

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Helmick, Jeffrey J.	2. Court or Organization U.S. District Court, OH-N	3. Date of Report 5/14/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2018 to 12/31/2018
7. Chambers or Office Address 1716 Spielbusch Avenue, Suite 210 Toledo, OH 43604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member, Board of Trustees	Maumee Valley Country Day School
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express Gold (previously known as American Express)	Credit Card	K
2.	Maumee Valley Country Day School	Tuition	K
3.	Discover	Credit Card	K
4.	American Express Delta	Credit Card	K
5.	Chase	Credit Card	J

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Trust #1 (H)									
2. -Apple Inc. Stock	A	Dividend	K	T					
3. -Dodge & Cox Int'l Stock Fund	A	Int./Div.	K	T					
4. -Doubleline Total Return Bond Fd	A	Interest	J	T					
5. -Google, Inc. Stock Class A (Alphabet Inc)	A	Dividend	K	T	Sold (part)	10/24/18	J		
6. -Intel Corp.	A	Dividend	K	T					
7. -iShares Core MSCI EAFE ETF	B	Int./Div.	L	T					
8. -iShares Core S&P SMALL-CAP ETF	A	Int./Div.	K	T					
9. -iShares Core S&P MID-CAP ETF	A	Int./Div.	K	T					
10. -iShares Core S&P 500 ETF	A	Int./Div.	K	T					
11. -JP Morgan US Equity Fund	A	Int./Div.	L	T					
12. -Neuberger Berman Emerg Mkts Eqty	A	Int./Div.	J	T					
13. -Vanguard Emerging Markets Fund	A	Int./Div.	J	T					
14. -Western Asset Core Plus Bond	A	Interest	K	T					
15. -KT Short Term Tax-Free Fund	A	Dividend	N	T					
16.	A	Int./Div.			Sold (part)	4/10/18	J		
17.	A	Int./Div.			Sold (part)	10/24/18	J		

1 Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2 Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3 Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. -KT Short Term Deposit/Investment Fund	A	Dividend	J	T					
19. -American Beacon Bridgeway	A	Int./Div.	K	T					
20. IRA #1 (H)									
21. -Merrill Lynch Cash/Money Account aka Bank of America NA RASP	A	Int./Div.	J	T					
22. -Time Inc. Stock	A	Dividend			Sold	02/02/18	J		
23. -Time Warner Inc. Stock	A	Dividend			Sold	06/15/18	J		
24. -AT&T Inc Stock (NEW)	A	Dividend	J	T	Buy	06/15/18	J		
25. -Charter Communications Inc (formerly Time Warner Cable Inc. Stock)	A	Dividend	J	T					
26. Deferred Compensation #1 (H)									
27. -Ohio DC Intermediate Bond	A	Int./Div.	J	T					
28. -Ohio DC Stable Value Option	A	Int./Div.	K	T					
29. -Ohio DC Small-Cap Value (X)	A	Dividend	J	T					
30. -Dodge & Cox Stock Fund	A	Dividend	K	T					
31. -Fidelity Contrafund CP (Formerly Fidelity Contrafund)	A	Dividend	L	T					
32. -Vanguard Capital Opportunity Stock Fund	B	Dividend	K	T					
33. MetLife Whole Life (H)	A	Int./Div.	K	T					
34. Fidelity Investments (formerly Morgan Stanley) (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. -3M Company Stock	A	Dividend	J	T					
36. -Amazon Com Inc Stock	A	Dividend	K	T					
37. -American Water Works Co Stock	A	Dividend	J	T					
38. -AT&T Inc Stock	A	Dividend	J	T					
39. -Bank of Hawaii Corp Stock	A	Dividend	J	T					
40. -Cincinnati Financial Corp Stock	A	Dividend	J	T					
41. -CME Group Inc Stock	A	Dividend	K	T					
42. -Comcast Corp (New) Class A Stock	A	Dividend	J	T					
43. -Harbor Intl Inst (Y)									
44. -Honeywell Intl Inc Stock	A	Dividend	J	T					
45. -Intel Corp Stock	A	Dividend	K	T					
46. -iShares Core MSCI EAFE ETF	C	Int./Div.			Sold	10/18/18	M	E	
47. -iShares Russell MID-CAP ETF	A	Int./Div.	K	T					
48. -JPMorgan Chase & Co Stock	A	Dividend	J	T					
49. -Kraft Heinz Co Stock	A	Dividend	J	T					
50. -McDonalds Corp Stock	A	Dividend	J	T					
51. -MetLife Inc Stock	A	Dividend	J	T					

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52. -Microsoft Corp Stock	A	Dividend	K	T					
53. -Oracle Corp Stock	A	Dividend	J	T					
54. -Pepsico Inc NC Stock	A	Dividend	J	T					
55. -Pfizer Inc Stock	A	Dividend	J	T					
56. -Phillip Morris Intl Inc Stock	A	Dividend	J	T					
57. -PNC Finl Svcs GP Stock	A	Dividend	J	T					
58. -Republic Services Inc Stock	A	Dividend	J	T					
59. -Rockwell Automation Inc Stock	A	Dividend	J	T					
60. -iShares Core S&P 500 ETF (formerly S & P 500 Index Fund)	A	Int./Div.	K	T					
61. -Sherwin Williams Co Ohio Stock	A	Dividend	J	T					
62. -United Technologies Corp Stock	A	Dividend	J	T					
63. -Verizon Communications Stock	A	Dividend	K	T					
64. -Wells Fargo & Co NEW Stock	A	Dividend	J	T					
65. -Welltower Inc Stock	A	Dividend	J	T					
66. -Biogen Inc	A	Dividend	J	T					
67. -Boeing Co	A	Int./Div.	J	T					
68. -Merck & Co Inc	A	Dividend	J	T					

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69. -Qualcomm Inc	A	Dividend	J	T					
70. -Eaton Vance Tax Mgd Div Equ FD	A	Dividend	J	T					
71. -First TR Morningstar Div	A	Dividend	J	T					
72. -iShares iBoxx	A	Dividend	J	T					
73. -iShares iBoxx Invest	A	Dividend	J	T					
74. -iShares Russell MID-CAP G ETF	A	Dividend	J	T					
75. -iShares Russell MID-CAP V ETF	A	Dividend	J	T					
76. -Invesco Vari Rate Preferred (formerly Powershares Variable Rate)	A	Dividend	K	T					
77. -Pioneer Strategic Income	A	Int./Div.	J	T					
78. -Vanguard Reit Etf	A	Dividend	J	T					
79. -Pimco Foreign Bd US \$ Hedged	A	Dividend	J	T					
80. -Apple Inc	A	Int./Div.	J	T					
81. -Alphabet Inc (Google)	A	Int./Div.	J	T					
82. -American Express	A	Int./Div.	J	T					
83. -Fidelity Government Cash Reserves (X)	A	Interest	J	T					
84. -Garrett Motion Inc Stock (distribution from Honeywell) (X)	A	Distribution	J	T					
85. -Resideo Technologies Corp (Distribution from Honeywell) (X)	A	Distribution	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Regarding "Trust #1", the first listing in Part VII, [REDACTED] is the sole beneficiary of the trust.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Jeffrey J. Helmick**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544