K		
362.					Buy (add'l)	04/17/18	J		
363.					Sold (part)	10/16/18	J		
364. RPD		None	K	T	Buy	04/18/18	K		
365. ROL		None	K	T	Buy	12/04/18	K		
366. SIX	A	Dividend	K	T	Buy	06/18/18	K		
367. VZ		None	K	T	Buy	12/04/18	K		
368. IEMG	A	Dividend			Buy	01/29/18	K		
369.					Sold	09/10/18	K		
370. JBHT	A	Dividend			Buy	01/29/18	K		
371.					Sold	12/04/18	K		
372. MSM	A	Dividend			Buy	01/29/18	K		
373.					Sold	07/19/18	K		
374. PSTG		None			Buy	07/19/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Buy (add'l)	09/10/18	K		
376.					Sold	12/10/18	K		
377. FUTURE OPTIONS (SEE VIII)		None	K	T	Buy		K		
378. FUTURE OPTIONS (SEE VIII)		None	K	T	Sold (part)		K	A	
379. ZIVO		None	J	T	Buy (add'l)	03/07/18	J		
380.		None	J	T	Buy (add'l)	03/23/18	J		
381.		None	J	T	Buy (add'l)	10/04/18	J		
382.		None	J	T	Buy (add'l)	10/10/18	J		
383.		None	J	T	Buy (add'l)	10/17/18	J		
384.			J	T	Buy (add'l)	11/14/18	J		
385. RAD		None	J	T					
386. MDLZ	A	Dividend			Sold	11/05/18	J	A	
387. JNBSX	A	Dividend	J	T	Buy	02/12/18	J		
388.					Buy (add'l)	05/13/18	J		
389. AGDYX	A	Dividend	J	T	Buy	02/12/18	J		
390.					Buy (add'l)	05/03/18	J		
391. HLIPX	A	Dividend	J	T	Buy	02/12/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Buy (add'l)	05/03/18	J		
393. IJH	A	Dividend	K	T	Buy	02/12/18	J		
394.					Buy (add'l)	03/07/18	J		
395.					Buy (add'l)	03/19/18	J		
396.					Buy (add'l)	05/04/18	J		
397.					Buy (add'l)	12/03/18	J		
398. IJR	A	Dividend	K	T	Buy	02/12/18	J		
399.					Buy (add'l)	03/07/18	J		
400.					Buy (add'l)	03/19/18	J		
401.					Buy (add'l)	03/23/18	J		
402.					Buy (add'l)	12/03/18	J		
403. IVV	A	Dividend	L	T	Buy	02/12/18	K		
404.					Buy (add'l)	03/07/18	K		
405.					Buy (add'l)	05/03/18	J		
406.					Buy (add'l)	12/07/18	J		
407. IEMG	A	Dividend	K	T	Buy	02/12/18	J		
408.					Buy (add'l)	03/07/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Ginsburg, Douglas H.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Buy (add'l)	03/19/18	J		
410.					Buy (add'l)	05/03/18	J		
411.					Sold (part)	07/11/18	K		
412.					Buy (add'l)	12/03/18	K		
413. DGRO	A	Dividend	L	T	Buy	02/12/18	K		
414.					Buy (add'l)	05/03/18	J		
415.					Buy (add'l)	10/26/18	J		
416.					Buy (add'l)	12/03/18	J		
417.					Buy (add'l)	12/07/18	K		
418. IEFA	A	Dividend	K	T	Buy	02/12/18	J		
419.					Buy (add'l)	03/07/18	J		
420.					Buy (add'l)	03/19/18	J		
421.					Buy (add'l)	03/28/18	J		
422.					Sold (part)	07/11/18	K		
423.					Buy (add'l)	12/03/18	K		
424. ICSH	A	Dividend	K	T	Buy	08/20/18	J		
425.					Buy (add'l)	12/07/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

426. VNQ	A	Dividend	J	T	Buy	02/12/18	J		
427.					Buy (add'l)	05/03/18	J		
428. LRGF	A	Dividend			Buy	03/19/18	K		
429.					Sold	12/07/18	K		
430. QPRMQ	A	Interest	J	T	Buy	06/27/18	J		
431. VCSH	A	Dividend			Buy	02/12/18	J		
432.					Buy (add'l)	05/03/18	J		
433.					Sold	08/20/18	J	A	
434. VEA	A	Dividend			Buy	07/11/18	K		
435.					Sold	12/03/18	K		
436. VWO	A	Dividend			Buy	07/11/18	K		
437.					Sold	12/03/18	K		
438. DSG-DAF		None	M	T					
439. DHG-DAF		None	L	T					
440. DMS-DAF		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ginsburg, Douglas H.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VIII, #378 & #379 Futures Options - Discretionary trading account and the trader makes all the transations; I do not have the ability to make a transaction. All investments are future contracts, e.g. on metals, currancies, and comodities such as orange juice;no corporate securities involved. I have not reported a date because there are trades made every business day of the year.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Douglas H. Ginsburg**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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