

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gordon, Andrew P.	2. Court or Organization U.S. District Court, Nevada	3. Date of Report 05/08/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Lloyd D. George Federal Courthouse 333 Las Vegas Boulevard South, Room 6018 Las Vegas, Nevada 89101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	National Institute for Trial Advocacy - teaching	\$1,800.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	- salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Institute for Trial Advocacy	10/31/18-11/3/18	Phoenix, AZ	Teaching deposition skills	Transportation, meals
2. University of Michigan Law School	11/8/18-11/10/18	Amm Arbor, MI	Lecturing to law students	Transportation, meals
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Trust #1									
2. - Wells Fargo Advisors LLC (Cash Accounts)	A	Interest	M	T					
3. Wells Fargo (Cash Accounts)	A	Interest	M	T					
4. Charles Schwab (Cash Accounts)	A	Interest	M	T					
5. Microsoft Corp. stock	A	Dividend	J	T					
6. Walt Disney stock	A	Dividend	K	T	Buy (add'l)	12/20/18	J		
7. Franklin Templeton Age-Based 529 - Age 17-18		None	K	T					
8. iShares MSCI ETF EAFE Minimum Volatility	A	Dividend	J	T					
9. Pimco Exhchange Traded Fund Intermediate Municipal Bond Exchange	C	Dividend	L	T	Sold (part)	01/12/18	L	B	
10.					Sold (part)	11/28/18	J		
11. Vanguard Short Term Tax Exempt Fund Investor SHR	C	Dividend			Sold	10/12/18	N		
12. Apple		None	J	T					
13. Celgene Corp		None			Sold	11/28/18	J		
14. Cognizant Tech Solutions Class A		None	J	T					
15. CVS Health Corp	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
16. EGShares Emerging Markets Consu ETF	A	Dividend	K	T	Sold (part)	01/11/18	J	A	
17.					Sold (part)	10/15/18	J		

1 Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18.					Buy (add'l)	11/28/18	K		
19. Google Inc.		None	J	T	Sold (part)	01/11/18	J	B	
20. Guggenheim Bulletshares 2020 Corp Bond ETF	A	Dividend	J	T	Buy (add'l)	01/10/18	J		
21.					Buy (add'l)	02/10/18	K		
22.					Sold (part)	12/21/18	K		
23. Guggenheim S&P 500 Equalwei Tech ETF	A	Dividend	J	T	Buy (add'l)	01/11/18	J		
24. Guggenheim S&P 500 Equalwei Con ETF	A	Dividend	J	T	Sold (part)	01/11/18	J		
25. Guggenheim S&P 500 Equalwei Eng ETF	A	Dividend	J	T	Sold (part)	01/11/18	J		
26. Guggenheim S&P 500 Equalwei Fin ETF	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
27. Guggenheim S&P 500 Equalwei HC ETF	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
28. Guggenheim S&P 500 Equalwei ETF	A	Dividend	J	T	Sold (part)	01/11/18	J	A	
29. iShares MSCI Mexico Capped ETF	A	Dividend			Sold	05/10/18	J	A	
30. iShares National AMT Freemuni ETF	A	Interest	M	T					
31. Qualcomm Inc.	A	Dividend	J	T					
32. Starbucks Corp	A	Dividend			Sold	01/10/18	K	C	
33. United Healthgroup Inc.	A	Dividend	J	T					
34. Valero Energy Corp	A	Dividend	J	T	Sold (part)	01/11/18	J	B	

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35.					Sold (part)	07/10/18	J	B	
36. First Trust North American Energy ETF	A	Dividend	K	T	Buy (add'l)	01/11/18	J		
37. Gilead Sciences Inc	A	Dividend	J	T	Sold (part)	10/15/18	J		
38.					Buy (add'l)	11/28/18	J		
39. Skyworks Solutions	A	Dividend			Sold	08/24/18	J	A	
40. iShares ETF Ibonds Sep 2020 AMT	B	Dividend	M	T					
41. Select Sector Industrial SPDR ETF	A	Dividend	K	T	Sold (part)	01/11/18	J	B	
42. SPDR S&P Bank ETF	A	Dividend			Sold (part)	01/11/18	J	A	
43.					Sold	05/10/18	K	D	
44. Technology Select Sector	A	Dividend	K	T					
45. EShares ETF India Infrastructure	A	Dividend	J	T					
46. Schlumberger LTD.	A	Dividend			Sold (part)	07/10/18	J		
47.					Sold	11/17/18	J		
48. Western Digital Corp.	A	Dividend	J	T	Buy	12/21/18	J		
49. Advisershares Ranger EQY		None			Sold	01/10/18	J		
50. Columbia India Consumer ETF	A	Dividend	J	T					
51. First Trust Preferred ETF IV	A	Dividend			Buy (add'l)	01/11/18	J		

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52.					Sold	08/10/18	K	A	
53. First Trust UK Alphasex ETF	A	Dividend			Sold	05/10/18	J	B	
54. iShares MSCI Cap Invest Ireland	A	Dividend	J	T	Buy (add'l)	05/10/18	J		
55. iShares MSCI Poland ETF	A	Dividend			Sold	05/10/18	J	B	
56. iShares MSCI Sweden ETF	A	Dividend	J	T	Buy (add'l)	05/10/18	J		
57. iShares IBD DC 2022 Term MNBD ETF	A	Dividend	M	T					
58. Powershares Variable Rate PRF ETF IV	A	Dividend			Sold	08/10/18	J	A	
59. Select STR Financial SPDR ETF	A	Dividend	K	T	Sold (part)	01/11/18	J	B	
60. Vanguard Consumer Staples ETF	A	Dividend	J	T	Sold (part)	01/10/18	J	A	
61.					Sold (part)	12/21/18	J		
62. Vanguard Shrt Inf Prot SEC Index ETF	A	Dividend			Buy (add'l)	01/11/18	J		
63.					Sold	12/21/18	K		
64. Guggenheim Bullet 2022	A	Dividend	J	T	Buy (add'l)	01/10/18	J		
65. Pimco 1-5 Year US Tips Index ETF	A	Dividend	J	T	Buy (add'l)	01/11/18	J		
66. iShares IBonds Dec. 2019 Term CRP ETF	A	Dividend	K	T	Buy	02/07/18	J		
67.					Buy (add'l)	05/10/18	J		
68.					Buy (add'l)	08/10/18	K		

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69.					Buy (add'l)	12/21/18	J		
70. iShares IBonds Dec. 2021 Term CRP ETF	A	Dividend	J	T	Buy (add'l)	01/10/18	J		
71. iShares IBonds Dec. 2023 Term CRP ETF	A	Dividend	J	T	Buy (add'l)	01/10/18	J		
72. iShares IBonds Dec. 2024 Term ETF	A	Dividend	J	T					
73. ETFs Gold Trust ETF		None	K	T	Buy (add'l)	01/10/18	K		
74.					Buy (add'l)	01/11/18	J		
75.					Buy (add'l)	08/29/18	J		
76.					Sold (part)	10/15/18	K		
77.					Buy (add'l)	11/28/18	K		
78. Digital Realty Trust	A	Dividend	J	T	Buy (add'l)	06/06/18	J		
79. iShares MSCI EAFE Small Cap	A	Dividend	J	T					
80. Powershares FTSE Intl LWBTF ETF	A	Dividend	J	T					
81. Vanguard Healthcare ETF	A	Dividend			Sold	01/10/18	K	C	
82. ETFs Silver Trust ETF		None	K	T	Buy (add'l)	06/06/18	J		
83.					Buy (add'l)	07/10/18	K		
84.					Buy (add'l)	08/29/18	J		
85.					Sold (part)	10/15/18	K		

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86.					Buy (add'l)	11/28/18	K		
87. Essex Property Trust REIT		None			Sold	06/06/18	J	A	
88. Ventas Inc	A	Dividend	J	T					
89. Booking Holdings Inc. (formerly Priceline Group)		None	J	T					
90. Make My Trip Limited		None	J	T	Buy	10/26/18	J		
91. Energy Select Sector SPDR ETF	A	Dividend	J	T	Buy	11/28/18	J		
92. iShares iBonds Dec. 2023 Muni Bond ETF	B	Interest	L	T	Buy	01/12/18	L		
93. iShares MSCI Singapore	A	Dividend	J	T	Buy	12/21/18	J		
94. iShares MSCI Thailand	A	Dividend	J	T	Buy	12/21/18	J		
95. Select Sector Health Care SPDR ETF	A	Dividend	K	T	Buy	11/28/18	K		
96. Select Sector Utilities SPDR ETF	A	Dividend	K	T	Buy	01/10/18	J		
97. Vanguard Consumer Discretionary ETF	A	Dividend	J	T	Buy	01/10/18	K		
98.					Sold (part)	12/21/18	J		
99. Vanguard Financials ETF	A	Dividend	J	T	Buy	05/10/18	J		
100. Wisdom Tree Floating Rate Treasury ETF	A	Dividend	K	T	Buy	12/21/18	K		
101. XTrackers MSCI ALCh EQY ETF	A	Dividend	J	T	Buy	10/15/18	J		
102.					Buy (add'l)	11/14/18	J		

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103. Guggenheim Bulletshares 2018 Corp. Bond ETF		None			Buy	02/08/18	J		
104.					Sold	10/26/18	J	A	
105. XTrackers Hrvst CSI 300 China A Shr. ETF		None			Buy	05/10/18	K		
106.					Sold	10/13/18	J		
107. Biogen		None			Buy	10/15/18	J		
108.					Sold	11/28/18	J		
109. Allergen		None			Buy	01/18/18	K		
110.					Sold	11/28/18	J		
111. Ishares MSCI Emerging Markets ETF		None			Buy	10/15/18	K		
112.					Sold	11/28/18	K	A	
113. SPDR Gold Minishares ETF		None			Buy	10/15/18	L		
114.					Sold	11/28/18	L		
115. Invesco S&P 500 Equal Weight Real Estate ETF	A	Dividend	J	T	Buy	01/11/18	J		
116. Invesco S&P 500 Equal Weight Technology ETF	A	Dividend	J	T	Buy	01/11/18	J		
117. Invesco S&P 500 Equal Weight Utilities ETF	A	Dividend	J	T	Buy	01/11/18	J		
118. Columbia Sportswear	A	Dividend	J	T	Buy	12/20/18	J		
119. HCA Healthcare Inc.	A	Dividend	J	T	Buy	12/20/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. US Treasury Bill 19 U.S. T-Bill Due 6/20/19		None	O	T	Buy	12/20/18	O		
121. Clark County, NV SD 5% 19 GO LTX Due 6/15/19	C	Interest	L	T	Buy	10/16/18	L		
122.									

1 Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2 Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3 Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Part VII, lines 1 and 2 re Trust #1: I and my [REDACTED] are co-trustees of a trust that has been segregated into four cash accounts, one for each of me and three of my [REDACTED]. The aggregate amount of those combined cash accounts is reflected in Column C(1). My separate account would be a Category M.
- 2) Part VII, line 24: I discovered that last year I listed this investment (Guggenheim S&P 500 Equal Weight Con ETF) twice, in lines 21 and 87 of last year's report. Therefore, I have deleted the second listing this year, so it shows up only on line 24 of this report.
- 3) Part VII, line 92: Last year I listed Priceline Group on line 32. In 2018, Priceline reorganized and is now called Booking Holdings, Inc. Thus, I deleted Priceline from my report and added Booking Holdings.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Andrew P. Gordon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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