

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kaufman, Victoria S.	2. Court or Organization California - Bankruptcy Court	3. Date of Report 06/28/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States Bankruptcy Court San Fernando Valley Division 21041 Burbank Boulevard, Suite 354 Woodland Hills, CA 91367		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank accounts	A	Interest	M	T					
2. JPMorgan Chase accounts (Y)									
3. Kaufman Investments LLC (rental property in Shorewood, IL)	B	Rent	L	U					
4. Brokerage Acct. # 2									
5. -- Money Market Account (Goldman Sachs)	A	Dividend			Redeemed	01/31/18	N		
6. Eclipse Resources		None	J	T	Buy	12/03/18	J		
7.					Buy	12/10/18	J		
8. -- Freds Inc.		None			Buy	04/23/18	J		
9.					Buy	05/04/18	J		
10.					Buy	06/04/18	J		
11.					Sold (part)	09/12/18	J		
12.					Buy	09/20/18	J		
13.					Sold (part)	10/15/18	J	A	
14.					Sold (part)	10/19/18	J	A	
15.					Sold	10/22/18	J	A	
16. Goldman Sachs Financial Square Government Fund	C	Dividend	N	T	Buy	01/31/18	N		
17.					Sold (part)	04/17/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold (part)	04/23/18	J		
19.					Sold (part)	05/01/18	J		
20.					Sold (part)	05/04/18	J		
21.					Sold (part)	05/15/18	J		
22.					Sold (part)	06/04/18	J		
23.					Sold (part)	06/22/18	J		
24.					Sold (part)	08/15/18	J		
25.					Sold (part)	08/20/18	J		
26.					Buy	08/27/18	J		
27.					Sold (part)	09/07/18	J		
28.					Buy	09/12/18	J		
29.					Sold (part)	09/20/18	J		
30.					Buy	10/15/18	J		
31.					Buy	10/19/18	J		
32.					Buy	10/22/18	J		
33.					Sold (part)	10/30/18	J		
34.					Buy	11/16/18	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Sold (part)	12/03/18	J		
36.					Sold (part)	12/10/18	J		
37. -- Kandi Technologies Corp.		None	K	T	Buy	10/02/18	J		
38.					Buy	10/22/18	J		
39.					Buy	10/30/18	J		
40. -- Net 1 UEPS Technologies, Inc. CMN		None	M	T	Buy	04/27/18	J		
41.					Buy	05/01/18	J		
42.					Buy	06/22/18	J		
43.					Buy	08/20/18	J		
44.					Buy	09/07/18	J		
45. -- Stealthgas, Inc.		None	L	T					
46. -- Ultra Petroleum Corp.		None	K	T	Buy	01/09/18	J		
47.					Buy	05/15/18	J		
48.					Buy	08/15/18	J		
49.					Sold (part)	08/27/18	J		
50.					Sold (part)	11/16/18	J		
51. IRA # 2									

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52. -- Sprott Physical Gold ETV		None	J	T					
53. IRA # 3									
54. -- iShares Gold Trust		None	K	T					
55. -- iShares Silver Trust		None	J	T					
56. Annuity # 1									
57. -- Fidelity VIP Money Market		None	K	T					
58. Capital One account # 1	B	Interest	M	T					
59. Annuity # 2 - John Hancock Asset Management Money Market		None	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Victoria S. Kaufman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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