

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Garza, Carmen E.	2. Court or Organization U.S. District Court, District of NM	3. Date of Report 04/22/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time magistrate judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 100 N. Church St., Las Cruces, New Mexico 88001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2018	Carmen E. Garza, Single Investment Account from former self employment
2. 2018	Carmen E. Garza, Traditional Individual Retirement Account from former self employment
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Quicken Loans	mortgage on real property (rental #2)	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fort Bliss Federal Credit Union accounts	A	Interest	L	T					
2. First American Bank accounts		None	M	T					
3. Rental property #1, Las Cruces, NM purchase 2000-\$125,000	E	Rent	M	W					
4. Rental property #2, Las Cruces purchase 2008-\$240,000	D	Rent	M	W					
5. Time share property, San Diego, Ca, purchase 2005-\$5,0000		None	J	W					
6. AB Intermediate Diversified Municipal	A	Dividend	J	T					
7. AT&T	A	Dividend	J	T	Buy (add'l)	05/21/18	J		
8. Abbott Laboratories	A	Dividend	J	T					
9. Accenture PIC		None	J	T					
10. Alps Alerian Mlp ETF		None			Sold	11/12/18	J		
11. Altria Group Inc.	A	Dividend	J	T					
12. American Fund Fundamental	A	Dividend	J	T					
13. American Fund High Income	A	Dividend	J	T					
14. Apple Inc	A	Dividend	J	T					
15. Arthur J. Gallagher & Co.	A	Dividend	J	T					
16. BB& T Corp.	A	Dividend	J	T					
17. BCE Inc.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Beckton Dickinson & Co.	A	Dividend	J	T					
19. Blackrock Equity	B	Dividend	J	T					
20. Blackrock National Municipal	B	Dividend	J	T					
21. American Fund Tax Exempt Bond	A	Dividend	J	T					
22. CBA Aggressive Growth I	A	Dividend	J	T					
23. Chevron Corporation	A	Dividend	J	T					
24. Cisco Systems Inc.	A	Dividend	J	T					
25. Coca Cola Company	A	Dividend	J	T					
26. Colgate-Palmolive	A	Dividend	J	T					
27. CVS Health Corp		None	J	T					
28. Deere & Co.		None	J	T					
29. Diageo Plc	A	Dividend	J	T					
30. Eversource Energy	A	Dividend	J	T					
31. Exxon Mobile Corp	A	Dividend	J	T					
32. Franklin Fed Int-Term T/F Inc	A	Dividend	J	T					
33. Franklin Mutual Global Disc.	A	Dividend	J	T					
34. Genuine Parts Co.	A	Dividend	J	T					

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35. Harbor Capital Appreciation	A	Dividend	J	T					
36. Hartford Dividend and Growth	B	Dividend	J	T					
37. IBM Corp		None	J	T					
38. Illinois T ITW	A	Dividend	J	T	Buy	11/12/18	J		
39.					Buy (add'l)	11/13/18	J		
40. Intel Corporation	A	Dividend	J	T	Sold (part)	05/21/18	J		
41. Invesco Comstock	B	Dividend	J	T					
42. Invesco International Growth	B	Dividend	J	T					
43. Ishares Interm Credit		None			Sold	01/24/18	K		
44. Ishares MBS ETF Iv		None	K	T	Buy (add'l)	01/24/18	J		
45.					Buy (add'l)	10/05/18	J		
46.					Buy (add'l)	12/07/18	J		
47. Ishares MSCI Eafe Value	A	Dividend	J	T					
48. I shares 1-3 Year Credit		None			Sold	01/24/18	K		
49. JH Disciplined Value Mid Cap	B	Dividend	J	T					
50. Johnson & Johnson	A	Dividend	J	T					
51. JP Morgan Chase & Co	A	Dividend	J	T					

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52. Kinder Morgan, Inc.		None	J	T	Buy	10/26/18	J		
53. Leggett & Platt Inc		None	J	T					
54. Lockheed Martin Corp		None	J	T					
55. Lowes Companies Inc	A	Dividend	J	T					
56. MFS Growth Fund	A	Dividend	J	T					
57. Microsoft Corp	A	Dividend	J	T					
58. Nestle SA	A	Dividend	J	T					
59. Novartis AG	A	Dividend	J	T					
60. Occidental Petrol Co	A	Dividend	J	T					
61. Omnicom Group Inc		None	J	T					
62. Oracle Corporation		None	J	T					
63. Parker-Hannifin Corp		None	J	T					
64. Pfizer Incorporated	A	Dividend	J	T					
65. Philip Morris Intl	A	Dividend	J	T	Buy (add'l)	05/23/18	J		
66. PPG Industries Inc.	B	Dividend	J	T	Sold (part)	11/13/18	J		
67. Proctor & Gamble	A	Dividend	J	T			J		
68. Prudential Jennison Mid Cap	B	Dividend	J	T					

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69. Raytheon Company	A	Dividend	J	T					
70. Spdr Blmbrg Brclly		None	K	T	Buy (add'l)	01/24/18	J		
71.					Sold (part)	06/11/18	J		
72.					Sold (part)	12/07/18	J		
73. Spdr Intrmdt Trm Corp	B	Dividend	K	T	Buy	01/24/18	J		
74.					Buy (add'l)	06/11/18	J		
75.					Buy (add'l)	10/05/18	J		
76.					Buy (add'l)	12/07/18	J		
77. Spdr Short Term Corp	B	Dividend	K	T	Buy	01/24/18	K		
78.					Sold (part)	10/05/18	J		
79.					Buy (add'l)	12/07/18	J		
80. Spdr Short Term (Treas)	A	Dividend	K	T	Buy (add'l)	06/11/18	K		
81.					Sold (part)	10/05/18	J		
82.					Buy (add'l)	12/07/18	J		
83. Starbucks Corp		None	J	T					
84. T Rowe Price Group	B	Dividend			Sold (part)	03/20/18	J		
85.					Sold (part)	03/21/18	J		

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86.					Sold (part)	03/22/18	J		
87.					Sold	03/23/18	J		
88. Texas Instruments	B	Dividend	J	T	Sold (part)	05/21/18	J		
89. Thornburg Limited Term Muni		None	J	T					
90. TJX Companies Inc.	A	Distribution	J	T					
91. United Technologies	A	Dividend	J	T					
92. Vanguard FTSE Dev. Mkts		None	J	T					
93. Vanguard Small Cap Growth ETF	B	Dividend	J	T					
94. Vanguard Intermediate		None			Sold (part)	06/11/18	J		
95.					Sold (part)	10/05/18	J		
96.					Sold	12/07/18	J		
97. Vanguard Small Cap Value		None	J	T					
98. Verizon Communicatn	A	Dividend	J	T					
99. VF Corporation	A	Dividend	J	T					
100. Wal-Mart	A	Dividend	J	T	Buy	03/20/18	J		
101.					Buy (add'l)	03/21/18	J		
102.					Buy (add'l)	03/23/18	J		

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103.					Buy (add'l)	05/21/18	J		
104. Watso Inc	A	Dividend	J	T					
105. Wells Fargo Bk NA	A	Dividend	J	T					
106. Wells Fargo SH Term Mun Bd I converted to Wells Fargo S/T Mun Bond CIA)		None	J	T					
107. Williams Companies	A	Dividend	J	T	Buy	11/12/18	J		
108. 3M Company	A	Dividend	J	T					
109.									
110.									
111.									
112.									
113.									
114.									
115.									
116.									
117.									
118.									
119.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

On my last report I indicated that I sold all of JH Disc. Value on 3/3/17. I only sold a part. The date of sale and the value code are correct.

On my last report I indicated that I sold all of MFS Growth on 3/3/17. I only sold a part. The date of sale and the value code are correct.

On my last report I indicated that I sold all of Prud. Jennison on 3/3/17. I only sold a part. The date of sale and the value code are correct.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Carmen E. Garza**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544