

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Glenn, Martin	2. Court or Organization U.S. Bankruptcy Court, SDNY	3. Date of Report 05/28/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address One Bowling Green, Room 528 New York, NY 10004-1408		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor of Law	Columbia Law School
2. Contributing Author	Collier on Bankruptcy (Mathew Bender)
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2005	O'Melveny & Myers LLP partnership retirement plan (pursuant to Partnership Agreement) with former law firm -- no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	O'Melveny & Myers LLP retirement income	\$177,420.00
2. 2018	Columbia Law School	\$27,764.00
3. 2018	Mathew Bender (Collier)	\$5,000.00
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. INSOL International	3/20-23/ 2018	Bueno Aires, Argentina	Speak at Seminar	Transportation, Food, Hotel
2. International Insolvency Institute	5/15-18/2018	Paris, France	Speak at Seminar	Transportation, Food, Hotel
3. U.S. Commerce Department	7/10-11/2018	Tunis, Tunisia	Teach Tunisia Judges about insolvency law	Transportation, Food, Hotel
4. Singapore Law Society	7/23-26/2018	Singapore	Speak at Seminar	Transportation, Food, Hotel
5. U.S. Commerce Department	9/27-29/2018	Casablanca, Morocco	Teach Moroccan Judges about insolvency law	Transportation, Food, Hotel

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6.	Bechmark Chambers International	10/17-20/2019	Shenzhen, China	Speak at Seminar	Transportation, Food, Hotel
7.	New York State Bar Association	10/24-26/2018	Montreal, Canada	Speak at Seminar	Transportation, Food, Hotel

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Morgan Stanley Brokerage Account									
2. Amgen Inc. common	C	Dividend	M	T					
3. Cisco Systems Inc. common	B	Dividend	L	T					
4. Clearbridge Value Trust C	B	Dividend	M	T	Buy	12/12/18	L		
5. Energizer Holdings common	A	Dividend	K	T					
6. Edgewell Personal Care (formerly part of Energizer)	A	Dividend	K	T					
7. Franklin Rising Dividends C	A	Dividend	L	T					
8. IBM Corp common	B	Dividend	K	T					
9. KLA-Tencor Corp. common	C	Dividend	L	T					
10. MFS Utilities Fund CL C	B	Dividend	L	T					
11. Proctor & Gamble Co. common	A	Dividend	K	T					
12. Target Corp. common	B	Dividend	K	T					
13. Olstein All Cap Value Fund Class C	C	Dividend	O	T	Buy (add'l)	12/18/18	L		
14. Pioneer High Yield FD CL C	D	Dividend	N	T					
15. Invesco (formerly Van Kampen) Equity & Income Fund Class C	B	Dividend	M	T					
16. Western Asset High Income Opportunity FD	A	Dividend	J	T					
17. Western Asset Municipals A	B	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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18. UTS First Trust Dow Target	E	Int./Div.			Sold	11/14/18	O	G	
19. UTS First Trust Dow Target	B	Int./Div.	O	T	Buy	11/19/18	O		
20. NYC GEN OBL BONDS	E	Interest	O	T					
21. NYS ENVRMTL FAC CRP BONDS	B	Interest	M	T					
22. NYC TRANSITIONAL FIN AUTH BOND	D	Interest	M	T					
23. NYC Municipal Water Finance Authority	C	Interest	M	T					
24. PORT AUTH NY & NJ CONS	D	Interest	M	T					
25. METROPOLITAN TSPTN AU NY BOND	A	Interest	L	T					
26. NYS ENV FACS CRP BONDS	C	Interest	M	T					
27. TRIBOROUGH BRDG & TUN AUTH NY BONDS	B	Interest	M	T	Redeemed (part)	11/15/18	L	A	
28. TRIBOROUGH BRDG & TUN AUTH NY BONDS	B	Interest	M	T	Buy (add'l)	8/24/18	M		
29. NYS DORM AU REV MEM SLOAN BOND	C	Interest	L	T					
30. NYS DORM AUTH BDS	B	Interest	L	T					
31. NYS DORM AUTH BDS	B	Interest	M	T					
32. NYS NYU DORM AUTH REV BDS	A	Interest	M	T					
33. NYS Thruway Auth Genl Rev	C	Interest	L	T					
34. NYS Mtg Agency	A	Interest	M	T					

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35. NYS GO	B	Interest	K	T					
36. New York N OID	A	Interest	M	T					
37. NYS Housing Finance Agency Affordable Rev-D	C	Interest	K	T					
38. NYS Housing Finance Agency	A	Interest	L	T	Buy	05/10/18	L		
39. Morgan Stanley Bank (formerly Citibank)	D	Interest	O	T					
40. Fidelity High Income Fund	A	Dividend	J	T					
41. Citibank Bank Accounts	A	Interest	L	T					
42.									
43. O & M Investment Partners LP/Michael T. Jackson Investments	B	Int./Div.	M	T					
44.									
45.									
46. AXA Equitable Flexible Premium Variable Life--Policy									
47. AXA Large Cap Value Managed Volatility	A	Dividend	J	T					
48. AXA Guaranteed Interest	A	Interest	J	T					
49. AXA Multimanager Aggressive Equity	A	Dividend	K	T					
50.									
51.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52. Martin Glenn Morgan Stanley IRA Account # 1									
53. Legg Mason Ptnrs (Clearbridge) Aggressive Growth Fund Class A	C	Dividend	O	T					
54. Legg Mason (Clearbridge) Ptnrs Capital & Income Fund Class A	E	Dividend	P1	T					
55. Legg Mason (Clearbridge) Appreciation Fund Class A	D	Dividend	P1	T					
56. Legg Mason (Clearbridge) Value Trust Inc.	B	Dividend	N	T	Distributed (part)	12/12/18	L	A	
57. MFS Utilities Fund CL C	B	Dividend	L	T					
58. Olstein All CAP Value Fund Class C		None	O	T	Distributed (part)	12/12/18	L	A	
59. Morgan Stanley Bank (formerly Citibank)	A	Interest	J	T	Distributed (part)	12/13/18	L	A	
60. JP Morgan Chase Certificate of deposit	A	Interest	L	T					
61.									
62. Andrea Glenn Morgan Stanley IRA Account									
63. Legg Mason (Clearbridge) Capital & Income Fund CL C	A	Dividend	K	T					
64. Lord Abbett Balanced Strategy Fund CL C	A	Dividend	J	T					
65. Olstein All Cap Value Fund CL C		None	J	T	Distributed (part)	12/12/18	J	A	
66. Morgan Stanley Bank (formerly)	A	Interest	J	T					
67. First Eagle Global Fund	A	Dividend	J	T					
68. MFS Utilities Fund CL C	A	Dividend	J	T					

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69.									
70. Morgan Stanley 529 College Savings Plans									
71. FBO [REDACTED] - JP Morgan 529 Aggr AB PTF A	A	Dividend	K	T	Buy	12/19/18	K		
72. FBO [REDACTED] - JP Morgan 529 Aggr AB PTF A	A	Dividend	K	T	Buy	12/19/18	K		
73. FBO [REDACTED] - JP Morgan 529 Aggr AB PTF A	A	Dividend	K	T	Buy	12/19/18	K		
74. FBO [REDACTED] - JP Morgan 529 Aggr AB PTF A	A	Dividend	K	T	Buy	12/19/18	K		
75. FBO [REDACTED] - JP Morgan 529 Mod GW AB PTF A	A	Dividend	K	T	Buy	12/19/18	K		
76. FBO [REDACTED] - JP Morgan 529 Mod AB PTF A	A	Dividend	K	T	Buy	12/19/18	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

With respect to the entry in Part VII, line 43, I hold a limited partnership interest in a fund that invests in publicly traded securities. I have never received any distributions from this limited partnership. To the extent that the fund receives income, the value of my investment increases, and the value at year end is reflected in column C(1). For income tax reporting purposes, the K-1 return I receive reflects income (or losses) derived from the following sources: ordinary dividends, interest income, short-term capital gains (or losses) and long-term capital gains (or losses). In line 43, column B(2), I have selected "Int/Div" as the income type, but it should be noted that income reported for tax purposes also includes income (or losses) from short and long term capital gains (or losses). My entry in line 43, column B(1) reflects my percentage share for income (or losses) reported from all categories of income as explained in this paragraph.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Martin Glenn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544