

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gottschall, Joan B.	2. Court or Organization U.S. District Court, NDIL	3. Date of Report 05/09/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge--Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Room 2356 219 South Dearborn Street Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	Visiting Committee to the Divinity School, University of Chicago
2.	Board Member	Justice for Pro Se's (not-for-profit)
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Wellington Fund Symbol VWELX (Symbol Change)	C	Dividend	L	T	Sold (part)	12/04/18	J		
2. Vanguard Wellington Fund Symbol VWENX	B	Dividend	L	T	Sold (part)	12/20/18	J		
3. Vanguard Wellesley Fund Symbol VWINX	C	Dividend	L	T	Sold (part)	12/04/18	J		
4. Vanguard Wellesley Fund Symbol VWIAX (See Part VI, No. 1)	B	Dividend	L	T	Sold (part)	12/20/18	J		
5. Vanguard 500 Index Fund Symbol VFIAX	A	Dividend	K	T					
6. Vanguard 500 Index Fund Symbol VFIAX (Symbol Change)	C	Dividend	M	T	Sold (part)	12/20/18	J		
7. Vanguard Prime Money Mkt Fund Symbol VMMXX (Symbol/Name Chg)	A	Dividend	K	T	Sold (part)	12/20/18	J		
8. Vanguard Prime Portfolio Symbol VMRXX (Fund merged w/Line 7.) (Y)									
9. Fed Empl Credit Union Accts	A	Interest	K	T					
10. IBM C: 459200101	B	Dividend	K	T					
11. Intel C: 458140100	A	Dividend	K	T					
12. Waste Management C: 94106L109	A	Dividend	J	T					
13. CVS Caremark C: 126650100	A	Dividend	J	T					
14. Vanguard Capital Opportunity Fund Symbol VHCOX	A	Dividend	K	T	Sold (part)	12/20/18	J		
15. Dodge & Cox Fds Intl Stk Fd Symbol DODFX C: 256206103	A	Dividend	J	T					
16. American Fund Capital Income Bldr Symbol CAIFX C: 140194101	C	Int./Div.	M	T					
17. American Income Fund Symbol AMEFX C: 453320822	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. American Balanced Fund Symbol AMBFX C: 024071821	D	Int./Div.	N	T	Buy (add'l)	10/29/18	L		
19. American Mut Fund Symbol AMRF C: 027681824	B	Int./Div.	L	T					
20. Capital World Growth Inc. Fund Symbol WGIFX C: 140542828	C	Int./Div.	M	T					
21. Wintrust Financial Corp. Accounts (*See Part VI, No. 1)	B	Interest	M	T					
22. Eanes TX Indpt Sch Dist C: 270083-ZR-2	B	Interest	L	T					
23. Edinburg TX Cons C: 280785-QF-6	A	Interest			Sold	02/15/18	J		
24. Fishers IN Redev Auth C: 33803T-JR-8	A	Interest	L	T					
25. Henrico Cnty VA Wtr & Swr C: 426170-JW-7	B	Interest	L	T					
26. Nextera Energy C: 65339K-10-0	B	Interest	K	T					
27. South Dakota Conservancy C: 837545-HN-5 (Y)									
28. South Dakota Conservancy C: 837545-MG-4 (X)	B	Interest	L	T					
29. South Dakota Conservancy C: 837545-MC-3 (X)	A	Interest	J	T					
30. Alaska Mun Bd Bk C: 01179R-QM-8	A	Interest			Sold	06/01/18	J		
31. Baldwin Cnty AL Wts C: 057845-MS-5	A	Interest			Sold	05/01/18	J		
32. California St Dpt Wtr C: 13066Y-TJ-8	A	Interest			Sold	05/01/18	J		
33. California St Dpt Wtr C: 13066Y-TE-9	A	Interest			Sold	05/01/18	J		
34. California St Dpt Wtr C: 13066Y-SY-6	A	Interest			Sold	05/01/18	J		

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35. California St Prfd Var C: 13063C-7H-7	A	Interest			Sold	03/01/18	J		
36. California St Dpt Wtr C: 13066E-WA-7	A	Interest			Sold	06/01/18	J		
37. Central Wash Univ Sys C: 155839-CU-5	A	Interest			Sold	05/01/18	J		
38. Charlotte Mecklenburg C: 160853-MP-9	A	Interest			Sold	01/16/18	J		
39. Chesterfield Twp NJ Sch C: 166519-BR-8	A	Interest			Sold	02/01/18	J		
40. Christian Cnty KY Hosp C: 170692-CJ-4	A	Interest			Sold	02/01/18	J		
41. Cloverleaf OH Loc Sch Dist C: 18925P-AA-6	A	Interest	J	T					
42. Colorado St Brd Governrs Univ C: 196707-GG-2	A	Interest			Sold	03/01/18	J		
43. Comal TX Indpt Sch Dist C: 199820-V8-1	A	Interest			Sold	02/01/18	J		
44. Conn St Gen Rev Rvlng C: 207737-FP-7	A	Interest	J	T					
45. Corpus Christi TX Util C: 220245-PS-7	A	Interest			Sold	07/16/18	J		
46. Crown Point IN Mlti Sch C: 228485-GY-8	A	Interest			Sold	07/16/18	J		
47. Downingtown PA Area School C: 261097-UK-3	A	Interest	J	T					
48. East Side Un High S/D CA C: 275282-CH-2	A	Interest	J	T					
49. El Paso County TX CTF C: 283497-YL-3	A	Interest			Sold	02/15/18	J		
50. Georgia St Ser BG/O C: 373384-GX-8	A	Interest			Sold	07/02/18	J		
51. Grove City PA C: 399540-DN-0	A	Interest	J	T					

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52. Hamilton Twp PA Mun Auth C: 408104-HR-1	A	Interest			Sold	09/04/18	J		
53. Hawaii St Prerefunded C: 419792-GZ-3	A	Interest			Sold	05/01/18	J		
54. Hawaii St Unrefunded C: 419792-HD-1	A	Interest			Sold	05/01/18	J		
55. Houghton-Portage Twp C: 441587-FK-3	A	Interest			Sold	05/01/18	J		
56. Idaho Hsg & Fin Assn C: 45129W-HH-6	A	Interest			Sold	07/16/18	J		
57. Kansas City MO Spl Oblig C: 485106-CK-2	A	Interest			Sold	04/02/18	J		
58. Lancaster PA C: 514328-FZ-1	A	Interest			Sold	04/16/18	J		
59. Las Vegas Vly NV Wtr C: 517845-DR-1	A	Interest	K	T					
60. Los Angeles CA Dpt Arpts C: 544435-B2-5	A	Interest			Sold	05/15/18	J		
61. Maryland St 1st Ser B C: 574192-8C-7	A	Interest	J	T					
62. Massachusetts Bay Transn C: 575577-NA-5	A	Interest			Sold	07/02/18	J		
63. Massachusetts St College C: 575832-RZ-5	A	Interest	J	T					
64. Massachusetts St Dev Fin C: 57584X-YQ-8	A	Interest			Sold	11/15/18	J		
65. Metropolitan Transn Auth NY C: 59259Y-B9-9	A	Interest			Sold	05/15/18	J		
66. Miami-Dade Cnty FL Wtr C: 59334D-FM-1	A	Interest			Sold	10/01/18	J		
67. Miamisburg OH City Sch Dist C: 593881-HS-7	B	Interest			Sold	12/03/18	J		
68. Minnesota St Prerefunded C: 604129-6E-1	A	Interest	J	T					

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69. Mobile AL Pub Edl Bldg C: 607202-CJ-5	A	Interest			Sold	03/01/18	J		
70. Montgomery TX Indpt C: 614121-SC-6	A	Interest			Sold	02/15/18	J		
71. NC Tpk Auth Triangle Expwy C: 65830R-AJ-8	A	Interest	J	T					
72. New Haven CT C: 645020-XY-9	A	Interest			Sold	03/01/18	J		
73. New Jersey Econ Dev C: 645918-SC-8	A	Interest			Sold	09/04/18	J		
74. New York NY City Trustnl C: 64971W-RK-8	A	Interest	J	T					
75. New York St Dorm Auth C: 649903-Q5-3	A	Interest			Sold	04/02/18	J		
76. New York St Urban Dev C: 650035-5D-6	A	Interest			Sold	03/14/18	J		
77. Ohio St Univ Gen Repts C: 677632-VP-3	A	Interest			Sold	12/03/18	J		
78. Oregon Hlth Sciences C: 685869-CP-2	A	Interest	J	T					
79. Pennsylvania St 2nd Ser C: 70914P-KK-7	A	Interest	J	T					
80. Pennsylvania St Tpk C: 709224-SY-6	A	Interest	J	T					
81. Pennsylvania St Tpk Comm C: 709221-QX-6	A	Interest			Sold	12/03/18	J		
82. Pennsylvania St Tpk Comm C: 709223-WS-6	A	Interest	J	T					
83. Pennsylvania St Tpk Comm C: 709224-VV-8	A	Interest	J	T					
84. RI St Health & Edl Bldg C: 762243-E6-6	A	Interest			Sold	05/15/18	K		
85. Sacramento Cnty CA Arpt C: 786107-JH-6	A	Interest			Sold	07/02/18	J		

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86. Santa Fe MN Gross Rcpts C: 802072-MB-1	A	Interest			Sold	06/01/18	J		
87. Sienna Plantn Mud No. 3 C: 82620T-LR-3	A	Interest			Sold	03/01/18	J		
88. Texas ST Prerefunded C: 882723-LF-4	A	Interest			Sold	04/02/18	J		
89. Texas St Unref Bal C: 882723-LM-9	A	Interest			Sold	04/02/18	J		
90. Texas St Unrefunded Bal C: 882723-LY-3	A	Interest			Sold	04/02/18	J		
91. Titus County TX Pass C: 888514-FK-7	A	Interest			Sold	03/01/18	J		
92. Triborough Brdg & Tunn C: 89602N-MN-1	A	Interest			Sold	05/15/18	J		
93. Tyler TX Indpt Sch Dist C: 902273-SQ-5	A	Interest			Sold	02/15/18	J		
94. University Houston TX C: 914301-7Y-6	A	Interest			Sold	02/15/18	J		
95. University NE Univ Revs C: 914641-G8-2	A	Interest			Sold	05/15/18	J		
96. Van Dyke MI Pub Schs C: 921067-GQ-1	A	Interest			Sold	05/01/18	J		
97. Wapakoneta OH City Sch C: 933747-CZ-8	A	Interest			Sold	06/01/18	J		
98. Washington St Univ Revs C: 940093-X4-4	A	Interest	J	T					
99. Wayland MI Un Sch Dist C: 944233-JV-8	A	Interest			Sold	05/01/18	J		
100. Wisconsin St Hlth & Edl Fac C: 97710B-BA-9	A	Interest			Sold	04/24/18	J		
101. Boston MA Ser A G/O C: 100853-FV-7	A	Interest	K	T	Buy	02/05/18	K		
102. Durango CO Sales & Use Tax C: 266463-ED-6	A	Interest	K	T	Buy	02/05/18	K		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Clearwater FL W&S Rev Ser C: 185328-HH-7	A	Interest	J	T	Buy	02/09/18	J		
104. TRI Cnty Met Transn Dist C: 89546R-HP-2	A	Interest	J	T	Buy	02/09/18	J		
105. Coppell TX Indpt Sch C: 217489-5P-4	A	Interest	J	T	Buy	02/12/18	J		
106. North TX Mun Wtr Dist C: 66283A-CL-8	A	Interest	J	T	Buy	05/11/18	J		
107. Fairfax Cnty VA Swr Rev C: 303867-GF-6	A	Interest	J	T	Buy	05/14/18	J		
108. Salt River PJ AZ Agric C: 79575D-B7-4	A	Interest	J	T	Buy	05/14/18	J		
109. Mobile AL Pub Edl Bldg C: 607202-DC-9	A	Interest	J	T	Buy	05/15/18	J		
110. Greenbrier Cnty WV Brd Ed Pub Sch C: 393658-CY-3	A	Interest	J	T	Buy	05/15/18	J		
111. Oregon St Dpt Admin Svcs C: 68608V-BN-3	A	Interest	J	T	Buy	05/15/18	J		
112. Hawaii St Dept Hawaiian Home C: 41980P-BG-6	A	Interest	J	T	Buy	05/16/18	J		
113. Houston TX Util Sys Rev C: 44244C-HV-2	A	Interest	J	T	Buy	05/16/18	J		
114. New Jersey HC Facs Fing C: 64580A-AT-8	A	Interest	J	T	Buy	05/18/18	J		
115. Houston TX Prerefunded C: 442331-M2-7	A	Interest	K	T	Buy	05/22/18	K		
116. Lower Co Riv Auth TX Rev C: 54811A-EK-5	A	Interest	J	T	Buy	05/24/18	J		
117. Pennsylvania St TPK Commn C: 709224-VV-8	A	Interest	J	T	Buy	05/24/18	J		
118. Pennsylvania St TPK Commn C: 709224-VU-0	A	Interest	J	T	Buy	05/24/18	J		
119. Pennsylvania St Commn TPK C: 709224-TM-1	A	Interest	J	T	Buy	05/24/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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Name of Person Reporting

Gottschall, Joan B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. New York St Dorm Auth C: 64990H-M3-1	A	Interest	J	T	Buy	05/29/18	J		
121. Anderson SC W&S Sys C: 034074-CD-2	A	Interest	J	T	Buy	06/04/18	J		
122. North Carolina St Grant C: 658268-BY-2	A	Interest	J	T	Buy	06/04/18	J		
123. Pennsylvania St TPK Comm C: 709224-QM-4	A	Interest	J	T	Buy	06/04/18	J		
124. Orange Cnty FL Sch Brd C: 684517-NS-0	A	Interest	J	T	Buy	06/04/18	J		
125. San Jacinto TX Cmnty C: 798025-HE-5	A	Interest	J	T	Buy	06/04/18	J		
126. Missouri St Hlth & EFA C: 60635H-W8-8	A	Interest	J	T	Buy	06/05/18	J		
127. State Pub Sch Bldg Auth C: 857322-6B-2	A	Interest	J	T	Buy	06/05/18	J		
128. Citizens Gas Util Dist C: 174702-FA-3	A	Interest	J	T	Buy	06/07/18	J		
129. Bettendorf IA Ser A G/O C: 087671-F4-0	A	Interest	J	T	Buy	06/08/18	J		
130. Pennsylvania St TPK C: 709223-ZM-6	A	Interest	J	T	Buy	06/12/18	J		
131. Houston TX Util Sys Rev C: 44244C-HV-2	A	Interest	J	T	Buy (add'l)	06/13/18	J		
132. South Carolina St Pub Svc Auth C: 837151-NR-6	A	Interest	J	T	Buy	06/13/18	J		
133. Lower CO Riv TX C: 54811A-MF-7	A	Interest	J	T	Buy	06/14/18	J		
134. Kingsport TN Ser B C: 496443-DK-5	A	Interest	J	T	Buy	06/15/18	J		
135. New York St Urban Dev C: 650035-5M-6	A	Interest	J	T	Buy	06/15/18	J		
136. New York St Dorm Auth C: 649905-UM-6	A	Interest	J	T	Buy	06/20/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Saint Charles Cnty MO C: 787642-W2-9	A	Interest	J	T	Buy	06/27/18	J		
138. Harrisburg Sch Dist C: 414834-NR-8	A	Interest	K	T	Buy	11/02/18	K		
139. Univ Pittsburgh of the Cmwth C: 91335V-HR-0	A	Interest	K	T	Buy	11/02/18	K		
140. Southwest High Ed Auth Inc TX C: 845040-HF-4	A	Interest	K	T	Buy	11/05/18	K		
141. Pennsylvania St TPK C: 709223-ZY-0	A	Interest	K	T	Buy	11/08/18	K		
142. Miami Dade Cnty FL Aviation C: 59333P-VY-1	A	Interest	J	T	Buy	11/14/18	J		
143. Opelika AL Utils Brd C: 683548-AP-6	A	Interest	J	T	Buy	11/15/18	J		
144. Pennsylvania St TPK C: 709221-RZ-0	A	Interest	J	T	Buy	11/26/18	J		
145. Oregon St Dpt Admin Svcs C: 68608V-CE-2	A	Interest	J	T	Buy	11/26/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Gottschall, Joan B.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. VII, Line 4 -- Vanguard Wellesley Fund was reported in 2017 as a one-line combined fund held by two different brokerage firms. For 2018, the Wellesley Fund is separated into two lines (Lines 3 and 4) due to each having different RMD withdrawal dates.
2. VII, Line 20 -- This is a "sweep" account in both my brokerage and IRA accounts in which money is held pending investment; money is constantly being put into and taken out of the account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joan B. Gottschall**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544