

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Holmes, Barbara D.	2. Court or Organization U.S. District Court	3. Date of Report 05/09/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge (Full Time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S Courthouse 801 Broadway, Room 756 Nashville, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Executor	Family Estate # 1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Metropolitan Nashville Davidson County - Salary
2. 2018	Powers Management - Salary
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank	Credit Card	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. U.S. Savings Bond	A	Interest	J	T					
2. Mass Mutual Enhanced Whole Life Policy	A	Dividend	K	T					
3. Regions Money Market	A	Interest	L	T					
4. US Community Credit Union Various Cash Account	A	Interest	M	T					
5. Pershing LLC IRA (Cash)	A	Interest	J	T					
6. -iShares TR Dow Jones US Technology ETF (IYW)	A	Dividend	J	T	Buy	07/26/18	J		
7. -First Trust ETF Dow Jones Internet Index (FDN)	A	Dividend	J	T	Buy	07/26/18	J		
8. -Amazon (AMZN)			J	T	Buy	10/26/18	J		
9. -Alphabet Inc Class A (GOOGL)			J	T	Buy	10/26/18	J		
10. -Facebook (FB)			J	T	Buy	07/26/18	J		
11. -First Trust UIT Target Global Dividend Leaders	B	Dividend	K	T	Buy	07/19/18	K		
12. -First Trust UIT S&P Dividend Aristocrats Target 25	B	Dividend	K	T	Buy	07/19/18	K		
13. -First Trust UIT Sabrient Dividend Opportunity	B	Dividend	K	T	Buy	07/19/18	K		
14. -iShares TR Core S&P Small Cap ETF (IJR)	A	Dividend	K	T					
15. -First Trust Large Cap Growth Alphadex (FTC)	A	Dividend	J	T					
16. -iShares Real Estate Fund (ICF)	A	Dividend	J	T					
17. -Vanguard Real Estate Fund ETF (VNQ)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Vanguard World Energy Fund ETF (VDE)	B	Dividend	K	T					
19. -iShares Core Aggregate Bond Fund ETF (AGG)	C	Dividend			Sold	07/19/18	L	A	
20. -iShares US Investment Grade Bond Fund ETF (LQD)	C	Dividend			Sold	07/19/18	L	A	
21. -iShares Core High Dividend Fund (HDV)	C	Dividend	K	T					
22. -Vanguard International Equity Emerging Markets ETF (VWO)	A	Dividend	K	T					
23. -Vanguard Index Growth ETF (VUG)	C	Dividend	M	T					
24. -Vanguard Index Value ETF (VTI)	C	Dividend	L	T					
25. Nationwide Variable Annuity (H)									
26. -Fidelity VIP Free 2010 Mutual Fund	B	Dividend	L	T					
27. -NW Cardinal Managed Growth Mutual Fund	B	Dividend	L	T					
28. -NW Indvest Managed Growth Mutual Fund	B	Dividend	L	T					
29. -NW Indvest Managed Growth Inc II Mutual Fund	B	Dividend	L	T					
30.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part I, I listed being an Executor to Family Estate #1. The family estate was not established by anyone in my household. In addition, there is no one in my household who receives any interest or income from the estate.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Barbara D. Holmes**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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