

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gorton, Nathaniel M.	2. Court or Organization District Court - Massachusetts	3. Date of Report 05/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States District Court 1 Courthouse Way, Suite 3110 Boston, MA 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Stockholder, Clerk/Secretary and Director	Slade Gorton Seafood Holdings, Inc., Boston, MA (closely held family seafood business) a MA corporation
2. Power of Attorney/Trustee (See NOTE in Part VIII)	Fidelity money market accounts (for all stockhds of Slade Gorton Seafood Holdings, Inc.) all of whom are relatives of the reporting party
3. Trustee (See NOTE in Part VIII)	Irrevocable Trust (created in connection with the estate plan of reporting party's sister)
4. Trustee (See NOTE in Part VIII)	1989 Irrevocable Trust and Irrevocable Sub S Trust (both created in connection with the estate plan of reporting party's brother)
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 09/26/2018	Slade Gorton Holdings, Inc. (closely held family seafood business) corporate clerk/secretary, director, custodian, trustee and accounting duties	\$30,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. New York Intellectual Property Lawyers Association	03/22/2018	New York City	Attend annual NYIPLA dinner at Hilton Hotel	reimbursement for lodging and travel by train w/spouse from Boston to NYC
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Slade Gorton Seafood Holdings, Inc. (a MA corporation)(common stock)	E	Dividend	P1	Q					See Section VIII
2. Fidelity Cash Reserves (individual)	A	Int./Div.	J	T					
3. Charitable Remainder Trust									See Section VIII
4. Schwab Government Money market Sweep		None	K	T					See Section VIII
5. - Johnson & Johnson	A	Dividend	K	T					
6. - Jack Henry & Associates	A	Dividend	J	T					
7. - CVS Caremark Corp (bond)	A	Interest			Matured	12/05/18	J		
8. - Automatic Data Processing Inc	A	Dividend			Sold	10/24/18	K	E	
9. - Ryder Systems Inc (bond)	A	Interest	J	T					
10. - Medtronic Inc.(bond)	A	Interest			Matured	04/27/18	J		
11. - Comcast Corp	A	Dividend			Sold	07/23/18	J	A	
12. - Microsoft Corp	A	Dividend	J	T					
13. - Nike Inc	A	Dividend			Sold	09/04/18	J	D	
14. - UnitedHealth Group Inc	A	Dividend	J	T					
15. - Procter & Gamble Co	A	Dividend			Sold	07/19/18	J	C	
16. - Costco Wholesale Corp	A	Dividend	K	T					
17. - 3M Co	A	Dividend			Sold	10/15/18	J	B	

1 Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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18. - JP Morgan Chase & Co	A	Dividend	J	T					
19. - Novo Nordisk AS ADR-B	A	Dividend	J	T					
20. - Waters Corporation		None	J	T					
21. - Astrazeneca Group PLC ADR	A	Dividend	J	T					
22. - Duke Energy (Bond)	A	Interest	J	T					
23. - Bristol-Myers Squibbb Co. (Bond)	A	Interest	J	T					
24. - Clorox Company CL (Bond)	A	Interest	J	T					
25. - Oracle Corp. (Bond)	A	Interest	J	T					
26. - Watsco Inc.	A	Dividend	J	T					
27. - Air Prods & Chems Inc.	A	Dividend	J	T					
28. - First Republic Bank/San Fran	A	Dividend	J	T					
29. - Lockheed Martin Corp	A	Dividend	J	T					
30. - Qualcomm Inc.	A	Dividend	J	T					
31. - Sherwin Williams Co.	A	Dividend	J	T					
32. - Apple Inc.	A	Dividend	J	T	Buy	10/24/18	J		
33. - Cogent Communications	A	Dividend	J	T	Buy	07/19/18	J		
34. - Constellation Software Inc Com	A	Dividend	J	T	Buy	07/19/18	J		

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35. - Copart Inc.		None	J	T	Buy	10/24/18	J		
36. - Crown Castle Reit	A	Dividend	J	T	Buy	10/24/18	J		
37. - Equinix Inc	A	Dividend	J	T	Buy	07/19/18	J		
38. - GTT Communications Inc		None	J	T	Buy	09/04/18	J		
39. - Heico Corp New CL A	A	Dividend	J	T	Buy	10/24/18	J		
40.					Buy (add'l)	12/19/18	J		
41. - Mastercard Inc - Class A	A	Dividend	J	T	Buy	10/24/18	J		
42. Boston Financial Management, Inc. IRA									See Section VIII
43. Schwab Government Money Market Sweep		None	M	T					See Section VIII
44. - Copart Inc.		None	L	T	Sold (part)	03/20/18	L	E	
45. - Jack Henry & Associates, Inc.	A	Dividend	K	T	Sold (part)	03/20/18	J	D	
46. - Praxair, Inc. (bond)	B	Interest	K	T					
47. - Royal Bank of Canada (bond)		None			Matured	07/27/18	K		
48. - CVS Caremark Corp (bond)		None			Matured	12/05/18	K		
49. - Unilever Capital Corp (bond)	A	Interest	K	T					
50. - Microsoft Corp	A	Dividend	L	T					
51. - Comcast Corp	A	Dividend			Sold	04/06/18	J	B	

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52. - American Tower Corp - CL A	A	Dividend			Sold	10/22/18	K	E	
53. - IShares TR S&P Midcap 400	A	Dividend	L	T	Sold (part)	03/20/18	J	C	
54. - IShares TR S&P Small Cap 600	A	Dividend	L	T	Sold (part)	03/20/18	J	C	
55. - Nike Inc CL B	A	Dividend			Sold (part)	03/20/18	K	E	
56.					Sold	09/04/18	L	F	
57. - Diageo PLC ADR	A	Dividend	K	T					
58. - Procter & Gamble Company	A	Dividend			Sold	07/19/18	J	D	
59. - Chevron Corp	B	Dividend	K	T					
60. - JP Morgan Chase & Co	B	Dividend	K	T					
61. - Johnson & Johnson	B	Dividend	L	T					
62. - Novo-Nordisk AS ADR-B	A	Dividend	K	T					
63. - United Health Group Inc	A	Dividend	K	T					
64. - 3M Co	A	Dividend			Sold	10/15/18	K	D	
65. - Air Prods & Chems Inc	B	Dividend	K	T					
66.					Buy (add'l)	09/12/18	J		
67. - Matthews Asia Small Cos	A	Dividend			Sold	10/16/18	K	B	
68. - Merck & Co Inc. (bond)	A	Interest	K	T					

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69. - Autozone Inc. (bond)	A	Interest	K	T					
70. - Berkshire Hathaway Fin Corp (bond)		None			Matured	05/15/18	K		
71. - Apple Inc (bond)	B	Interest	K	T					
72. - NBC Universal Media LLC (bond)	B	Interest	K	T					
73. - Moodys Corp	A	Dividend	K	T					
74. - Waters Corporation		None	K	T					
75. - CME Group Inc	A	Dividend	K	T					
76. - Astrazeneca Group PLC ADR	A	Dividend	K	T					
77. - Duke Energy Progress - 1st Mtg. (Bond)	B	Interest	K	T					
78. - Autozone Inc. (Bond)	A	Interest	K	T					
79. - United Parcel Service (Bond)	A	Interest	K	T					
80. - Precision Castparts Corp. (Bond)	A	Dividend	K	T					
81. - Ingredion Inc.	A	Dividend			Sold	09/12/18	J		
82. - First Republic Bank/San Fran	A	Dividend	K	T					
83. - FNF Group	A	Dividend			Sold	12/14/18	J		
84. - Lockheed Martin Corp	A	Dividend	J	T					
85. - Watsco Inc	B	Dividend	K	T					

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86. - AMDOCS Limited	A	Dividend	J	T					
87. - GTT Communications Inc		None	J	T					
88. - Qualcomm Inc.	B	Dividend	K	T					
89. - Sherwin Williams	A	Dividend	K	T					
90.		Dividend			Buy (add'l)	11/13/18	J		
91. - Luxottica Grp S P A ADR	A	Dividend			Sold	11/19/18	J	A	
92. - Danone Sponsored ADR	A	Dividend	J	T					
93. - Sun Life Finl Inc	A	Dividend			Sold	05/31/18	J	B	
94. - ABB Ltd ADR	A	Dividend			Sold	03/12/18	J		
95. - Broadcom Ltd	A	Dividend			Sold	08/09/18	J		
96. - Constellation Software Inc Com	A	Dividend	K	T					
97.	A	Dividend			Buy (add'l)	05/29/18	J		
98. - Novozymes A/S ADR	A	Dividend	J	T					
99. - Cisco Systems (Bond)	A	Interest	K	T	Buy	03/22/18	K		
100. - Lilly Eli & Co. (Bond)	A	Interest	K	T	Buy	05/11/18	K		
101. - Lowe's Companies Inc CL (Bond)		Interest	K	T	Buy	03/22/18	K		
102. - Novartis Capital Corp. (Bond)		Interest	L	T	Buy	03/28/18	L		

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103. - Aramark Com	A	Dividend	J	T	Buy	12/10/18	J		
104. - Cogent Communications	A	Dividend	K	T	Buy	07/19/18	J		
105.					Buy (add'l)	09/04/18	J		
106. - Gilead Sciences	A	Dividend	J	T	Buy	09/12/18	J		
107. - Expeditors International of Washington, Inc.	A	Dividend	J	T	Buy	09/04/18	J		
108. - Heico Corp New Cl A	A	Dividend	J	T	Buy	09/04/18	J		
109. - Nordson Corp Com	A	Dividend	J	T	Buy	09/04/18	J		
110. - Mastercard Inc Class A	A	Dividend	K	T	Buy	10/16/18	K		
111. - Verisign Inc		None	J	T	Buy	11/29/18	J		
112. - Crown Castle Reit	B	Dividend	K	T	Buy	10/18/18	K		
113. - Equinix Inc	A	Dividend			Buy	04/06/18	K		
114.	A	Dividend			Buy (add'l)	09/04/18	J		
115.	A	Dividend	K	T	Buy (add'l)	10/18/18	J		
116. - FirstService Corp	A	Dividend	J	T	Buy	09/04/18	J		
117. - Goldman Sachs Active Beta Intl Eq ETF	A	Dividend	J	T	Buy	03/12/18	J		
118.	A	Dividend			Sold	05/29/18	J		
119.	A	Dividend	J	T	Buy	08/09/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Boston Financial Management, Inc. Revocable Trust									See Section VIII
121. Schwab Government Money Market Sweep		None	K	T					See Section VIII
122. - CVS Caremark Corp (bond)		None			Matured	12/05/18	K		
123. - Deere & Company (bond)	B	Interest	K	T					
124. - Comcast Corp	A	Dividend			Sold	06/04/18	J		
125. - Costco Whsl Corp	A	Dividend	J	T					
126. - iShares TR S&P Midcap 400	A	Dividend			Sold	11/20/18	J	B	
127. - iShares TR S&P Small Cap 600	A	Dividend			Sold	11/20/18	J	B	
128. - United Health Group Inc	A	Dividend	J	T	Sold (part)	06/12/18	J	D	
129. - Amazon Com Inc		None	K	T					
130. - Nike Inc CL B	A	Dividend	J	T					
131. - Diago Plc ADR	A	Dividend	J	T	Sold (part)	11/20/18	J	C	
132. - McCormick & Co Inc	A	Dividend			Sold	02/09/18	J	C	
133. - Chevron Corp	A	Dividend	J	T	Sold (part)	12/11/18	J	A	
134. - JP Morgan Chase & Co	A	Dividend	J	T					
135. - Johnson & Johnson	A	Dividend	J	T					
136. - 3M Co	A	Dividend			Sold	10/15/18	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Apple Computer Inc	A	Dividend	J	T					
138. - Automatic Data Processing	A	Dividend	J	T					
139. - Microsoft Corp	A	Dividend	J	T					
140. - Air Prods & Chems	A	Dividend	J	T					
141. - Praxair Inc	A	Dividend			Sold	06/11/18	K	C	
142. - RPM International Inc Com	A	Dividend			Sold	08/03/18	J	C	
143. - Avalonbay Cmnty Inc	A	Dividend			Sold	01/08/18	J	B	
144. - Copart Inc.		Dividend	K	T	Sold (part)	06/11/18	J	C	
145.		None	K	T	Sold (part)	06/12/18	J	C	
146. - Norwood, MA - GO (Bond)		None			Matured	07/15/18	K		
147. - Shrewsbury, MA - GO (Bond)	A	Interest	K	T					
148. - Moodys Corp	A	Dividend	J	T					
149. - Waters Corporation		None	J	T					
150. - Alphabet Inc-CL C		None	K	T					
151. - Carter Inc Com	A	Dividend			Sold (part)	11/29/18	J		
152.					Sold	12/24/18	J		
153. - CME Group Inc	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Mastercard Inc - Class A	A	Dividend	K	T					
155. - Sun Life Finl Inc	A	Dividend			Sold	05/31/18	J	A	
156. - Massachusetts St GO Ref (Bond)	B	Interest	K	T					
157. - Nantucket, MA GO (Bond)	A	Interest	K	T					
158. -Mastercard Inc. (Bond)	A	Interest	K	T					
159. - Ingredion Inc.	A	Dividend			Sold	07/25/18	J		
160. - First Republic Bank/San Fran	A	Dividend	J	T	Sold (part)	11/29/18	J		
161. - FNF Group	A	Dividend			Sold	12/14/18	J		
162. - Gilead Sciences	A	Dividend	J	T					
163. - KAR Auction Svcs Inc Com	A	Dividend	J	T					
164. - Republic Services Inc.	A	Dividend	J	T					
165. - Watsco Inc.	A	Dividend	J	T					
166.					Buy (add'l)	11/13/18	J		
167. - Qualcomm Inc	A	Dividend	J	T					
168. - Novozymes A/S ADR	A	Dividend			Sold	11/20/18	J	A	
169. - Massachusetts ST -GO REF (Bond)	A	Interest	K	T	Buy	08/31/18	K		
170. - Massachusetts ST WTR Pollutn A Rev Ref (Bond)	B	Interest	K	T	Buy	10/23/18	K		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Aramark Com	A	Dividend	J	T	Buy	12/10/18	J		
172. - Cogent Communications	A	Dividend	J	T	Buy	07/25/18	J		
173. - Constellation Software Inc Com	A	Dividend	J	T	Buy	05/31/18	J		
174. - Equinix Inc	A	Dividend	J	T	Buy	04/06/18	J		
175. - Heico Corp New CL A	A	Dividend	J	T	Buy	12/19/18	J		
176. - Scotts Miracle Gro Class A	A	Dividend	J	T	Buy	08/03/18	J		
177. - Sherwin Williams Co	A	Dividend	J	T	Buy	11/13/18	J		
178. - Verisign Inc.		None	J	T	Buy	11/29/18	J		
179. - Walgreen Boot Alliance	A	Dividend			Buy	01/26/18	J		
180.					Sold	11/13/18	J	A	
181. Boston Financial Management Inc Revocable Trust									See Section VIII
182. Schwab Government Money Market Sweep		None	K	T					See Section VIII
183. - Johnson & Johnson	A	Dividend	J	T					
184. - Costco Wholesale Corp	A	Dividend	J	T					
185. - Amazon.com Inc.		None	K	T					
186. - Automatic Data Processing Inc	A	Dividend	J	T					
187. - Automatic Data Processing Inc. (Bond)	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Apple Inc	A	Dividend	J	T					
189. - American Tower Corp	A	Dividend	J	T					
190. - Diageo PLC ADR	A	Dividend	J	T					
191. - Comcast Corp	A	Dividend			Sold	03/01/18	J	B	
192. - McCormick & Co Inc	A	Dividend			Sold	02/09/18	J	B	
193. - Praxair Inc	A	Dividend			Sold	10/31/18	J	B	
194. - Nike Inc - B	A	Dividend	J	T					
195. - United Health Group Inc	A	Dividend	J	T					
196. - Microsoft Corp	A	Dividend	J	T					
197. - 3M Co	A	Dividend			Sold	10/15/18	J	C	
198. - Chevron Corp	A	Dividend	J	T					
199. - JP Morgan Chase & Co	A	Dividend	J	T					
200. - Novo-Nordisk AS ADR-B	A	Dividend	J	T					
201.					Buy (add'l)	11/16/18	J		
202. - Air Prods & Chems Inc.	A	Dividend	J	T					
203. - RPM International Inc Com	A	Dividend			Sold	08/03/18	J	B	
204. - iShares TR S&P Midcap 400	A	Dividend	J	T					

1 Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2 Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3 Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - iShares TR S&P Small Cap 600	A	Dividend	J	T					
206. - Copart Inc		None	J	T					
207. - Jack Henry & Associates Inc	A	Dividend	J	T					
208. - Apple Inc SR GLBL NT (Bond)	A	Interest	K	T					
209. - US Treasury Note (Bond)		None			Matured	03/15/18	K		
210. - Amgen, Inc. (Bond)	A	Interest	K	T					
211. - Moodys Corp	A	Dividend	J	T					
212. - Watsco Inc	A	Dividend	J	T	Buy (add'l)	11/13/18	J		
213. - Qualcomm Inc	A	Dividend	J	T					
214. - Carter Inc Com	A	Dividend			Sold	12/24/18	J		
215. - CME Group Inc	A	Dividend	J	T					
216. - Illinois Tool Works Inc. (Bond)	A	Interest	K	T					
217. - Mastercard Inc. (Bond)	A	Interest	J	T					
218. - Ingredion Inc.		Dividend			Sold	07/25/18	J		
219. - First Republic Bank/San Fran	A	Dividend	J	T					
220. - FNF Group	A	Dividend			Sold	12/14/18	J		
221. - Gilead Sciences	A	Dividend	J	T					

1 Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2 Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3 Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - KAR Auction Svcs Inc Com	A	Dividend	J	T					
223. - Aramark Com	A	Dividend	J	T	Buy	12/10/18	J		
224. - Constellation Software Inc Com	A	Dividend	J	T	Buy	05/29/18	J		
225. - Danone Sponsored ADR	A	Dividend	J	T	Buy	03/16/18	J		
226. - Equinix Inc	A	Dividend	J	T	Buy	04/06/18	J		
227. - Goldman Sachs Active Beta Intl EQ ETF		Dividend			Buy	03/16/18	J		
228.					Sold	05/29/18	J		
229. - GTT Communications Inc.		None	J	T	Buy	03/01/18	J		
230. - Heico Corp New CL A	A	Dividend	J	T	Buy	12/19/18	J		
231. - Lennox Intl Inc Com	A	Dividend	J	T	Buy	07/25/18	J		
232. - Luxottica Grp S P A ADR		Dividend			Buy	03/16/18	J		
233.					Sold	11/19/18	J		
234. - Mastercard Inc - Class A	A	Dividend	J		Buy	03/01/18	J		
235. - Matthews Pacific Tiger - Inst		Dividend	J	T	Buy	03/16/18	J		
236. -					Sold	11/16/18	J		
237. - Novozymes A/S ADR	A	Dividend	J	T	Buy	02/09/18	J		
238.					Buy (add'l)	11/16/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. - Scotts Miracle Gro Class A	A	Dividend	J	T	Buy	08/03/18	J		
240. - Sherwin Williams Co	A	Dividend	J	T	Buy	02/09/18	J		
241.					Buy (add'l)	11/13/18	J		
242. - Verisign Inc	A	Dividend	K	T	Buy	11/29/18	J		
243. - Walgreens Boots Alliance	A	Dividend			Buy	01/26/18	J		
244.					Sold	11/13/18	J	A	
245. - Wasatch Emerging Mkt Small Cap Instl		Dividend			Buy	03/16/18	J		
246.					Sold	12/16/18	J		
247. - Bank of America	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Gorton, Nathaniel M.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, Lines 2 - 4 NOTE: None of the beneficiaries of the trusts and accounts listed in Part I of which the reporting party is a trustee is a dependent of the reporting party and neither the reporting party nor his spouse has any beneficial interest in or control over the disposition of assets of such trusts and accounts.

Part VII, Line 1 - Income of the reporting party reported in Section VII is attributable to his pro rata share of the income of Slade Gorton Seafood Holdings, Inc. which is taxed as an S corporation whereby all corporate income is deemed passed through and taxable to the individual stockholders whether or not such income is, in fact, distributed. The value of the stock holdings is appraised from time to time by a certified financial planner, last done in 2012.

Part VII, Line 3 - In 1997 the reporting party and spouse funded a charitable remainder trust of which they are the income beneficiaries for life but in which they retain no right to principal.

Part VII, Lines 3, 41, 118 and 178 - The assets listed on the dashed ("-") lines that follow these lines are managed by Boston Financial Management, Inc., Boston MA and consist of stocks and bonds in publicly held companies and equity holdings based on certain indicies. In each of the accounts so managed, the financial advisor maintains a cash balance in the amounts shown in the following lines 4, 43, 121 and 182 for liquidity.

Part VII, Line 41 - The reporting party is now required to withdraw a certain minimum amount from his IRA account each year.

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Name of Person Reporting

Gorton, Nathaniel M.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Nathaniel M. Gorton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544