

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hurwitz, Andrew	2. Court or Organization U.S. Court of Appeals, Ninth Circuit	3. Date of Report 06/10/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Court of Appeals Judge, Ninth Circuit (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 401 W. Washington Street Phoenix, AZ 85003		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	Avondale Acres Investment Partnership
2. Committee Member	Commission on the Future of Legal Education
3. Committee Member	National Conference of Bar Examiners Torts Drafting Committee
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2013	Arizona Elected Officials Retirement System; no control
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 26

Name of Person Reporting

Hurwitz, Andrew

Date of Report

06/10/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	National Conference of Bar Examiners (stipend)	\$7,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Arizona State Retirement System (pension)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Commission on the Future of Legal Education	Jan. 3-4, 2018	San Diego, CA	Committee working session	Transportation, lodging, and food
2. Commission on the Future of Legal Education	Jan. 28-29, 2018	Las Vegas, NV	Conference of Chief Justices	Transportation, lodging, and food
3. Fordham University	April 6-9, 2018	New York, NY	Moot Court Competition (judging final round)	Transportation, lodging, and food
4. National Conference of Bar Examiners	May 10-13, 2018	Santa Barbara, CA	Torts Drafting Committee Meeting	Transportation, lodging, and food
5. National Conference of Bar Examiners	Sept. 20-23, 2018	New York, NY	Torts Drafting Committee Meeting	Transportation, lodging, and food

FINANCIAL DISCLOSURE REPORT

Page 3 of 26

Name of Person Reporting

Hurwitz, Andrew

Date of Report

06/10/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Morgan Stanley	Portfolio Loan	N
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 26

Name of Person Reporting

Hurwitz, Andrew

Date of Report

06/10/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. US BANK (CASH)	A	Interest	L	T					
2. AVONDALE ACRES INVESTMENT PARTNERSHIP (REAL ESTATE, AVONDALE, AZ)	A	Distribution	K	W					
3. TRUST #1 (H)									
4. MORGAN STANLEY BANK N.A.	A	Interest	K	T					
5. CUBESMART COM (CUBE)		None	J	T	Buy	12/11/18	J		
6. EQUITY LIFESTYLE PROPERTIES (ELS)		None	J	T	Buy	12/11/18	J		
7. JP MORGAN ALERIAN MLP INDEX ETN (AMJ)		None	K	T	Buy	12/11/18	K		
8. ISHARES MSCI JAPAN MIN VOL (JPMV)		None			Sold (part)	02/14/18	J	A	
9.					Sold	04/10/18	J	B	
10. ISHARES MSCI USA MIN VOL ETF (USMV)	A	Dividend	K	T	Sold (part)	02/14/18	J	A	
11.					Sold	04/10/18	K	D	
12.					Buy	12/11/18	K		
13. FLEXSHARES GLOBAL UPSTREAM NAT (GUNR)	A	Dividend			Buy	04/10/18	J		
14.					Sold (part)	12/04/18	J		
15.					Sold	12/11/18	J		
16. FLEXSHARES TR STOXX GLOBR INF (NFRA)	A	Dividend			Buy	04/10/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 26

Name of Person Reporting

Hurwitz, Andrew

Date of Report

06/10/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17.					Sold (part)	12/04/18	J		
18.					Sold	12/11/18	J		
19. ISHARES MORTGAGE REAL ESTATE (REM)	A	Dividend	J	T	Sold (part)	02/14/18	J		
20.					Sold (part)	04/10/18	J	A	
21.					Sold (part)	12/04/18	J	A	
22.					Sold (part)	12/11/18	J	A	
23. ISHARES RESIDENTIAL REAL ESTATE ETF (REZ)	A	Dividend	J	T	Buy	12/11/18	J		
24. PACER TRENDPILOT US LARGE CAP (PTLC)	A	Dividend	K	T	Buy	04/10/18	K		
25.					Sold (part)	12/04/18	J	A	
26.					Sold (part)	12/11/18	J	A	
27. VANGUARD GROWTH ETF (VUG)	A	Dividend	K	T	Sold (part)	02/14/18	J	A	
28.					Sold (part)	04/10/18	J	A	
29.					Sold (part)	12/04/18	J	A	
30.					Buy (add'l)	12/11/18	J		
31. VANGUARD MID CAP VALUE ETF (VOE)	A	Dividend	J	T	Buy	04/10/18	K		
32.					Sold (part)	12/04/18	J		
33. VANGUARD SMALL CAP VALUE ETF (VBR)	A	Dividend	J	T	Sold (part)	02/14/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 26

Name of Person Reporting

Hurwitz, Andrew

Date of Report

06/10/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
34.					Buy (add'l)	04/10/18	J		
35.					Sold (part)	12/04/18	J	A	
36. WISDOMTREE EUROPE HEDGED EQUITY (HEDJ)	A	Dividend	J	T	Sold (part)	02/14/18	J	A	
37.					Sold (part)	04/10/18	J	B	
38.					Sold (part)	12/04/18	J	A	
39.					Buy (add'l)	12/11/18	J		
40. WISDOMTREE TRUST JAPN HEDGE EQ	A	Dividend	J	T	Sold (part)	02/14/18	J	A	
41.					Buy (add'l)	04/10/18	J		
42.					Sold (part)	12/04/18	J		
43. AIG FOCUSED DIV STRAT A (FDSAX)	C	Dividend			Sold (part)	02/14/18	J	A	
44.					Buy (add'l)	04/10/18	J		
45.					Sold (part)	12/04/18	J	A	
46.					Sold	12/11/18	K	A	
47. BARON REAL ESTATE INST (BREIX)		None			Sold (part)	02/14/18	J	A	
48.					Sold	04/10/18	K	C	
49. COHEN & STEERS PREF SEC&INC I (CPXIX)	A	Dividend	J	T	Sold (part)	02/14/18	J	A	
50.					Buy (add'l)	04/10/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 26

Name of Person Reporting

Hurwitz, Andrew

Date of Report

06/10/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
51.					Sold (part)	12/04/18	J		
52.					Sold (part)	12/11/18	J		
53. COLUMBIA SELECT LG CP GROWTH Z (UMLGX)	C	Dividend	K	T	Sold (part)	02/14/18	J	A	
54.					Sold (part)	04/10/18	J	A	
55.					Sold (part)	12/04/18	J		
56. DOUBLELINE SHILLER ENH CAPE (DSEEX)	D	Dividend	K	T	Sold (part)	02/14/18	J	A	
57.					Buy (add'l)	04/10/18	K		
58.					Sold (part)	12/04/18	J	A	
59.					Buy (add'l)	12/11/18	J		
60. DOUBLELINE STRATEGIC COMMODITY I (DBCMX)	A	Dividend			Buy	04/10/18	K		
61.					Sold (part)	12/04/18	J		
62.					Sold	12/11/18	K		
63. DOUBLELINE TOTAL RETURN I (DBLTX)	A	Dividend	J	T	Sold (part)	02/14/18	J		
64.					Sold (part)	04/10/18	K		
65.					Sold (part)	12/04/18	J		
66.					Sold (part)	12/11/18	J		
67. FIDELITY ADVANCED EMERGING MARKETS I (FIMKX)	A	Dividend	K	T	Buy	04/10/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 26

Name of Person Reporting

Hurwitz, Andrew

Date of Report

06/10/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
68.					Sold (part)	12/04/18	J		
69. FIRST EAGLE GOLD (FEGIX)		None	J	T	Sold (part)	02/14/18	J	A	
70.					Sold (part)	04/10/18	J		
71.					Sold (part)	12/04/18	J		
72. FRANKLIN INTERNATIONAL GROWTH ADVANCED (FNGZX)	A	Dividend	J	T	Buy	12/11/18	J		
73. INVESCO DEVELOPING MKTS Y (GTDYX)		None			Sold (part)	02/14/18	J	A	
74.					Sold	04/10/18	K		
75. HENDERSON EUROPEAN FOCUS (HFEIX)	A	Dividend			Sold (part)	02/14/18	J	A	
76.					Sold (part)	04/10/18	J	A	
77.					Sold (part)	12/04/18	J		
78.					Sold	12/11/18	J		
79. MATTHEWS ASIAN JAPAN INV (MJFOX)	B	Dividend	J	T	Sold (part)	02/14/18	J	A	
80.					Sold (part)	04/10/18	K	C	
81.					Sold (part)	12/04/18	J	A	
82.					Buy (add'l)	12/11/18	J		
83. METROPOLITAN WEST TOT RET BD I (MWTIX)	A	Dividend	J	T	Sold (part)	02/14/18	J		
84.					Sold (part)	04/10/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 26

Name of Person Reporting

Hurwitz, Andrew

Date of Report

06/10/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
85.					Sold (part)	12/04/18	J		
86.					Sold (part)	12/11/18	J		
87. NORTHERN AZ TAX EXEMPT FUND (NOAZX)	A	Dividend	J	T	Sold (part)	02/14/18	J		
88.					Sold (part)	04/10/18	J		
89. PIMCO INCOME P (PONPX)	B	Dividend	K	T	Sold (part)	02/14/18	J		
90.					Sold (part)	04/10/18	J		
91.					Sold (part)	12/04/18	J		
92.					Sold (part)	12/11/18	J		
93. PGIM (FORMERLY PRUDENTIAL) TOT RET BD Z (PDBZX)	A	Dividend	K	T	Sold (part)	02/14/18	J		
94.					Buy (add'l)	04/10/18	J		
95.					Sold (part)	12/04/18	J		
96.					Sold (part)	12/11/18	J		
97. T ROWE PRICE MID CAP GR (RPMGX)	B	Dividend	J	T	Sold (part)	02/14/18	J	A	
98.					Sold (part)	04/10/18	J	A	
99.					Sold (part)	12/04/18	J	A	
100.					Sold (part)	12/11/18	J	A	
101. T ROWE PRICE QM US SMALL CAP GROWTH EQUITY FUND (PRDSX)	A	Dividend	J	T	Buy	04/10/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 26

Name of Person Reporting

Hurwitz, Andrew

Date of Report

06/10/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
102.					Sold (part)	12/04/18	J		
103.					Sold (part)	12/11/18	J		
104. TOUCHSTONE SANDS CAP SEL GR Y (CFSIX)		None			Buy	04/10/18	K		
105.					Sold (part)	12/04/18	J		
106.					Sold	12/11/18	J		
107. WELLS FARGO MUNI (WMBIX)	A	Dividend	J	T	Sold (part)	02/14/18	J		
108.					Sold (part)	04/10/18	J		
109.					Sold (part)	12/04/18	J	A	
110.					Sold (part)	12/11/18	J	A	
111. TRUST #2 (H)									
112. MORGAN STANLEY BANK N.A.	A	Interest	J	T					
113. CUBESMART COM (CUBE)		None	J	T	Buy	12/11/18	J		
114. EQUITY LIFESTYLE PROPERTIES (ELS)		None	J	T	Buy	12/11/18	J		
115. JP MORGAN ALERIAN MLP INDEX ETN (AMJ)		None	K	T	Buy	12/11/18	J		
116. ACTIVE BEAR ETF (HDGE)		None			Sold	04/10/18	J		
117. ISHARES MSCI JAPAN MIN VOL (JPMV)		None			Sold	04/10/18	J	A	
118. ISHARES MSCI USA MIN VOL ETF (USMV)	A	Dividend	K	T	Sold	04/10/18	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 26

Name of Person Reporting

Hurwitz, Andrew

Date of Report

06/10/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119.					Buy	12/11/18	K		
120. FLEXSHARES GLOBAL UPSTREAM NAT (GUNR)	A	Dividend			Buy	04/10/18	J		
121.					Sold	12/11/18	J		
122. FLEXSHARES TR STOXX GLOBR INF (NFRA)	A	Dividend			Buy	04/10/18	J		
123.					Sold	12/11/18	J		
124. ISHARES MORTGAGE REAL ESTATE (REM)	A	Dividend	J	T	Sold (part)	04/10/18	J	A	
125. ISHARES RESIDENTIAL REAL ESTATE ETF (REZ)	A	Dividend	J	T	Buy	12/11/18	J		
126. PACER TRENDPILOT US LARGE CAP (PTLC)	A	Dividend	K	T	Buy	04/10/18	K		
127.					Buy (add'l)	12/11/18	J		
128. VANGUARD GROWTH ETF (VUG)	A	Dividend	K	T	Sold (part)	04/10/18	J	A	
129.					Buy (add'l)	12/11/18	J		
130. VANGUARD MID CAP VALUE ETF (VOE)	A	Dividend	J	T	Buy	04/10/18	J		
131. VANGUARD SM CAP VAL ETF (VBR)	A	Dividend	J	T	Sold (part)	04/10/18	J	A	
132. WISDOMTREE EUROPE HEDGED EUQITY (HEDJ)	A	Dividend	J	T	Sold (part)	04/10/18	J	B	
133.					Buy (add'l)	12/11/18	J		
134. WISDOMTREE TRUST JAPN HEDGE EQ (DXJ)	A	Dividend	J	T	Buy (add'l)	04/10/18	J		
135.					Buy (add'l)	12/11/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 26

Name of Person Reporting

Hurwitz, Andrew

Date of Report

06/10/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. AIG FOCUSED DIV STRAT A (FDSAX)	B	Dividend			Buy (add'l)	04/10/18	J		
137.					Sold	12/11/18	K		
138. BARON REAL ESTATE INST (BREIX)		None			Sold	04/10/18	J	B	
139. COHEN & STEERS PREF SEC&INC I (CPXIX)	A	Dividend	J	T	Sold (part)	04/10/18	J	A	
140.					Sold (part)	12/11/18	J		
141. COLUMBIA SELECT LG CP GROWTH Z (UMLGX)	C	Dividend	K	T	Sold (part)	04/10/18	J	A	
142.					Buy (add'l)	12/11/18	J		
143. DOUBLELINE SHILLER ENH CAPE (DSEEX)	C	Dividend	K	T	Buy (add'l)	04/10/18	J		
144.					Buy (add'l)	12/11/18	J		
145. DOUBLELINE STRATEGIC COMMODITY I (DBCMX)	A	Dividend			Buy	04/10/18	K		
146.					Sold	12/11/18	K		
147. DOUBLELINE TOTAL RETURN I (DBLTX)	B	Dividend	J	T	Sold (part)	04/10/18	K		
148. FIDELITY ADVANCED EMERGING MARKETS I (FIMKX)	A	Dividend	K	T	Buy	04/10/18	J		
149.					Buy (add'l)	12/11/18	J		
150. FIRST EAGLE GOLD (FEGIX)		None	J	T	Buy (add'l)	04/10/18	J		
151.					Buy (add'l)	12/11/18	J		
152. FRANKLIN INTERNATIONAL GROWTH ADVANCED (FNGZX)	A	Dividend	J	T	Buy	12/11/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 26

Name of Person Reporting

Hurwitz, Andrew

Date of Report

06/10/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153. INVESCO DEVELOPING MKTS Y (GTDYX)		None			Sold	04/10/18	J		
154. HENDERSON EUROPEAN FOCUS (HFEIX)		None			Sold (part)	04/10/18	J	A	
155.					Sold	12/11/18	J		
156. MATTHEWS ASIAN JAPAN (MJFOX)	A	Dividend	J	T	Sold (part)	04/10/18	J	B	
157.					Buy (add'l)	12/11/18	J		
158. METROPOLITAN WEST TOT RET BD I (MWTIX)	A	Dividend	J	T	Sold (part)	04/10/18	J		
159. NORTHERN AZ TAX EXEMPT FUND (NOAZX)	A	Dividend	J	T	Sold (part)	04/10/18	J		
160. PIMCO INCOME P (PONPX)	A	Dividend	J	T	Sold (part)	04/10/18	J		
161. PIMCO SHORT TERM P (PTSPX)	A	Dividend	J	T	Buy	12/11/18	J		
162. PGIM (FORMERLY PRUDENTIAL) TOT RET BD Z (PDBZX)	A	Dividend	K	W	Buy (add'l)	04/10/18	J		
163. T ROWE PRICE MID CAP GR ADV (RPMGX)		None			Sold (part)	04/10/18	J	A	
164.					Sold	12/11/18	J	A	
165. T ROWE PRICE QM US SMALL CAP GROWTH EQUITY (PRDSX)	A	Dividend	J	T	Buy	04/10/18	J		
166. TOUCHSTONE SANDS CAP SEL GR Y (CFSIX)		None			Buy	04/10/18	K		
167.					Sold	12/11/18	K	A	
168. WELLS FARGO MUNI BOND INST (WMBIX)	A	Dividend	J	T	Sold (part)	04/10/18	J		
169. TRUST #3 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 26

Name of Person Reporting

Hurwitz, Andrew

Date of Report

06/10/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

170. MORGAN STANLEY BANK N.A.	A	Interest	J	T					
171. CUBESMART COM (CUBE)		None	J	T	Buy	12/11/18	J		
172. EQUITY LIFESTYLE PROPERTIES (ELS)		None	J	T	Buy	12/11/18	J		
173. JP MORGAN ALERIAN MLP INDEX ETN (AMJ)		None	K	T	Buy	12/11/18	K		
174. ACTIVE BEAR ETF (HDGE)		None			Sold	04/10/18	J		
175. FLEXSHARES GLOBAL UPSTREAM NAT (GUNR)	A	Dividend			Buy	04/10/18	J		
176.					Sold	12/11/18	J		
177. FLEXSHARES TR STOXX GLOBR INF (NFRA)	A	Dividend			Buy	04/10/18	J		
178.					Sold	12/11/18	J		
179. ISHARES MSCI USA MIN VOL ETF (USMV)	A	Dividend	K	T	Sold	04/10/18	K	C	
180.					Buy	12/11/18	K		
181. ISHARES MORTGAGE REAL ESTATE (REM)	B	Dividend	J	T	Sold (part)	04/10/18	J	A	
182. ISHARES RESIDENTIAL REAL ESTATE ETF (REZ)	A	Dividend	J	T	Buy	12/11/18	J		
183. PACER TRENDPILOT US LARGE CAP (PTLC)	A	Dividend	K	T	Buy	04/10/18	K		
184.					Sold (part)	12/11/18	J	A	
185. VANGUARD GROWTH ETF (VUG)	A	Dividend	K	T	Sold (part)	04/10/18	J	A	
186.					Buy (add'l)	12/11/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 26

Name of Person Reporting

Hurwitz, Andrew

Date of Report

06/10/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
187. VANGUARD MID CAP VALUE ETF (VOE)	A	Dividend	J	T	Buy	04/10/18	J		
188.					Buy (add'l)	12/11/18	J		
189. VANGUARD SM CAP VAL ETF (VBR)	A	Dividend	J	T					
190. WISDOMTREE EUROPE HEDGED EQUITY (HEDJ)	A	Dividend	J	T	Sold (part)	04/10/18	J	B	
191.					Buy (add'l)	12/11/18	J		
192. WISDOMTREE TRUST JAPN HEDGE EQ (DXJ)	A	Dividend	J	T	Buy (add'l)	04/10/18	J		
193.					Buy (add'l)	12/11/18	J		
194. AIG FOCUSED DIV STRAT A (FDSAX)	C	Dividend			Buy (add'l)	04/10/18	J		
195.					Sold	12/11/18	K		
196. BARON REAL ESTATE INST (BREIX)		None			Sold	04/10/18	K	C	
197. COHEN & STEERS PREF SEC&INC I (CPXIX)	A	Dividend	J	T	Buy (add'l)	04/10/18	J		
198.					Sold (part)	12/11/18	J		
199. COLUMBIA SELECT LG CP GROWTH Z (UMLGX)	C	Dividend	K	T	Sold (part)	04/10/18	J	A	
200.					Buy (add'l)	12/11/18	J		
201. DOUBLELINE SHILLER ENH CAPE (DSEEX)	D	Dividend	L	T	Buy (add'l)	04/10/18	J		
202.					Buy (add'l)	12/11/18	J		
203. DOUBLELINE STRATEGIC COMMODITY I (DBCXM)	A	Dividend			Buy	04/10/18	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 26

Name of Person Reporting

Hurwitz, Andrew

Date of Report

06/10/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
204.					Sold	12/11/18	K		
205. DOUBLELINE TOTAL RETURN (DBLTX)	A	Dividend	J	T					
206. FIDELITY ADVANCED EMERGING MARKETS I (FIMKX)	A	Dividend	K	T	Buy	04/10/18	K		
207.					Buy (add'l)	12/11/18	J		
208. FIRST EAGLE GOLD (FEGIX)		None	K	T	Sold (part)	04/10/18	J		
209. FRANKLIN INTERNATIONAL GROWTH ADVANCED (FNGZX)	A	Dividend	J	T	Buy	12/11/18	J		
210. HENDERSON EUROPEAN FOCUS (HFEIX)		None			Sold (part)	04/10/18	J	A	
211.					Sold	12/11/18	J		
212. INVESCO DEVEL MKTS Y (GTDYX)		None			Sold	04/10/18	K		
213. MATTHEWS ASIAN JAPAN (MJFOX)	A	Dividend	J	T	Sold (part)	04/10/18	K	C	
214.					Buy (add'l)	12/11/18	J		
215. METROPOLITAN WEST TOT RET BD I (MWTIX)	A	Dividend	J	T	Sold (part)	04/10/18	J		
216. NORTHERN AZ TAX EXEMPT FUND (NOAZX)	A	Dividend	J	T	Sold (part)	04/10/18	J		
217. PIMCO INCOME P (PONPX)	B	Dividend	K	T	Sold (part)	04/10/18	J		
218.					Sold (part)	12/11/18	J		
219. PGIM (FORMERLY PRUDENTIAL) TOT RET BD Z (PDBZX)	B	Dividend	K	T	Buy (add'l)	04/10/18	J		
220.					Sold (part)	12/11/18	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 26

Name of Person Reporting

Hurwitz, Andrew

Date of Report

06/10/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
221. T ROWE PRICE MID CAP GR ADV (RPMGX)	B	Dividend	J	T	Sold (part)	04/10/18	J	A	
222.					Sold (part)	12/11/18	J	A	
223. T ROWE PRICE QM US SMALL CAP GROWTH EQUITY (PRDSX)	A	Dividend	J	T	Buy	04/10/18	J		
224. TOUCHSTONE SANDS CAP SEL GR Y (CFSIX)		None			Buy	04/10/18	K		
225.					Sold	12/11/18	K	A	
226. WELLS FARGO MUNI BOND (WMBIX)	A	Dividend	J	T	Sold (part)	04/10/18	J		
227.					Sold (part)	12/11/18	J		
228. IRA #1 (H)									
229. MORGAN STANLEY BANK N.A.	A	Interest	J	T					
230. FIRST TRUST MORTGAGE INCOME (FMY)	A	Dividend			Sold	04/10/18	J		
231. FLEXSHARES GLOBAL UPSTREAM NAT (GUNR)	A	Dividend	J	T	Buy	04/10/18	J		
232. FLEXSHARES TR STOXX GLOBR INF (NFRA)	A	Dividend	J	T	Buy	04/10/18	J		
233. ISHARES MSCI USA MIN VOL ETF (USMV)	A	Dividend			Sold	04/10/18	K	D	
234. INVESCO DB COMM IDX TRA (FORMERLY POWERSHARES DB COMM TRK INC) (DBC)	A	Dividend	K	T	Buy	04/10/18	K		
235. ISHARES MORTGAGE REAL ESTATE (REM)	B	Dividend	J	T	Sold (part)	04/10/18	J		
236. PACER TRENDPILOT 750 ETF (PTLC)	A	Dividend	K	T	Buy	04/10/18	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 26

Name of Person Reporting

Hurwitz, Andrew

Date of Report

06/10/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

237. VANGUARD GROWTH ETF (VUG)	A	Dividend	K	T	Buy	04/10/18	K		
238. VANGUARD MID CAP VALUE ETF (VOE)	A	Dividend	K	T	Buy	04/10/18	K		
239. VANGUARD SM CAP VAL ETF (VBR)	A	Dividend	J	T					
240. WISDOMTREE EUROPE HEDGED EQUITY (HEDJ)	A	Dividend	K	T	Sold (part)	04/10/18	J		
241. WISDOMTREE TRUST JAPN HEDGE EQ (DXJ)	A	Dividend	K	T	Buy (add'l)	04/10/18	J		
242. AIG FOCUSED DIV STRAT A (FDSAX)	C	Dividend	L	T	Buy (add'l)	04/10/18	J		
243. COHEN & STEERS PREF SEC&INC I (CPXIX)	B	Dividend	K	T	Sold (part)	04/10/18	J	A	
244. COLUMBIA SELECT LG CP GROWTH Z (UMLGX)	D	Dividend	K	T	Sold (part)	04/10/18	J	A	
245. DOUBLELINE SHILLER ENH CAPE (DSEEX)	D	Dividend	L	T	Buy (add'l)	04/10/18	K		
246. DOUBLELINE TOTAL RETURN (DBLTX)	B	Dividend	K	T	Sold (part)	04/10/18	L		
247. FIDELITY ADVANCED EMERGING MARKETS I (FIMKX)	A	Dividend	K	T	Buy	04/10/18	K		
248. FIRST EAGLE GOLD (FEGIX)		None	K	T	Sold (part)	04/10/18	J	A	
249. INVESCO DEV MKTS Y (GTDYX)		None			Sold	04/10/18	K		
250. HENDERSON EUROPEAN FOCUS (HFEIX)	A	Dividend	K	T					
251. MATTHEWS ASIAN JAPAN INV (MJFOX)	A	Dividend	K	T	Buy (add'l)	04/10/18	K		
252. METROPOLITAN WEST TOT RET BD I (MWTIX)	A	Dividend	K	T	Sold (part)	04/10/18	K		
253. PIMCO INCOME P (PONPX)	B	Dividend	K	T	Sold (part)	04/10/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 26

Name of Person Reporting

Hurwitz, Andrew

Date of Report

06/10/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

254. PGIM (FORMERLY PRUDENTIAL) FLOATING RATE INC Z (FRFZX)	A	Dividend	J	T	Buy	04/10/18	J		
255. PGIM (FORMERLY PRUDENTIAL) TOT RET BD Z (PDBZX)	B	Dividend	L	T	Sold (part)	04/10/18	J	A	
256. T ROWE PRICE MID CAP GR ADV (RPMGX)	C	Dividend	K	T	Sold (part)	04/10/18	J	B	
257. T ROWE PRICE QM US SM CP GR EQ (PRDSX)	A	Dividend	J	T	Sold (part)	04/10/18	J	A	
258. TOUCHSTONE SANDS CAP SEL GR Y (CFSIX)	D	Dividend	K	T	Buy (add'l)	04/10/18	J		
259. VANGUARD INFLAT PROT SEC FUND (VIPSX)	A	Dividend	J	T	Sold (part)	04/10/18	J	A	
260. IRA #2 (H)									
261. JOHN HANCOCK MGD VOL GROWTH PORTFOLIO		None	N	T					
262. IRA #3 (H)									
263. MORGAN STANLEY BANK N.A. (Y)									
264. CUBESMART COM (CUBE)		None	J	T	Buy	12/12/18	J		
265. EQUITY LIFESTYLE PROPERTIES (ELS)		None	J	T	Buy	12/12/18	J		
266. JP MORGAN ALERIAN MLP INDEX ETN (AMJ)		None	J	T	Buy	12/12/18	J		
267. FLEXSHARES GLOBAL UPSTREAM NAT (GUNR)	A	Dividend			Buy	04/10/18	J		
268.					Sold	12/12/18	J		
269. FLEXSHARES TR STOXX GLOBR INF (NFRA)	A	Dividend			Buy	04/10/18	J		
270.					Sold	12/12/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 26

Name of Person Reporting

Hurwitz, Andrew

Date of Report

06/10/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
271. ISHARES MSCI USA MIN VOL (USMV)	A	Dividend	J	T	Sold	04/10/18	J	A	
272.					Buy	12/12/18	J		
273. ISHARES MORTGAGE REAL ESTATE (REM)	A	Int./Div.	J	T	Sold (part)	04/10/18	J		
274. ISHARES RESIDNTL RL EST ETF (REZ)	A	Dividend	J	T	Buy	12/12/18	J		
275. PACER TRENDPILOT 750 ETF (PTLC)	A	Dividend	J	T	Buy	04/10/18	J		
276.					Sold (part)	12/12/18	J	A	
277. INVESCO (FORMERLY POWERSHARES) DB COMM TRK INC (DBC)		None			Buy	04/10/18	J		
278.					Sold	12/12/18	J		
279. VANGUARD GROWTH ETF (VUG)	A	Dividend	J	T	Buy	04/10/18	J		
280.					Sold (part)	12/12/18	J	A	
281. VANGUARD MID CAP VALUE ETF (VOE)	A	Dividend			Buy	04/10/18	J		
282.					Sold	12/12/18	J		
283. VANGUARD SM CAP VALUE ETF (VBR)	A	Dividend			Sold	12/12/18	J		
284. WISDOMTREE EUROPE HEDGED EQUITY (HEDJ)	A	Int./Div.	J	T	Sold (part)	04/10/18	J	A	
285. WISDOMTREE TRUST JAPN HEDGE (DXJ)	A	Int./Div.	J	T	Buy (add'l)	04/10/18	J		
286. AIG FOCUSED DIV STRAT A (FDSAX)	A	Dividend	J	T	Buy (add'l)	04/10/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 26

Name of Person Reporting

Hurwitz, Andrew

Date of Report

06/10/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
287.					Sold (part)	12/12/18	J		
288. COHEN & STEERS PREF SEC&INC I (CPXIX)	A	Dividend	J	T	Sold (part)	04/10/18	J	A	
289.					Sold (part)	12/12/18	J		
290. COLUMBIA SELECT LG CP GROWTH Z (UMLGX)	B	Dividend	J	T	Sold (part)	04/10/18	J		
291.					Sold (part)	12/12/18	J		
292. DOUBLELINE SHILLER ENH CAPE (DSEEX)	B	Dividend	J	T	Buy (add'l)	04/10/18	J		
293.					Sold (part)	12/12/18	J	A	
294. DOUBLELINE TOTAL RETURN I (DBLTX)	A	Dividend	J	T	Sold (part)	04/10/18	K		
295. DREYFUS TBC SM/MD/CAP GRW I (SDSCX)		None	J	T	Buy	12/12/18	J		
296. FIDELITY ADV EMERG MKTS I (FIMKX)	A	Dividend	J	T	Buy	04/10/18	J		
297.					Buy (add'l)	12/12/18	J		
298. FIRST EAGLE GOLD (FEGIX)		None	J	T	Sold (part)	04/10/18	J	A	
299.					Buy (add'l)	12/12/18	J		
300. FRANKLIN INTL GROWTH ADV (FNGZX)	A	Dividend	J	T	Buy	12/12/18	J		
301. INVESCO DEVELOPING MKTS Y (GTDYX)		None			Sold	04/10/18	J		
302. HENDERSON EUROPEAN FOCUS (HFEIX)		None			Sold (part)	04/10/18	J	A	
303.					Sold	12/12/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 26

Name of Person Reporting

Hurwitz, Andrew

Date of Report

06/10/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
304. MATTHEWS ASIAN JAPAN INV (MJFOX)	A	Dividend	J	T	Buy (add'l)	04/10/18	J		
305. METROPOLITAN WEST TOT RET BD I (MWTIX)	A	Dividend	J	T	Sold (part)	04/10/18	J		
306. PIMCO INCOME (PONPX)	A	Dividend	J	T	Sold (part)	04/10/18	J		
307.					Sold (part)	12/12/18	J		
308. PGIM (FORMERLY PRUDENTIAL) FLOATING RATE INC Z (FRFZX)	A	Dividend	J	T	Buy	04/10/18	J		
309. PGIM (FORMERLY PRUDENTIAL) TOT RET BD Z (PDBZX)	A	Dividend	J	T	Sold (part)	04/10/18	J		
310.					Buy (add'l)	12/12/18	J		
311. T ROWE PRICE MID CAP GR ADV (RPMGX)		None			Sold (part)	04/10/18	J	A	
312.					Sold	12/12/18	J	A	
313. T ROWE PRICE QM US SM CP GR EQ (PRDSX)		None			Sold (part)	04/10/18	J	A	
314.					Sold	12/12/18	J	A	
315. TOUCHSTONE SANDS CAP SEL GR (CFSIX)	B	Dividend	J	T	Buy (add'l)	04/10/18	J		
316.					Sold (part)	12/12/18	J		
317. IRA #4 (H)									
318. ISHARES MSCI MIN VOL USA (USMV) (Y)									
319. PACER TRENDPILOT 750 ETF (PTLC)	A	Dividend	J	T	Buy	04/10/18	J		
320.					Sold (part)	11/20/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 26

Name of Person Reporting

Hurwitz, Andrew

Date of Report

06/10/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
321. INVESCO (FORMERLY POWERSHARES) DB COMM TRK INC (DBC) (X) (Y)									
322. VANGUARD GROWTH ETF (VUG) (X) (Y)									
323. WISDOMTREE EUROPE HEDGED EQUITY (HEDJ) (Y)									
324. AIG FOCUSED DIV STRAT A (FDSAX)	A	Dividend	J	T	Buy (add'l)	04/10/18	J		
325.					Sold (part)	11/20/18	J	A	
326. COLUMBIA SELECT LG CP GROWTH Z (UMLGX) (Y)									
327. DOUBLELINE SHILLER ENH CAPE (DSEEX)	A	Dividend	J	T	Buy (add'l)	04/10/18	J		
328.					Sold (part)	11/20/18	J	A	
329. DOUBLELINE TOTAL RETURN I (DBLTX)	A	Dividend			Sold	04/10/18	J		
330. HENDERSON EUROPEAN FOCUS (HFEIX) (Y)									
331. METROPOLITAN WEST TOT RET BD I (MWTIX) (Y)									
332. PIMCO INCOME (PONPX) (Y)									
333. PGIM (FORMERLY PRUDENTIAL) TOT RET BD Z (PDBZX)	A	Dividend	J	T	Sold (part)	04/10/18	J	A	
334.					Sold (part)	11/20/18	J		
335. T ROWE PRICE MID CAP GR (RPMGX) (X) (Y)									
336. TOUCHSTONE SANDS CAP SEL GR (CFSIX)	A	Dividend	J	T	Buy (add'l)	04/10/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 26

Name of Person Reporting

Hurwitz, Andrew

Date of Report

06/10/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
337.					Sold (part)	11/20/18	J		
338. VANGUARD INFLAT PROT SEC (VIPSX) (Y)									
339. 529 #1 (H)	A	Int./Div.							
340. AMERICAN AMCAP 529A (CAFAX)	B	Dividend	J	T	Buy (add'l)	12/06/18	J		
341. AMERICAN MUTUAL 529A (CMLAX)	A	Dividend	J	T	Buy (add'l)	12/06/18	J		
342. 529 #2 (H)	A	Int./Div.							
343. AMERICAN AMCAP 529A (CAFAX)	B	Dividend	J	T	Buy (add'l)	12/06/18	J		
344. AMERICAN MUTUAL 529A (CMLAX)	A	Dividend	J	T	Buy (add'l)	12/06/18	J		
345. 529 #3 (H)									
346. AMERICAN AMCAP 529A (CAFAX)	A	Dividend	J	T	Buy	12/06/18	J		
347. AMERICAN BALANCED 529 A (CLBAX)	A	Dividend	J	T	Buy	12/06/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 25 of 26

Name of Person Reporting

Hurwitz, Andrew

Date of Report

06/10/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 26 of 26

Name of Person Reporting

Hurwitz, Andrew

Date of Report

06/10/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Andrew Hurwitz**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544