

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fricke, Theresa L.	2. Court or Organization U.S. District Court for the Western District of Washington	3. Date of Report 06/18/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge (active full-time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. Courthouse 1717 Pacific Ave. Tacoma, WA 98402 Room 3100		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Hester Law Group, Inc. P.S. W-2 Wages
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Visa	Credit Card	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage #1 (H)									
2. JPMorgan US Government Money Market Fund (QVESQ)	A	Dividend	J	T					
3. Blackrock Index Fds Inc (BTMKX)	A	Dividend	K	T	Buy (add'l)	01/29/18	J		
4.					Buy (add'l)	02/21/18	J		
5.					Sold (part)	06/13/18	J	A	
6.					Sold (part)	08/15/18	J	A	
7.					Sold (part)	10/17/18	J	A	
8.					Buy (add'l)	11/02/18	J		
9.					Buy (add'l)	12/17/18	J		
10. Brown Advisory Fds (BAFHx)	A	Dividend	J	T	Sold (part)	06/12/18	J	B	
11.					Sold (part)	07/11/18	J	A	
12. Causeway Cap Mgmt Tr (CIVIX)	A	Dividend	J	T	Sold (part)	09/21/18	J	A	
13.					Sold (part)	10/17/18	J	A	
14.					Buy (add'l)	12/17/18	J		
15. Dodge & Cox Funds International Stock Fund (DODFX)	A	Dividend	J	T	Sold (part)	09/24/18	J	A	
16.					Sold (part)	10/17/18	J	A	
17.					Buy (add'l)	12/18/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$5,001 - \$15,000	D = \$15,001 - \$50,000 E = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$50,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$250,001 - \$500,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18. Fidelity Salem Str Tr (FXAIX)	A	Dividend	K	T	Buy	02/12/18	J		
19.					Buy (add'l)	02/22/18	J		
20.					Sold (part)	11/05/18	J	A	
21.					Buy (add'l)	12/18/18	J		
22. Harris Assoc Invtr Tr (OANMX)	A	Dividend	J	T	Sold (part)	10/18/18	J	A	
23.					Buy (add'l)	11/05/18	J		
24.					Buy (add'l)	12/18/18	J		
25. iShares MSCI EAFE EFT (EFA)	A	Dividend			Sold (part)	06/14/18	J	A	
26.					Sold (part)	07/11/18	J	A	
27.					Sold	08/17/18	J	A	
28. iShares Inc (EWJ)	A	Dividend	J	T					
29. JP Morgan Exchange (BBCA)	A	Dividend	J	T	Buy	08/15/18	J		
30.					Sold (part)	09/21/18	J	A	
31.					Buy (add'l)	11/02/18	J		
32. JP Morgan Exchange (BBAX)	A	Dividend	J	T	Buy	08/15/18	J		
33.					Buy (add'l)	11/02/18	J		
34.					Sold (part)	12/17/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. JP Morgan Exchange (BBEU)	A	Dividend	J	T	Buy	08/15/18	J		
36.					Buy (add'l)	11/02/18	J		
37.					Buy (add'l)	12/17/18	J		
38. JP Morgan Exchange (BBJP)	A	Dividend	J	T	Buy	07/11/18	J		
39.					Buy (add'l)	10/17/18	J		
40.					Buy (add'l)	11/02/18	J		
41. JP Morgan Tr I (JUEMX)	B	Dividend	J	T	Sold (part)	08/15/18	J	A	
42.					Sold (part)	10/17/18	J	A	
43.					Buy (add'l)	11/02/18	J		
44.					Buy (add'l)	12/17/18	J		
45. Massachusetts Invs Tr (MITIX)	A	Dividend			Merged (with line 46)	05/11/18	J		
46. Massachusetts Invs Tr (MITJX)	B	Dividend	J	T	Buy	05/11/18	J		
47.					Sold (part)	10/17/18	J	A	
48. MFS SER Tr I (MRSKX)	A	Dividend	J	T	Sold (part)	08/15/18	J	A	
49.					Sold (part)	09/21/18	J	A	
50.					Sold (part)	10/17/18	J	A	
51.					Buy (add'l)	11/02/18	J		

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52. Six Circles Tr (CIUEX)	A	Dividend	J	T	Buy	10/17/18	J		
53.					Buy (add'l)	12/17/18	J		
54. Six Circles Tr (CUSUX)	A	Dividend	K	T	Buy	10/17/18	J		
55.					Buy (add'l)	11/02/18	J		
56. SPDR S&P 500 ETF Trust (SPY)	A	Dividend	K	T					
57. Vanguard Intl Equity (VGK)	A	Dividend			Sold	06/12/18	J	A	
58. Vanguard Index Tr (VFIAX)	B	Dividend	L	T	Sold (part)	08/15/18	J	A	
59.					Buy (add'l)	09/21/18	J		
60.					Buy (add'l)	11/02/18	J		
61. American Century Mun Tr (ATBYX)	B	Dividend	K	T	Buy (add'l)	03/16/18	J		
62.					Sold (part)	11/02/18	J	A	
63.					Sold (part)	12/17/18	J	A	
64. Bernstein Sanford C Fd Inc (AIDYX)	A	Dividend			Buy (add'l)	03/16/18	J		
65.					Merged (with line 66)	08/10/18	J		
66. Bernstein Sanford C FD (AIDZX) formerly (AIDYX)	A	Dividend	L	T	Sold (part)	11/02/18	J	A	
67.					Sold (part)	12/17/18	J	A	
68. Blackrock Mun Bd Fd Inc (BNMLX)	A	Dividend	K	T	Buy (add'l)	11/02/18	J		

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69.					Sold (part)	12/17/18	J	A	
70. Dodge & Cox Income Fund (DODIX)	A	Dividend	K	T	Buy	01/29/18	J		
71.					Sold (part)	11/02/18	J	A	
72.					Sold (part)	12/17/18	J	A	
73. iShares Trust (SHY)	A	Dividend	K	T	Buy	06/12/18	J		
74.					Buy (add'l)	12/17/18	J		
75. John Hancock Income Fund CI 1 (JSTIX)	A	Dividend			Sold (part)	1/29/18	J	A	
76.					Merged (with line 77)	4/13/18	J		
77. John Hancock Income Fund (JSNWX) formerly (JSTIX)	A	Dividend	J	T	Sold (part)	11/02/18	J	A	
78.					Sold (part)	12/17/18	J	A	
79. JP Morgan TR II (JIMIX)	A	Dividend	K	T	Buy (add'l)	11/02/18	J		
80.					Sold (part)	12/17/18	J	A	
81. Lord Abbett Invnt Tr (LOLDX)	A	Dividend			Sold (part)	01/29/18	J	A	
82.					Sold	03/16/18	J	A	
83. Mainstay Fds (MHYSX)	A	Dividend	J	T	Sold (part)	01/29/18	J	A	
84.					Sold (part)	02/05/18	J	A	
85.					Sold (part)	02/21/18	J	A	

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86.					Sold (part)	11/02/18	J	A	
87.					Sold (part)	12/17/18	J	A	
88. Pimco Low Duration Fund (PTLDX)	A	Dividend	K	T	Sold (part)	01/29/18	K	A	
89.					Sold (part)	02/05/18	J	A	
90.					Sold (part)	02/09/18	J	A	
91.					Sold (part)	12/17/18	J	A	
92. Pimco Total Return Fund (PTRX)	A	Dividend	J	T	Sold (part)	02/05/18	J	A	
93.					Sold (part)	11/02/18	J	A	
94.					Sold (part)	12/17/18	J	A	
95. Rowe T Price Intl Fds Inc (PRXIX)	A	Dividend	J	T	Sold (part)	02/21/18	J	A	
96.					Buy (add'l)	11/02/18	J		
97.					Buy (add'l)	12/17/18	J		
98. Six Circles Tr (CUTAX)	A	Dividend	J	T	Buy	10/17/18	J		
99.					Sold (part)	11/02/18	J	A	
100.					Sold (part)	12/17/18	J	A	
101. T Rowe Price Summit (PRSMX)	A	Dividend	K	T	Buy (add'l)	11/02/18	J		
102.					Sold (part)	12/17/18	J	A	

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103. Tax Exempt Bd Fd Amer (TFEBX)	A	Dividend	K	T	Buy	01/29/18	K		
104.					Buy (add'l)	02/05/18	J		
105.					Sold (part)	11/02/18	J	A	
106.					Sold (part)	12/17/18	J	A	
107. Vanguard Short Term (VTIP)	A	Dividend	J	T	Buy	02/05/18	J		
108.					Sold (part)	03/16/18	J	A	
109.					Sold (part)	12/17/18	J	A	
110. Pimco Short Term Fund (PTSHX)	A	Dividend			Buy	02/21/18	J		
111.					Buy (add'l)	06/12/18	J		
112.					Sold	10/17/18	J	A	
113. Checking & Savings Accounts (H)									
114. JP Morgan Chase Bank N.A.	A	Interest	J	T					
115. JP Morgan Chase Bank N.A.	A	Interest	L	T					
116. JP Morgan Chase Bank N.A.	A	Interest	J	T					
117. Brokerage #2 SEP (H)									
118. JP Morgan US Government (QVESQ)	A	Dividend	J	T					
119. Abbott Laboratories (ABT)	A	Dividend			Sold (part)	01/18/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Fricke, Theresa L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	03/20/18	J		
121.					Sold	04/11/18	J	A	
122. Accenture PLC Ireland (ACN)	A	Dividend	J	T	Sold (part)	03/01/18	J	A	
123.					Buy (add'l)	03/02/18	J		
124. Adobe Systems Inc (ADBE)	A	Dividend	J	T					
125. Agilent Technologies Inc (A)	A	Dividend			Sold (part)	01/18/18	J	A	
126.					Sold (part)	05/03/18	J	A	
127.					Sold (part)	05/04/18	J	A	
128.					Sold (part)	11/01/18	J	A	
129.					Sold	11/02/18	J	A	
130. Allegion Public Limited (ALLE)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
131. Allergan PLC (AGN)	A	Dividend			Sold (part)	01/18/18	J	A	
132.					Sold (part)	10/11/18	J	A	
133.					Sold (part)	11/29/18	J	A	
134.					Sold (part)	11/30/18	J	A	
135.					Sold	12/04/18	J	A	
136. Alphabet Inc (GOOGL)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Fricke, Theresa L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Alphabet Inc (GOOG)	A	Dividend	J	T	Buy (add'l)	05/09/18	J		
138.					Sold (part)	12/05/18	J	A	
139. Amazon (AMZN)	A	Dividend	J	T					
140. American International (AIG)	A	Dividend			Sold (part)	01/18/18	J	A	
141.					Buy (add'l)	02/26/18	J		
142.					Buy (add'l)	03/20/18	J		
143.					Sold (part)	09/13/18	J	A	
144.					Sold (part)	09/14/18	J	A	
145.					Sold (part)	09/17/18	J	A	
146.					Sold (part)	10/24/18	J	A	
147.					Sold	10/25/18	J	A	
148. Anadarko Petroleum Corp (APC)	A	Dividend			Sold (part)	01/18/18	J	A	
149.					Sold (part)	02/26/18	J	A	
150.					Sold	02/27/18	J	A	
151. American Express Company (AXP)	A	Dividend	J	T	Buy	07/23/18	J		
152.					Buy (add'l)	07/24/18	J		
153. Analog Devices Inc (ADI)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Fricke, Theresa L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	03/20/18	J		
155.					Sold (part)	05/16/18	J	A	
156.					Sold (part)	09/17/18	J		
157.					Buy (add'l)	09/18/18	J		
158.					Buy (add'l)	09/19/18	J		
159.					Buy (add'l)	09/20/18	J		
160. Apple Inc (AAPL)	A	Dividend	J	T	Sold (part)	01/30/18	J	A	
161.					Sold (part)	03/01/18	J	A	
162.					Buy (add'l)	03/20/18	J		
163.					Sold (part)	04/30/18	J	A	
164. APITV PLC (APTV)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
165.					Buy (add'l)	05/02/18	J		
166.					Buy (add'l)	05/03/18	J		
167. Bank New York Mellon Corp (BK)	A	Dividend			Sold (part)	01/18/18	J	A	
168.					Buy (add'l)	02/26/18	J		
169.					Buy (add'l)	02/27/18	J		
170.					Sold (part)	10/11/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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Name of Person Reporting

Fricke, Theresa L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold (part)	10/12/18	J	A	
172.					Sold	10/15/18	J	A	
173. Automatic Data (ADP)	A	Dividend	J	T	Buy	10/25/18	J		
174.					Buy (add'l)	12/06/18	J		
175. Bank of America Corp (BAC)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
176.					Buy (add'l)	02/08/18	J		
177.					Buy (add'l)	03/20/18	J		
178.					Sold (part)	12/05/18	J	A	
179. Berkshire Hathaway Inc (BRKB)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
180.					Buy (add'l)	09/13/18	J		
181.					Buy (add'l)	10/18/18	J		
182.					Sold (part)	12/05/18	J	A	
183. Biogen Inc (BIIB)	A	Dividend	J	T	Buy (add'l)	10/12/18	J		
184. Blackrock Inc (BLK)	A	Dividend			Sold	01/17/18	J	A	
185. Blackrock Index Fds Inc (BTMKX)	A	Dividend	K	T	Buy (add'l)	01/17/18	J		
186.					Buy (add'l)	02/16/18	J		
187.					Sold (part)	02/18/18	J	A	

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Fricke, Theresa L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	03/19/18	J		
189.					Sold (part)	06/13/18	J	A	
190.					Sold (part)	08/15/18	J	B	
191.					Sold (part)	08/24/18	J	A	
192.					Buy (add'l)	12/04/18	J		
193. Boston Scientific Group (BSX)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
194.					Buy (add'l)	03/20/18	J		
195.					Sold (part)	12/05/18	J	A	
196. Bristol Myers Squibb Co (BMY)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
197.					Sold (part)	03/01/18	J	A	
198.					Buy (add'l)	03/20/18	J		
199.					Sold (part)	12/05/18	J	A	
200. Broadcom Limited (AVGO)	A	Dividend	J	T	Sold (part)	05/16/18	J	A	
201. Brown Advisory Fds (BAFHx)	A	Dividend	J	T	Sold (part)	02/28/18	J	A	
202.					Buy (add'l)	03/19/18	J		
203.					Sold (part)	06/13/18	J	A	
204.					Sold (part)	07/13/18	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Fricke, Theresa L.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Causeway Cap Mgmt Tr (CIVIX)	A	Dividend	J	T	Sold (part)	02/28/18	J	A	
206.					Buy (add'l)	03/19/18	J		
207.					Sold (part)	09/24/18	J	A	
208.					Buy (add'l)	12/04/18	J		
209. Celgene Group (CELG)	A	Dividend	J	T	Buy (add'l)	04/20/18	J		
210. Charles Schwab Corp New (SCHW)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
211.					Sold (part)	03/01/18	J	A	
212.					Buy (add'l)	03/20/18	J		
213. Charter Communications (CHTR)	A	Dividend	J	T	Buy (add'l)	04/20/18	J		
214. Chubb LTD (CB)	A	Dividend	J	T	Sold (part)	03/01/18	J	A	
215.					Buy (add'l)	03/20/18	J		
216. Cigna Corporation (CI)	A	Dividend			Sold	02/27/18	J	A	
217. Citigroup Inc (C)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
218.					Buy (add'l)	03/20/18	J		
219.					Sold (part)	12/05/18	J	A	
220. CMS Energy Corp (CMS)	A	Dividend			Sold (part)	01/16/18	J	A	
221.					Sold (part)	01/17/18	J	A	

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Fricke, Theresa L.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold	01/18/18	J	A	
223. Coca Cola Company (KO)	A	Dividend	J	T	Buy	04/19/18	J		
224.					Buy (add'l)	04/20/18	J		
225.					Buy (add'l)	04/23/18	J		
226.					Buy (add'l)	04/24/18	J		
227. Comcast Corp (CMCSA)	A	Dividend	J	T	Buy (add'l)	01/16/18	J		
228.					Buy (add'l)	01/17/18	J		
229.					Sold (part)	01/18/18	J	A	
230.					Buy (add'l)	03/20/18	J		
231.					Sold (part)	04/18/18	J	A	
232.					Buy (add'l)	10/24/18	J		
233. Concho Resources Inc (CXO)	A	Dividend			Sold (part)	03/14/18	J	A	
234.					Sold	05/24/18	J	A	
235. Delphi Technologies PLC (DLPH)	A	Dividend			Sold	01/31/18	J	A	
236. Delta Airlines Inc Del (DAL)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
237.					Buy (add'l)	03/20/18	J		
238.					Sold (part)	12/05/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Diamonback Energy Inc (FANG)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
240. Dish Network Corp (DISH)	A	Dividend			Sold (part)	01/18/18	J	A	
241.					Sold (part)	07/23/18	J	A	
242.					Sold	07/24/18	J		
243. Dodge & Cox Funds (DODFX)	A	Dividend	J	T	Sold (part)	02/28/18	J	A	
244.					Buy (add'l)	03/19/18	J		
245.					Sold (part)	09/24/18	J	A	
246.					Buy (add'l)	12/04/18	J		
247. Dollar Tree Inc (DLTR)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
248.					Buy (add'l)	12/19/18	J		
249.					Buy (add'l)	12/20/18	J		
250. Dowdupont Inc (DWDP)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
251.					Buy (add'l)	03/20/18	J		
252.					Buy (add'l)	04/11/18	J		
253.					Sold (part)	12/05/18	J	A	
254. Eastman Chemical Co (EMN)	A	Dividend			Sold (part)	01/18/18	J	A	
255.					Buy (add'l)	03/20/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Fricke, Theresa L.

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06/18/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Sold (part)	12/05/18	J	A	
257.					Sold (part)	12/14/18	J	A	
258.					Sold (part)	12/17/18	J	A	
259.					Sold (part)	12/18/18	J	A	
260.					Sold (part)	12/19/18	J	A	
261.					Sold (part)	12/20/18	J	A	
262.					Sold	12/21/18	J	A	
263. Electronic Arts Inc (EA)	A	Dividend	J	T	Buy	09/25/18	J		
264.					Buy (add'l)	11/29/18	J		
265.					Buy (add'l)	11/30/18	J		
266.					Buy (add'l)	12/03/18	J		
267.					Sold (part)	12/05/18	J	A	
268. Eli Lilly & Co (LLY)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
269.					Buy (add'l)	03/20/18	J		
270.					Sold (part)	12/05/18	J	A	
271. EOG Res Inc (EOG)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
272. EQT Corporation (EQT)	A	Dividend			Sold (part)	01/18/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Fricke, Theresa L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273.					Sold (part)	07/31/18	J	A	
274.					Sold (part)	08/01/18	J	A	
275.					Sold	08/02/18	J	A	
276. Facebook (FB)	A	Dividend	J	T	Buy (add'l)	03/20/18	J		
277.					Sold (part)	09/17/18	J	A	
278. Fidelity National (FIS)	A	Dividend	J	T	Sold (part)	03/01/18	J	A	
279.					Buy (add'l)	03/20/18	J		
280.					Sold (part)	12/05/18	J	A	
281. Fidelity Salem Str Tr (FXAIX)	A	Dividend	K	T	Buy	02/12/18	J		
282.					Buy (add'l)	02/16/18	J		
283.					Sold (part)	02/28/18	J	A	
284.					Buy (add'l)	03/19/18	J		
285.					Sold (part)	08/24/18	J	A	
286.					Buy (add'l)	12/04/18	K		
287. General Dynamics Corp (GD)	A	Dividend	J	T	Buy (add'l)	12/18/18	J		
288. Gilead Sciences Inc (GILD)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
289. Goldman Sachs Group Inc (GS)	A	Dividend			Sold (part)	12/27/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Fricke, Theresa L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Sold	12/28/18	J	A	
291. Hartford Financial (HIG)	A	Dividend			Sold (part)	01/18/18	J	A	
292.					Sold	10/22/18	J	A	
293. Home Depot Inc (HD)	A	Dividend	J	T	Sold (part)	03/01/18	J	A	
294.					Buy (add'l)	03/20/18	J		
295.					Sold (part)	12/05/18	J	A	
296. Honeywell Intl Inc (HON)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
297.					Buy (add'l)	03/20/18	J		
298.					Sold (part)	12/05/18	J	A	
299. Ingersoll Rand PLC (IR)	A	Dividend			Sold (part)	01/18/18	J	A	
300.					Sold (part)	05/14/18	J	A	
301.					Sold	05/18/18	J	A	
302. International Exchange (ICE)	A	Dividend	J	T					
303. International Business (IBM)	A	Dividend			Sold (part)	03/01/18	J	A	
304.					Sold (part)	03/13/18	J	A	
305.					Sold (part)	03/14/18	J	A	
306.					Sold (part)	04/18/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Sold	04/19/18	J		
308. iShares Inc (EWJ)	A	Dividend			Sold (part)	03/01/18	L	A	
309.					Buy (add'l)	03/20/18	L		
310.					Sold	12/05/18	L	B	
311. iShares MSCI EAFE ETF (EFA)	A	Dividend			Sold (part)	03/01/18	J	A	
312.					Sold (part)	06/14/18	J	A	
313.					Sold (part)	07/16/18	J	A	
314.					Sold	08/16/18	J	A	
315. JP Morgan Exchange (BBCA)	A	Dividend	J	T	Buy	08/14/18	J		
316.					Sold (part)	09/25/18	J	A	
317.					Buy (add'l)	12/05/18	J		
318. JP Morgan Exchange (BBAX)	A	Dividend	J	T	Buy	08/16/18	J		
319.					Buy (add'l)	12/05/18	J		
320. JP Morgan Exchange (BBEU)	A	Dividend	J	T	Buy	08/16/18	J		
321.					Sold (part)	08/27/18	J	A	
322.					Buy (add'l)	12/05/18	J		
323. JP Morgan Exchange (BBJP)	A	Dividend	K	T	Buy	07/16/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Buy (add'l)	12/03/18	J		
325. Keycorp New (KEY)	A	Dividend	K	T	Sold (part)	03/01/18	J	A	
326.					Buy (add'l)	03/20/18	J		
327.					Buy (add'l)	10/12/18	J		
328.					Buy (add'l)	10/25/18	J		
329.					Sold (part)	12/05/18	J	A	
330. Kraft Heinz Company (KHC)	A	Dividend			Sold (part)	01/16/18	J	A	
331.					Sold	01/17/18	J		
332. Linde PLC (LIN)	A	Dividend	K	T	Buy	12/14/18	J		
333. Lowes Company (LOW)	A	Dividend	K	T	Sold (part)	01/18/18	J	A	
334.					Sold (part)	06/15/18	J	A	
335.					Sold (part)	12/05/18	J	A	
336. Martin Marietta (MLM)	A	Dividend			Sold (part)	01/18/18	J	A	
337.					Buy (add'l)	03/20/18	J		
338.					Sold (part)	04/11/18	J	A	
339.					Sold	04/13/18	J	A	
340. Masco Corporation (MAS)	A	Dividend			Sold (part)	01/18/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Fricke, Theresa L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.					Buy (add'l)	03/20/18	J		
342.					Sold (part)	10/22/18	J	A	
343.					Sold (part)	10/23/18	J	A	
344.					Sold (part)	10/24/18	J	A	
345.					Sold (part)	10/25/18	J	A	
346.					Sold	10/26/18	J	A	
347. Marathon Pete Crop (MPC)	A	Dividend	K	T	Buy	03/13/18	J		
348.					Buy (add'l)	03/14/18	J		
349.					Buy (add'l)	03/17/18	J		
350.					Buy (add'l)	07/31/18	J		
351.					Buy (add'l)	09/25/18	J		
352.					Buy (add'l)	12/06/18	J		
353. Mastercard Incorporated (MA)	A	Dividend	K	T	Sold (part)	01/18/18	J	A	
354.					Buy (add'l)	03/20/18	J		
355.					Sold (part)	12/05/18	J		
356. Medtronic PLC (MDT)	A	Dividend	K	T	Buy	04/11/18	J		
357.					Buy (add'l)	04/12/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Fricke, Theresa L.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Buy (add'l)	04/13/18	J		
359.					Buy (add'l)	07/23/18	J		
360.					Buy (add'l)	07/24/18	J		
361. Merck & Co Inc (MRK)	A	Dividend	K	T	Sold (part)	01/18/18	J	A	
362.					Buy (add'l)	03/20/18	J		
363.					Sold (part)	12/05/18	J	A	
364. MFS SER TR 1 (MRSKX)	B	Dividend	J	T	Sold (part)	02/28/18	J	A	
365.					Sold (part)	08/15/18	J	B	
366.					Sold (part)	08/24/18	J	A	
367.					Sold (part)	09/24/18	J	A	
368. Metlife Inc (MET)	A	Dividend			Sold (part)	01/18/18	J	A	
369.					Sold (part)	02/26/18	J	A	
370.					Sold (part)	02/27/18	J	A	
371.					Sold	02/28/18	J	A	
372. Microchip Technology Inc (MCHIP)	A	Dividend			Sold (part)	01/18/18	J	A	
373.					Sold (part)	09/17/18	J	A	
374.					Sold (part)	09/18/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Sold	09/19/18	J	A	
376. Microsfot Corp (MSFT)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
377. Molson Coors Brewing (TAP)	A	Dividend			Sold (part)	1/18/18	J	A	
378.					Buy (add'l)	03/20/18	J		
379.					Sold (part)	04/19/18	J	A	
380.					Sold (part)	04/20/18	J	A	
381.					Sold	04/23/18	J	A	
382. Mondelez International (MDLZ)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
383. Morgan Stanely (MS)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
384.					Buy (add'l)	03/20/18	J		
385.					Sold (part)	12/05/18	J	A	
386. Netflix (NFLX)	A	Dividend	J	T	Buy	09/17/18	J		
387. Nextera Energy Inc (NEE)	A	Dividend	J	T	Buy (add'l)	07/24/18	J		
388. Nike (NKE)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
389.					Sold (part)	08/28/18	J	A	
390.					Sold (part)	08/29/18	J	A	
391. Nisource Inc (NI)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Buy (add'l)	03/20/18	J		
393.					Sold (part)	08/06/18	J	A	
394. Norfolk Southern Corp (NSC)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
395.					Buy (add'l)	03/13/18	J		
396.					Buy (add'l)	03/14/18	J		
397.					Buy (add'l)	05/18/18	J		
398.					Buy (add'l)	05/21/18	J		
399. Northrop Grumman Corp (NOC)	A	Dividend	J	T					
400. Nvidia Corp (NVDA)	A	Dividend	J	T	Buy (add'l)	10/11/18	J		
401. O Reilly Automotive Inc (ORLY)	A	Dividend	J	T	Sold (part)	10/29/18	J	A	
402. Occidental Pete Corp (OXY)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
403.					Sold (part)	03/01/18	J	A	
404.					Buy (add'l)	03/20/18	J		
405.					Buy (add'l)	08/13/18	J		
406.					Buy (add'l)	08/14/18	J		
407.					Sold (part)	12/05/18	J	A	
408. Parker Hannifin Corp (PH)	A	Dividend	J	T	Buy	01/17/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Fricke, Theresa L.

Date of Report

06/18/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Buy (add'l)	03/20/18	J		
410. Paypal Holdings Inc (PYPL)	A	Dividend	J	T	Buy	01/31/18	J		
411.					Buy (add'l)	02/01/18	J		
412.					Buy (add'l)	01/31/18	J		
413.					Buy (add'l)	10/11/18	J		
414. Pepsico Inc (PEP)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
415.					Sold (part)	03/01/18	J	A	
416.					Buy (add'l)	03/20/18	J		
417.					Buy (add'l)	05/24/18	J		
418.					Buy (add'l)	05/25/18	J		
419.					Sold (part)	12/05/18	J	A	
420. Pfizer Inc (PFE)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
421.					Buy (add'l)	11/01/18	J		
422.					Sold (part)	12/05/18	J	A	
423. Phillip Morris (PM)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
424.					Buy (add'l)	03/20/18	J		
425.					Sold (part)	05/24/18	J	A	

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Fricke, Theresa L.

Date of Report

06/18/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Sold (part)	05/25/18	J	A	
427.					Buy (add'l)	10/29/18	J		
428.					Buy (add'l)	10/30/18	J		
429.					Sold (part)	12/05/18	J	A	
430. Pioneer Natural Resources (PXD)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
431.					Buy (add'l)	12/27/18	J		
432. SPDR S&P 500 ETF Trust (SPY)	A	Dividend			Sold (part)	01/18/18	J	A	
433.					Sold	12/05/18	K	D	
434. Prudential Financial Inc (PRU)	A	Dividend	J	T	Buy	12/27/18	J		
435.					Buy (add'l)	12/28/18	J		
436. Ross Stores Inc (ROST)	A	Dividend	J	T	Buy	12/13/18	J		
437.					Buy (add'l)	12/14/18	J		
438.					Buy (add'l)	12/19/18	J		
439.					Buy (add'l)	12/20/18	J		
440. Salesforce.com Inc (CRM)	A	Dividend	J	T	Buy	04/19/18	J		
441.					Buy (add'l)	04/20/18	J		
442.					Buy (add'l)	10/11/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443.					Buy (add'l)	10/12/18	J		
444. Stanley Black & Decker (SWK)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
445.					Sold (part)	03/01/18	J	A	
446.					Buy (add'l)	03/20/18	J		
447. SVB Financial Group (SIVB)	A	Dividend	J	T					
448. Texas Instruments (TXN)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
449.					Buy (add'l)	03/20/18	J		
450.					Sold (part)	05/16/18	J	A	
451. TJX Companies (TJX)	A	Dividend			Sold (part)	01/18/18	J	A	
452.					Sold	01/31/18	J	A	
453. Twenty First Century Fox (FOXA)	A	Dividend			Sold (part)	01/18/18	J	A	
454.					Buy (add'l)	03/20/18	J		
455.					Sold (part)	08/13/18	J	A	
456.					Sold	09/25/18	J	A	
457. Union Pacific Corp (UNP)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
458.					Buy (add'l)	02/26/18	J		
459.					Buy (add'l)	02/27/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. United Continental Holdings Inc (UAL) (Y)									
461. United Technologies Corp (UTX)	A	Dividend	J	T					
462. United Health Group (UNH)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
463.					Buy (add'l)	03/20/18	J		
464.					Sold (part)	12/05/18	J	A	
465. Vanguard Index TR (VFAIX)	A	Dividend	K	T	Buy (add'l)	01/17/18	J		
466.					Sold (part)	02/28/18	J	A	
467.					Buy (add'l)	03/19/18	J		
468.					Sold (part)	08/24/18	J	A	
469.					Buy (add'l)	09/24/18	J		
470. Vanguard Intl Equity (VGK)	A	Dividend	J	T	Sold (part)	03/01/18	J	A	
471.					Buy (add'l)	03/02/18	J		
472.					Sold (part)	06/14/18	J	A	
473. Vantiv Inc (VNTV) (Y)									
474. Vertex Pharmaceuticals (VRTX)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
475.					Buy (add'l)	03/20/18	J		
476.					Sold (part)	12/05/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. Visa Inc (V)	A	Dividend	J	T					
478. Walgreens Boots Alliance (WBA)	A	Dividend			Sold	04/11/18	J	A	
479. Walt Disney CO (DIS)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
480.					Buy (add'l)	03/20/18	J		
481.					Sold (part)	12/05/18	J	A	
482. Waste Connections Inc (WCN)	A	Dividend	J	T					
483. Wells Fargo & CO (WFC)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
484.					Sold (part)	02/08/18	J	A	
485.					Sold (part)	03/01/18	J	A	
486.					Buy (add'l)	03/20/18	J		
487.					Sold (part)	08/06/18	J	A	
488. Wex Inc (WEX)	A	Dividend	J	T					
489. Workday Inc (WDAY)	A	Dividend			Sold	10/25/18	J	A	
490. Worldpay Inc (WP) (X)	A	Dividend	J	T	Sold (part)	03/01/18	J	A	
491.					Buy (add'l)	10/11/18	J		
492. Yum Brands Inc (YUM)	A	Dividend	J	T	Buy	11/29/18	J		
493.					Buy (add'l)	11/30/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494.					Buy (add'l)	12/03/18	J		
495.					Buy (add'l)	12/04/18	J		
496. Zimmer Biomet Holdings (ZBH)	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
497.					Buy (add'l)	05/01/18	J		
498.					Buy (add'l)	05/02/18	J		
499.					Buy (add'l)	11/01/18	J		
500.					Buy (add'l)	11/02/18	J		
501.					Sold (part)	12/05/18	J	A	
502. Dodge & Cox Income Fund (DODIX)	A	Dividend	K	T	Buy (add'l)	03/19/18	J		
503.					Sold (part)	12/04/18	J	A	
504.					Sold (part)	12/17/18	J	A	
505. Federated Instl TR (FIHLX)	A	Dividend	J	T	Sold (part)	02/16/18	J	A	
506.					Buy (add'l)	03/19/18	J		
507.					Sold (part)	08/24/18	J	A	
508.					Sold (part)	12/04/18	J	A	
509. John Hancock Income Fund (JSTIX)	A	Dividend	J	T	Sold (part)	01/17/18	J	A	
510.					Sold (part)	02/28/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. John Hancock Fds II (JIPRX) (X)	A	Dividend	J	T	Sold (part)	12/04/18	J	A	
512.					Sold (part)	12/17/18	J	A	
513. iShares Tips Bonds ETF (TIP)	A	Dividend	J	T	Buy	02/02/18	J		
514.					Sold (part)	03/01/18	J	A	
515.					Sold (part)	03/20/18	J	A	
516.					Buy (add'l)	12/05/18	J		
517. John Hancock Income Fund (JSNWX) (X)	A	Dividend	J	T	Sold (part)	12/17/18	J	A	
518. JPMorgan TR II (JCBUX)	A	Dividend	K	T	Buy	01/17/18	J		
519.					Buy (add'l)	02/01/18	J		
520.					Sold (part)	02/28/18	J	A	
521.					Buy (add'l)	03/19/18	J		
522.					Sold (part)	12/04/18	J	A	
523. Lord Abbett Inv Tr (LOLDX)	A	Dividend			Sold (part)	01/17/18	J	A	
524.					Sold (part)	02/28/18	J	A	
525.					Sold	03/19/18	J	A	
526. Mainstay Fds (MHYSX)	A	Dividend			Sold (part)	01/17/18	J	A	
527.					Sold (part)	02/01/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528.					Sold	02/16/18	J	A	
529. Pimco Fds Pac Invst Mgmt (PIGIX)	A	Dividend	J	T	Buy (add'l)	03/19/18	J		
530.					Sold (part)	12/04/18	J	A	
531. Pimco Total Return Fund (PTTRX)	A	Dividend	K	T	Buy (add'l)	03/19/18	J		
532.					Sold (part)	12/04/18	J	A	
533.					Sold (part)	12/17/18	J	A	
534. Pimco Short Term Fund (PTSHX)	A	Dividend	J	T	Buy	02/16/18	J		
535.					Buy (add'l)	03/19/18	J		
536.					Buy (add'l)	06/13/18	J		
537.					Sold (part)	12/04/18	J	A	
538. Rowe T Price Intl Fds Inc (PRXIX)	A	Dividend	J	T	Sold (part)	02/16/18	J	A	
539.					Sold (part)	02/28/18	J	A	
540.					Buy (add'l)	03/19/18	J		
541.					Buy (add'l)	12/04/18	J		
542. Rowe T Price New Income (PRXEX)	A	Dividend	L	T	Buy (add'l)	01/17/18	J		
543.					Buy (add'l)	03/19/18	J		
544.					Sold (part)	12/04/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. Vanguard Bond Index Fd (VBTLX)	A	Dividend	J	T	Buy	10/05/18	J		
546.					Buy (add'l)	12/04/18	J		
547. Vanguard Charlotte Fds (VTABX)	A	Dividend	L	T					
548. Vanguard Fixed Income (VFIDX)	A	Dividend	J	T	Buy (add'l)	03/19/18	J		
549.					Buy (add'l)	12/04/18	J		
550. Vanguard Short Term Bond (VBIRX)	A	Dividend			Sold (part)	01/17/18	J	A	
551.					Sold (part)	02/01/18	K	A	
552.					Sold	02/12/18	J	A	
553. Vanguard Short Term Bond (BSV)	A	Dividend	J	T	Buy	06/14/18	J		
554.					Sold (part)	10/09/18	J	A	
555.					Buy (add'l)	12/18/18	J		
556. Vanguard Total (BNDX)	A	Dividend	J	T	Buy	01/18/18	J		
557.					Sold (part)	03/01/18	J	A	
558.					Buy (add'l)	03/20/18	J		
559.					Sold (part)	08/27/18	J	A	
560.					Sold (part)	12/05/18	J	A	
561. Brokerage #3 (SEP) (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. JP Morgan Us Government Money Market Fund	A	Dividend	J	T					
563. Blackrock Index Fds Inc (BTMKX)	A	Dividend	K	T	Buy (add'l)	01/17/18	J		
564.					Buy (add'l)	02/21/18	J		
565.					Sold (part)	08/15/18	J	A	
566.					Sold (part)	12/05/18	J	A	
567. Brown Advisory Fds (BAFHx) (Y)									
568. Causeway Cap Mgmt Tr (CIVIX)	A	Dividend	J	T	Sold (part)	07/16/18	J	A	
569.					Sold (part)	09/21/18	J	A	
570.					Sold (part)	10/24/18	J	A	
571.					Buy (add'l)	12/05/18	J		
572. Dodge & Cox Funds (DODFX)	A	Dividend	J	T	Sold (part)	07/16/18	J	A	
573.					Sold (part)	10/24/18	J	A	
574. Harris Assoc Invt Tr (OANMX)	A	Dividend			Sold (part)	10/24/18	J	A	
575.					Sold	12/05/18	J	A	
576. iShares Inc (EWJ)	A	Dividend			Buy (add'l)	01/18/18	J		
577.					Sold (part)	06/19/18	J	A	
578.					Sold	12/06/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579. iShares MSCI EAFE ETF (EFA)	A	Dividend			Sold (part)	06/19/18	J	A	
580.					Sold (part)	07/17/18	J	A	
581.					Sold	08/16/18	J	A	
582. JP Morgan Tr 1 US Equity Fd Class L (JUEMX)	A	Dividend			Sold (part)	07/16/18	J	A	
583.					Sold (part)	10/24/18	J	B	
584.					Sold	12/05/18	J	B	
585. Fidelity Salem Str Tr (FXAIX)	A	Dividend	M	T	Buy	02/12/18	J		
586.					Buy (add'l)	02/21/18	J		
587.					Buy (add'l)	12/05/18	J		
588. JP Morgan Exchange (BBCA)	A	Dividend	J	T	Buy	08/16/18	J		
589.					Buy (add'l)	10/25/18	J		
590. JP Morgan Exchange (BBEU)	A	Dividend	J	T	Buy	07/17/18	J		
591.					Buy (add'l)	12/06/18	J		
592. JP Morgan Exchange (BBJP)	A	Dividend	J	T	Buy	07/17/18	J		
593.					Buy (add'l)	10/23/18	J		
594.					Buy (add'l)	12/06/18	J		
595. Massachusetts Investor Trust-CL 1 (MITIX)	A	Dividend			Sold (part)	10/24/18	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596.					Sold	12/05/18	J	B	
597. MFS SER Tr I (MRSKX)	A	Dividend	J	T	Sold (part)	09/21/18	J	A	
598.					Sold (part)	10/24/18	J	A	
599.					Sold (part)	12/05/18	J	A	
600. SPDR S&P 500 ETF Trust (SPY)	A	Dividend			Sold (part)	01/18/18	J	B	
601.					Sold (part)	07/17/18	J	B	
602.					Sold	12/06/18	M	E	
603. Six Circles TR (CIUEX)	A	Dividend	J	T	Buy	10/24/18	J		
604.					Buy (add'l)	12/05/18	J		
605. Six Circles TR (CUSUX)	A	Dividend	K	T	Buy	10/23/18	J		
606.					Buy (add'l)	10/24/18	J		
607.					Buy (add'l)	12/05/18	J		
608. Vanguard Index Tr (VFIAX)	A	Dividend	K	T	Buy (add'l)	01/17/18	J		
609.					Buy (add'l)	07/16/18	J		
610.					Buy (add'l)	09/21/18	J		
611. Blackrock High Yield Bond Portfolio Class (BRHYX)	A	Dividend			Sold	02/21/18	J		
612. Dodge & Cox Income Fund (DODIX)	A	Dividend	J	T	Sold (part)	12/05/18	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613.					Sold (part)	12/18/18	J	A	
614. Federated Instl Tr High Yield Bd Fd (FIHLX)	A	Dividend			Sold	02/12/18	J	A	
615. John Hancock Income Fund C1 1 (JSTIX)	A	Dividend			Sold	01/17/18	J	A	
616. Pimco Fds Pac Invst Mgmt (PIGIX)	A	Dividend	J	T	Sold (part)	12/05/18	J	A	
617. Pimco Short Term Fund Instl C1 (PTSHX)	A	Dividend			Sold (part)	01/17/18	J	A	
618.					Sold	10/24/18	J	A	
619. Pimco Total Return Fund (PTRX)	A	Dividend	J	T	Buy (add'l)	12/05/18	J		
620.					Sold (part)	12/18/18	J	A	
621. Rowe T Price Intl Funds (PRXIX)	A	Dividend			Sold	02/21/18	J	A	
622. Rowe T Price New Income (PRXEX)	A	Dividend	K	T	Buy	01/17/18	J		
623.					Buy (add'l)	02/21/18	J		
624.					Buy (add'l)	12/05/18	J		
625. Vanguard Bond Index Fd (VBTLX)	A	Dividend	J	T	Buy	10/09/18	J		
626.					Sold (part)	12/05/18	J	A	
627. Vanguard Charlotte Fds (VTABX)	A	Dividend	K	T					
628. Vanguard Fixed Income (VFIDX)	A	Dividend	J	T	Buy (add'l)	12/05/18	J		
629. Vanguard Short Term Bond Fund Index (VBIRX)	A	Dividend			Sold	01/17/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630. Vanguard Short Term Bond (BSV)	A	Dividend	J	T	Buy	06/19/18	J		
631.					Sold (part)	10/10/18	J	A	
632.					Sold (part)	12/06/18	J	A	
633. Vanguard Total (BNDX)	A	Dividend	J	T	Buy	01/18/18	J		
634.					Buy (add'l)	12/06/18	J		
635. Principal Financial Group, Inc. (Whole Life Policy)	A	Dividend	K	T					
636. State Retirement PERS 2 (H)									
637. State of Washington Department of Retirement Systems	D	Interest	M	T					
638. Rental Property #1 1/3 Ownership (Tacoma, WA)	D	Rent	N	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Theresa L. Fricke**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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