

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hall, Sarah A.	2. Court or Organization Bankruptcy Court - Western District of OK	3. Date of Report 05/10/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States Bankruptcy Court 215 Dean A. McGee, 9th Floor Oklahoma City, OK 73102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1 (See Part VII, lines 19-141)
2.	Director	Oklahoma Bar Association Bankruptcy Reorganization Committee
3.	Director	Federal Bar Association - Oklahoma City Chapter
4.	Director	The Historical Society for the United States District Court for the Western District of Oklahoma
5.	Member	Board of Visitors, University of Oklahoma Honors College

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2018

spouse (self-employed lawyer) professional corporation - salary and member distribution - K-1

2. 2018

National Cooperative Refinery Association - royalty

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley Smith Barney Bank Deposit Program		None	J	T					
2. LL&E Royalty Trust		None	J	T					
3. Massachusetts Mutual Limited Pay Whole Life Policy	A	Dividend	K	T					
4. Massachusetts Mutual Variable Life Select Policy									
5. - The Oppenheimer Capital Appreciation Fund		None	J	T					
6. - The Oppenheimer Small & Mid Cap Growth Fund		None	J	T					
7. Massachusetts Mutual Whole Life Policy	A	Dividend	L	T					
8. Massachusetts Mutual Ltd Pay Whole Life @ 65 Policy	A	Dividend	J	T					
9. Massachusetts Mutual Ltd Pay Whole Life Policy	A	Dividend	K	T					
10. Massachusetts Mutual Whole Life Policy	B	Dividend	L	T					
11. Massachusetts Mutual Ltd Pay Whole Life @65 Policy	A	Dividend	J	T					
12. TRUST #1									
13. Morgan Stanley Private Bank	A	Interest	J	T					
14. - AT&T	A	Dividend	J	T	Buy	01/08/18	J		
15.					Buy	11/15/18	J		
16.					Sold (part)	11/26/18	J		
17. - Chevron Corp.	A	Dividend	J	T	Buy	01/08/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18.					Buy	11/15/18	J		
19. - Community Trust Bancorp Inc.	A	Dividend	J	T	Buy	01/08/18	J		
20.					Sold (part)	11/15/18	J	A	
21.					Sold (part)	11/26/18	J	A	
22. - Duke Energy Corp. New	A	Dividend	J	T	Buy	01/08/18	J		
23.					Sold (part)	11/15/18	J	A	
24.					Sold (part)	11/26/18	J	A	
25. - Emerson Electronic Co.	A	Dividend	J	T	Buy	01/08/18	J		
26.					Sold (part)	11/15/18	J	A	
27. - Exxon Mobil Corp.	A	Dividend			Buy	01/08/18	J		
28.					Sold	03/27/18	J	A	
29. - Nucor Corporation	A	Dividend	J	T	Buy	01/08/18	J		
30.					Buy	11/15/18	J		
31.					Buy	11/26/18	J		
32. - Proctor & Gamble	A	Dividend	J	T	Buy	01/08/18	J		
33.					Sold (part)	11/15/18	J	A	
34. - Realty Income Corp.	A	Dividend	J	T	Buy	01/08/18	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Sold (part)	11/15/18	J	A	
36.					Sold (part)	11/26/18	J	A	
37. - Sonoco Products Co.	A	Dividend	J	T	Buy	01/08/18	J		
38.					Sold (part)	11/15/18	J	A	
39.					Sold (part)	11/26/18	J	A	
40. - Allianz NGJ Divid Int	A	Dividend			Sold	01/02/18	J	A	
41. - DNP Select Income Inc.	B	Dividend	K	T	Buy	01/02/18	J		
42.					Buy	01/08/18	J		
43.					Sold (part)	11/15/18	J	A	
44.					Sold (part)	11/26/18	J	A	
45. - Eaton Vance Risk Mngd		None			Sold	01/02/18	J	A	
46. - Eaton Vance Tax Mgd Div Equ Fd	A	Dividend	J	T	Buy	01/08/18	J		
47.					Buy	11/15/18	J		
48.					Buy	11/26/18	J		
49. - Nuveen Taxable Municipal Incm FD	A	Dividend	J	T	Buy	01/08/18	J		
50.					Buy	11/19/18	J		
51. - PIMCO Corporate & Income Oppor.	A	Dividend	J	T	Buy	01/08/18	K		

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52.					Sold (part)	11/15/18	J	A	
53.					Buy	11/26/18	J		
54. - Franklin Income Adv.	A	Dividend	J	T	Buy	01/08/18	J		
55.					Sold (part)	11/15/18	J		
56.					Sold (part)	11/26/18	J		
57. - Lord Abbett Sht Duration Inc. F	C	Dividend	L	T	Buy	01/08/18	K		
58.					Sold (part)	11/15/18	J		
59.					Sold (part)	11/26/18	J		
60. - Target Corp.	A	Dividend	J	T	Buy	01/08/18	J		
61.					Sold (part)	11/15/18	J	A	
62.					Buy	11/26/18	J		
63. - Altria Group Inc.	A	Dividend	J	T	Buy	01/08/18	J		
64.					Buy	11/15/18	J		
65.					Buy	11/26/18	J		
66. - International Business Machines Corp.	A	Dividend	J	T	Buy	01/08/18	J		
67.			J		Buy	11/15/18	J		
68. - National Retail Properties I	A	Dividend			Buy	01/08/18	J		

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69.					Sold	03/27/18	J		
70. - Verizon Communications	A	Dividend	J	T	Buy	01/08/18	J		
71.					Sold (part)	11/15/18	J	A	
72.					Sold (part)	11/26/18	J	A	
73. - Genuine Parts Co.	A	Dividend			Buy	01/08/18	J		
74.					Sold	04/24/18	J	A	
75. - Foot Locker Inc.	A	Dividend	J	T	Buy	01/08/18	J		
76.					Sold (part)	11/15/18	J	A	
77.					Sold (part)	11/26/18	J	A	
78. - Alliance Bernstein Income Adv.	B	Dividend	K	T	Buy	01/08/18	K		
79.					Buy	11/15/18	J		
80.					Sold (part)	11/26/18	J		
81. - Cardinal Health Inc.	A	Dividend	J	T	Buy	01/08/18	J		
82.					Buy	11/15/18	J		
83.					Buy	11/26/18	J		
84. - Omega Healthcare Inv. Inc.	A	Dividend	J	T	Buy	01/08/18	J		
85.					Sold (part)	11/15/18	J	A	

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86.					Sold (part)	11/26/18	J	A	
87. - Eaton Vance Tax Mg CEF	A	Dividend	J	T	Buy	01/02/18	J		
88.					Buy	01/08/18	J		
89.					Buy	11/26/18	J		
90. - WP Carey Inc, Com	A	Dividend	J	T	Buy	03/27/18	J		
91.					Sold (part)	11/15/18	J	A	
92.					Sold (part)	11/26/18	J	A	
93. - Abbvie Inc. Com	A	Dividend	J	T	Buy	03/27/18	J		
94.					Buy	11/15/18	J		
95.					Buy	11/26/18	J		
96. - General Mills, Inc.	A	Dividend	J	T	Buy	04/24/18	J		
97.					Buy	11/15/18	J		
98.					Buy	11/26/18	J		
99. - Vector Group	A	Dividend			Buy	11/15/18	J		
100.					Buy	11/26/18	J		
101.					Sold	12/18/18	J		
102. - Main Sreet Capital		None	J	T	Buy	12/18/18	J		

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103. IRA #2									
104. - Morgan Stanley Private Bank	A	Interest	J	T					
105. - AT&T, Inc.	A	Dividend	J	T	Buy	11/15/18	J		
106. - Chevron Corp.	A	Dividend	J	T					
107. - Community Trust Bancorp Inc.	A	Dividend	J	T	Buy	11/15/18	J		
108. - Duke Energy Corp. New	A	Dividend	J	T	Buy	11/15/18	J		
109. - Emerson Electric Co.	A	Dividend	J	T	Buy	11/15/18	J		
110. - Exxon Mobil Corp.	A	Dividend			Sold	03/27/18	J		
111. - Nucor Corporation	A	Dividend	J	T	Buy	11/15/18	J		
112. - Proctor & Gamble	A	Dividend	J	T	Buy	11/15/18	J		
113. - Realty Income Corp.	A	Dividend	J	T	Buy	11/15/18	J		
114. - Sonoco Products Co.	A	Dividend	J	T	Buy	11/15/18	J		
115. - Allianz NFJ Divid Int		None			Sold	1/2/2018	J	A	
116. - DNP Select Income Inc.	B	Dividend	K	T	Buy	01/02/18	J		
117.					Buy	11/15/18	J		
118. - Eaton Vance Risk Mngd		None			Sold	01/02/18	J		
119. - Eaton Vance Tax Mgd Div Equ FD	A	Dividend	J	T	Buy	11/15/18	J		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Nuveen Taxable Municipal Incm Fd	A	Dividend	J	T	Buy	11/19/18	J		
121. - PIMCO Corporate & Incom Oppor.	A	Dividend	J	T	Buy	11/15/18	J		
122. - Franklin Income Adv	A	Dividend			Sold	11/15/18	J		
123. - Lord Abbett Sht Duration Inc F	C	Dividend	L	T	Buy	02/02/18	J		
124.					Buy	11/15/18	J		
125. - Target Corp.	A	Dividend	J	T	Sold (part)	11/15/18	J	B	
126. - Altria Group Inc.	A	Dividend	J	T	Buy	11/15/18	J		
127. - International Business Machines Corp.	A	Dividend	K	T	Buy	11/15/18	J		
128. - National Retail Properties I	A	Dividend			Sold	03/27/18	J		
129. - Verizon Communications	A	Dividend	J	T	Buy	11/15/18	J		
130. - Genuine Parts Co.		None			Sold	04/24/18	J	A	
131. - Foot Locker Inc.	A	Dividend	J	T	Sold (part)	11/15/18	J	B	
132. - Alliance Bernstein Income Adv.	B	Dividend	K	T	Buy	07/30/18	J		
133.					Buy (add'l)	11/15/18	J		
134. - Cardinal Health Inc.	A	Dividend			Sold	11/15/18	J		
135. - Omega Healthcare Inv. Inc.	A	Dividend	J	T	Sold (part)	11/15/18	J	A	
136. - Eaton Vance Tax Mg CEF	A	Dividend	J	T	Buy	01/02/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Hall, Sarah A.

Date of Report

05/10/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy	11/15/18	J		
138. - WP Carey Inc. Com	A	Dividend	J	T	Buy	03/27/18	J		
139.					Buy	11/15/18	J		
140. - Abbvie Inc. Com.	A	Dividend	J	T	Buy	03/27/18	J		
141.					Buy	11/15/18	J		
142. - General Mills Inc.	A	Dividend	J	T	Buy	04/24/18	J		
143.					Sold (part)	11/15/18	J	A	
144. - Vector Group	A	Dividend			Buy	11/15/18	J		
145.					Sold	12/18/18	J	A	
146. - Main Street Capital Corp.		None	J	T	Buy	12/18/18	J		
147. TRUST #2 (sep ira #2)									
148. - MidFirst Bank Acct	A	Interest	M	T					
149. - Morgan Stanley Private Bank	A	Interest	J	T					
150. - AT&T Inc.	B	Dividend	K	T	Buy	11/15/18	J		
151. - Chevron Corp.	A	Dividend	K	T	Buy	11/15/18	J		
152. - Community Trust Bancorp Inc.	A	Dividend	K	T	Buy	11/15/18	J		
153. - Duke Energy Corp. New	B	Dividend	K	T	Sold (part)	11/15/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Hall, Sarah A.

Date of Report

05/10/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Emerson Electric Co.	A	Dividend	K	T	Sold (part)	11/15/18	J	B	
155. - Exxon Mobil Corp.	A	Dividend			Sold	3/27/18	J		
156. - Nucor Corp.	A	Dividend	K	T	Sold (part)	11/15/18	J	B	
157. - Proctor & Gamble	A	Dividend	K	T	Buy	11/15/18	J		
158. - Realty Income Corp.	B	Dividend	K	T	Sold (part)	11/15/18	J	A	
159. - Sonoco Products Co.	A	Dividend	K	T	Sold (part)	11/15/18	J	A	
160. - Allianz NFJ Divid Int	A	Dividend			Sold	01/02/18	J	B	
161. - DNP Select Income Inc.	C	Dividend	L	T	Buy	01/02/18	K		
162.					Buy	11/15/18	J		
163. - Eaton Vance Tax Risk Mngd		None			Sold	01/02/18	K		
164. - Eaton Vance Tax Mgd Div Equ FD	C	Dividend	K	T	Buy	11/15/18	J		
165. - Nuveen Taxable Municipal Incm Fd	B	Dividend	K	T	Buy	11/19/18	J		
166. - PIMCO Corporate & Income Oppor.	B	Dividend	K	T	Buy	11/15/18	J		
167. - Franklin Income Adv	B	Dividend			Sold	11/15/18	K		
168. - Lord Abbett Sht Duration Inc. F	C	Dividend	M	T	Buy	02/02/18	J		
169.					Buy	11/15/18	J		
170. - Target Corp.	B	Dividend	K	T	Sold (part)	11/15/18	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Hall, Sarah A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Altria Group Inc.	A	Dividend	K	T	Buy	11/15/18	J		
172. - International Business Machines Corp.	B	Dividend	K	T	Buy	11/15/18	J		
173. - National Retail	A	Dividend			Sold	03/27/18	K		
174. - Verizon Communications	B	Dividend	K	T	Sold (part)	11/15/18	J	C	
175. - Genuine Parts Co.	A	Dividend			Sold	04/26/18	K	B	
176. - Foot Locker Inc.	B	Dividend	K	T	Sold (part)	11/15/18	K	D	
177. - Alliance Bernstein Income Adv.	C	Dividend	L	T	Buy	07/30/18	J		
178.					Buy	11/15/18	J		
179. - Cardinal Health Inc.	A	Dividend	K	T	Sold (part)	11/15/18	J		
180. - Omega Healthcare Inv. Inc.	B	Dividend	K	T	Sold (part)	11/15/18	J	A	
181. -Eaton Vance Tax Mg CEF	B	Dividend	K	T	Buy	01/02/18	K		
182.					Buy	11/15/18	J		
183. - WP Carey Inc. Com	B	Dividend	K	T	Buy	03/27/18	K		
184.					Sold (part)	11/15/18	J	A	
185. - Abbvie Inc. Com	A	Dividend	K	T	Buy	03/27/18	K		
186.					Buy	11/15/18	J		
187. - General Mills, Inc.	A	Dividend	K	T	Buy	04/24/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$5,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

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Hall, Sarah A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold (part)	11/15/18	J	A	
189. - Vector Group	A	Dividend			Buy	11/15/18	K		
190.					Sold	12/18/18	K		
191. - Main Street Capital Corp.		None	K	T	Buy	12/18/18	K		
192. - Central National Bank CD	B	Interest	L	T	Buy	03/18/18	L		
193. TRUST #3									
194. - Morgan Stanley Private Bank	A	Interest	J	T	Buy	01/05/18	M		
195.					Distributed	01/08/18	M		
196.					Distributed	01/08/18	L		
197. - St Bk of India CD - Chicago IL CD	A	Interest			Buy	01/08/18	K		
198.	A				Redeemed	04/23/18	K		
199. - Whitney Bank Gulport MS CD	A	Interest			Buy	01/08/18	K		
200.					Redeemed	10/12/18	K		
201. - Whitwry Bank Gulfport MS CD	A	Interest			Buy	01/08/18	K		
202.					Redeemed	10/12/18	K		
203. - Bank Baroda New		None	K	T	Buy	04/23/18	K		
204. - Investors Savgs Bk		None	K	T	Buy	07/13/18	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Hall, Sarah A.

Date of Report

05/10/2019

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - First Bank CD Richmond In CD		None	K	T	Buy	10/15/18	K		
206. BROKERAGE ACCOUNT #1									
207. - Extended Insurance Sweep Account	A	Interest	J	T					
208. - PMX Communities Inc.		None	J	T					
209. Midfirst Bank Account	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Name of Person Reporting

Hall, Sarah A.

Date of Report

05/10/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

.Nuveen Build America Bonds FD renamed Nuveen Taxable Municpl Incm Fd in November 2019 - lines 49, 120, 165

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Name of Person Reporting

Hall, Sarah A.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sarah A. Hall

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544