

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Guy, Daniel A.	2. Court or Organization United States Tax Court	3. Date of Report 08/09/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Special Trial Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2018 to 12/31/2018
7. Chambers or Office Address 400 Second Street, N.W. Washington, D.C. 20217		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Florida State Bar Association	February 2-4, 2018	St. Petersburg, Florida	Moot Court Competition	Roundtrip airfare, hotel expenses (2 nights), rental car.
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Ameritrade Joint Brokerage Acct. (H)									
2. Ameritrade Money Market Account	A	Interest	J	T					
3. Amazon (Common)		None	J	T	Buy	12/26/18	J		
4. Apache (Common)	A	Dividend			Sold	04/06/18	J	B	
5. AMC (Common)	A	Dividend			Buy	08/09/18	J		
6.					Sold	09/17/18	J	A	
7. Alibaba (Common)		None			Buy	08/16/18	J		
8.					Sold	09/17/18	J		
9. Berkshire Hathaway (B-Common)		None	L	T	Buy (add'l)	05/29/18	J		
10. Boeing (Common)	A	Dividend	K	T	Sold (part)	07/30/18	K	D	
11. Daimler AG (Common)	A	Dividend	J	T	Buy	05/31/18	J		
12. Dorian LPG (Common)		None			Buy	02/21/18	J		
13.					Sold	05/30/18	J	A	
14. Eaton (Common)	A	Dividend			Buy (add'l)	04/06/18	J		
15.					Sold	08/02/18	K	B	
16. Emerson Elec (Common)	A	Dividend			Buy	01/12/18	J		
17.					Sold	06/08/18	J	B	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Etsy (Common)		None	J	T	Buy	11/28/18	J		
19. Glencore (Common)	A	Dividend	J	T	Buy	10/12/18	J		
20.					Buy (add'l)	12/14/18	J		
21. Intuitive Surg (Common)		None	J	T	Buy	10/30/18	J		
22. Ishares Biotech (ETF)	A	Dividend	J	T					
23. Kinder Morgan (Common)	A	Dividend	J	T					
24. Lincoln National (Common)	A	Dividend	J	T	Buy	12/19/18	J		
25. Micron Technology (Common)		None			Sold	05/31/18	J	A	
26. Mohawk Indus (Common)		None	J	T	Buy	07/30/18	J		
27. Monmouth Real Estate (REIT)	A	Distribution	K	T					
28.					Sold (part)	02/02/18	K	D	
29.					Buy (add'l)	11/28/18	J		
30. Owens Illinois (common)	A	Dividend	J	T	Buy	09/19/18	J		
31. Regeneron (Common)		None			Sold	08/02/18	J	A	
32. Revlon (Common)		None			Buy	05/17/18	J		
33.					Sold	08/23/18	J	A	
34. Seritage (Common)	A	Dividend			Sold	06/21/18	J	B	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Southwest Airline (Common)	A	Dividend			Sold	08/15/18	J	A	
36. S&P Energy--XLE (ETF)	A	Dividend	K	T					
37. S&P Health--XLV (ETF)	A	Dividend	K	T					
38. S&P Regional Banks--KRE (ETF)	A	Dividend			Sold	10/03/18	J	A	
39. SPDR Gold--GLD (ETF)		None	J	T	Buy	06/25/18	J		
40. Third Point Reinsurance (Common)		None	J	T	Buy	06/14/18	J		
41. Valero (Common)	A	Dividend			Buy	04/06/18	J		
42.					Sold	04/19/18	J	A	
43. Vodaphone (Common)	A	Dividend	J	T	Buy	07/19/18	J		
44. Walmart (Common)	A	Dividend	J	T	Buy	08/31/18	J		
45. Weyerhouser (Common)	A	Dividend	J	T	Buy	02/09/18	J		
46.					Buy (add'l)	12/14/18	J		
47. Wisdom Tree Japan (ETF)	A	Dividend			Sold	05/30/18	J	A	
48. Burlington Northern (Corp Bond)	A	Interest	K	T					
49. General Electric (Corp Bond)	A	Interest	J	T					
50. Goldman Sachs (Corp Bond)	A	Interest	J	T					
51. Hingham (Cert of Deposit)	A	Interest	M	T	Buy	12/31/18	M		

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52. JP Morgan (Corp Bond)	A	Interest	K	T					
53. JP Morgan (Cert of Deposit)	B	Interest	N	T	Buy	12/17/18	N		
54. Marsh & McClellan (Corp Bond)	A	Interest	K	T					
55. Sysco (Corp Bond)	A	Interest	J	T					
56. Wells Fargo (Corp Bond)	A	Interest	J	T					
57. Ally Bank Accounts (H)									
58. Ally Bank Joint Money Market Account	A	Interest	K	T					
59. Ally Bank Joint 2-Year CD	B	Interest	N	T					
60. Ally Joint Savings Account	D	Interest	N	T					
61. Schwab Inherited IRA Account: (H)									
62. Money market account	A	Interest	K	T	Distributed (part)	11/15/18	K		
63. ETF--20 Year Treasury (TMV)	A	Interest			Sold	07/06/18	K	A	
64. ETF--Gold (SGOL)	A	Dividend			Buy (add'l)	02/09/18	J		
65.					Sold	08/17/18	K	A	
66. ETF--Emerging Market (IEMG)	B	Dividend			Sold	08/17/18	K	A	
67. ETF--Emerging Market (DVYE)	A	Dividend			Buy (add'l)	01/18/18	J		
68.					Sold	04/25/18	K	A	

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69. ETF--Emerging Market (EEM)	A	Dividend			Sold (part)	06/01/18	J	A	
70.					Sold	08/17/18	J	A	
71. ETF--India (INDA)	A	Dividend			Sold	04/25/18	K	B	
72. ETF--Treasures (LTPZ)	B	Interest			Sold	01/18/18	L	A	
73. ETF--Emerging Markets (SCHE)	A	Dividend	K	T	Buy (add'l)	12/18/18	K		
74. ETF--Common Stocks (SCHB)	A	Dividend	K	T	Buy (add'l)	01/18/18	J		
75. ETF--Common Stocks (SCHD)	A	Dividend			Sold	04/25/18	K	A	
76. ETF--Small Caps (SCHA)	A	Dividend			Sold	12/18/18	K	A	
77. ETF: Bonds (SCHZ)	A	Interest	K	T	Buy	07/06/18	K		
78. ETF: Large Caps (SCHV)	A	Dividend	K	T	Buy	11/26/18	K		
79. ETF: Large Caps (SCHX)	A	Dividend	L	T	Buy	08/17/18	L		
80. ETF--Financials (KBE)	A	Dividend			Buy (add'l)	02/09/18	K		
81.					Sold	04/25/18	K	A	
82. ETF--Natural Resources (GNR)	B	Dividend			Sold	08/17/18	K	A	
83. ETF--Emerging Market Bonds (HYEM)	B	Interest			Sold	04/25/18	K	A	
84. ETF--Bonds (EDV)	A	Interest	L	T					
85. ETF--Bonds (VCLT)	B	Interest			Sold	02/16/18	K	A	

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86. ETF--International/Euro (EUSC)	A	Dividend			Sold	06/01/18	K	A	
87. ETF--International/Euro (HEDJ)	A	Dividend			Sold	04/25/18	K	B	
88. ETF--Japan (DXJS)	A	Dividend			Sold	06/01/18	K	A	
89. ETF: Gadsen (GDG)	A	Dividend	K	T	Buy	11/15/18	K		
90. ETF: Gadsen (GDMA)	A	Dividend	K	T	Buy	11/15/18	K		
91. ETF: Treasury Bonds (TLT)	A	Interest	K	T	Buy	10/12/18	K		
92. ETF: US Stocks (QUAL)	A	Dividend	K	T	Buy	04/25/18	K		
93. ETF: Consumer Stocks (XLP)	A	Dividend	K	T	Buy	08/17/18	K		
94. ETF: (Health Care (VHT)	A	Dividend	K	T	Buy	08/17/18	K		
95. ETF: Utilities (VPU)	A	Dividend	K	T	Buy	08/17/18	K		
96. ETF: VTIP	A	Dividend	L	T	Buy	02/16/18	J		
97.					Buy (add'l)	04/25/18	J		
98.					Buy (add'l)	06/01/18	J		
99.					Buy (add'l)	11/15/18	J		
100. Charles Schwab Trust Account (H)									
101. Charles Schwab Money Market/Cash	A	Interest	K	T	Distributed (part)	01/21/18	L		
102. Bank United CD due 1/29/18	A	Interest			Matured	01/29/18	M	B	

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103. Aflac Inc. (Bond due 2/15/22)	A	Interest	K	T					
104. Bank of America (Bond due 1/22/24)	A	Interest	K	T					
105. EMC Corp (Bond due 6/1/20)	A	Interest	K	T					
106. Florida Power (Bond due 8/15/21)	A	Interest	K	T					
107. Ford Motor Co (Bond due 8/01/18)	B	Interest			Matured	08/01/18	K	A	
108. HCP Inc (Bond due 11/15/23)	A	Interest	K	T					
109. IBM Inc (Bond due 2/8/18)	A	Interest			Matured	02/08/18	K	A	
110. Ryder System Inc (Bond due 2/26/19)	A	Interest	J	T					
111. Wells Fargo (Cert of Deposit)	A	Interest	M	T	Buy	04/19/18	M		
112. Berkshire Hathaway (Common-B)		None	L	T					
113. Johnson & Johnson (Common)	B	Dividend	L	T					
114. Merck (Common)	B	Dividend			Sold	01/09/18	K	D	
115. Pfizer (Common)	B	Dividend			Sold	04/23/18	L	E	
116. Amazon (Common)		None	K	T	Buy	10/05/18	K		
117. ETF: QQQ	A	Dividend	K	T	Buy	01/09/18	K		
118. ETF: IVV	A	Dividend	K	T					
119. ETF: GDX		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 ~\$25,000,001 - \$50,000,000 Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	P4 ~More than \$50,000,000 S ~Assessment W ~Estimated	T ~Cash Market	

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Name of Person Reporting

Guy, Daniel A.

Date of Report

08/09/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. ETF: XLE	B	Dividend	L	T					
121. ETF: XLM	A	Dividend	K	T					
122. ETF: XLK	A	Dividend	L	T					
123. ETF: GLD		None	K	T					
124. ETF: VWO	A	Dividend	K	T					
125. ETF: V GK	A	Dividend	K	T					
126. ETF: VO	A	Dividend	K	T					
127. ETF: VNQ	C	Dividend	M	T					
128. ETF: VNQI	A	Dividend	K	T					
129. ETF: VTIP	A	Int./Div.	K	T					
130. ETF: VB	A	Dividend	K	T					
131. ETF: VXUS	B	Dividend	L	T					
132. ETF: VTI	C	Dividend	N	T					
133. ETF: BSCJ	A	Interest	K	T					
134. ETF: XBI	A	Dividend	K	T					
135. Charles Schwab Joint Brokerage Acct: Almanac (H)									
136. PIMCO ETF: LTPZ	A	Interest			Sold	06/01/18	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Guy, Daniel A.

Date of Report

08/09/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Select Sector ETF: GNR	A	Dividend			Sold	11/16/18	J	A	
138. ETF: HEEM	A	Dividend			Buy	11/29/18	J		
139.					Sold	12/31/18	J	A	
140. Ishares ETF: EEM	A	Dividend	J	T					
141. Vaneck ETF: HYEM	A	Interest			Sold	04/25/18	K	A	
142. WisdomTree ETF: EUSC	A	Dividend			Sold	06/01/18	J	A	
143. Wisdomtree ETF: HEDJ	A	Dividend			Sold	04/25/18	K	C	
144. Direxion ETF: TMV	A	Interest			Sold	07/05/18	J	B	
145. Schwab ETF: SCHE	A	Dividend	K	T					
146. Schwab ETF: SCHB	A	Dividend			Sold	12/31/18	K	A	
147. Schwab ETF: SCHA	A	Dividend			Sold	12/18/18	J	A	
148. Schwab ETF: SCHX	A	Dividend	L	T	Buy	12/31/18	L		
149. Schwab ETF: SCHZ	A	Dividend	K	T	Buy	07/05/18	K		
150.					Sold (part)	12/31/18	J	A	
151. Deutsche ETF: DBAW	A	Dividend			Sold	02/09/18	J	A	
152. Wisdomtree ETF: DXJS	A	Dividend			Sold	06/01/18	J	B	
153. S&P ETF: KBE	A	Dividend			Sold	04/25/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Guy, Daniel A.

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08/09/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Fidelity ETF: FENY	A	Dividend			Sold	02/09/18	J	A	
155. Vanguard ETF: EDV	A	Dividend	K	T	Buy (add'l)	12/18/18	K		
156. Vanguard ETF: VCLT	A	Dividend			Sold	02/09/18	J	A	
157. ETFS ETF: SGOL	A	Dividend			Sold	08/17/18	J	A	
158. iShares ETF: IEMG	A	Dividend			Sold	07/05/18	J	A	
159. iShares ETF: PICK	A	Dividend			Sold	08/17/18	J	A	
160. iShares ETF: INDA	A	Dividend			Sold	04/25/18	J	A	
161. ETF: GDG	A	Dividend	K	T	Buy	11/16/18	K		
162. ETF: GDMA	A	Dividend	J	T	Buy	11/16/18	J		
163. ETF: GBIL	A	Interest	K	T	Buy	08/17/18	K		
164. ETF: SHV	A	Interest	K	T	Buy	12/24/18	K		
165. ETF: TLT	A	Interest	K	T	Buy	12/18/18	K		
166. ETF: XLP	A	Dividend			Buy	08/17/18	J		
167.					Sold	12/31/18	J	A	
168. ETF: VHT	A	Dividend			Buy	08/17/18	J		
169.					Sold	12/31/18	J	A	
170. ETF: VPU	A	Dividend			Buy	08/17/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Guy, Daniel A.

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08/09/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold	12/31/18	J	A	
172. Navy Federal Credit Union Money Market Acct.	A	Interest	J	T					
173. Ameritrade IRA (H)									
174. Amazon (Common)		None	K	T					
175. Apend (Common)		None			Sold	02/20/18	J	A	
176. Barnes & Noble (Common)	A	Dividend			Sold	02/20/18	J	A	
177. Ameritrade Money Market	A	Interest	J	T					
178. ING Variable Annuity (Growth Fund of America)	B	Dividend	K	T					
179. Mass Mutual Universal Life Policy	A	Dividend	J	T					
180. Mass Mutual Universal Life Policy	A	Dividend	J	T					
181. MFS Growth Fund (Inherited IRA)	B	Distribution	K	T	Redeemed (part)	11/01/18	J	B	
182.									
183.									
184.									
185.									
186.									
187.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Guy, Daniel A.

Date of Report

08/09/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.									
189.									
190.									
191.									
192.									
193.									
194.									
195.									
196.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Guy, Daniel A.

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08/09/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The following is a response to the Committee on Financial Disclosure letter dated December 7, 2018:

The second paragraph of the letter states that "In Part VII, lines 73, 116-120, 122-124, 127, 129 and 130, you listed various assets which were not in your prior (2016 amended) report." In fact, I reported these same assets on lines 63-64, lines 122-123, 125, and lines 127-137 of my 2016 amended report. The difference is that in my original report for 2017, I dropped the practice of providing a generic description of each exchange traded fund (ETF) in Column A, Description of Assets, and instead reported the asset as an ETF, followed by the ticker symbol, e.g., "ETF: IVV". ETF: IVV, reported on line 116 of my 2017 original report was formerly reported on line 122 of my 2016 amended report as "iShares S&P Index".

As suggested in the third paragraph of the letter, I have reported "None" in Column B(2) for the income associated with the each of the assets in question.

The fourth paragraph of the letter states that "In Part VII, lines 40-44, 46, 47, 49, 50, 53-57, 59, 122, 123, 125, 127-137, and 186-188, in your prior (2016 amended) report you listed various assets, but you did not list these assets in your current (2017) report."

A. Lines 39-60, and 186-188 of my 2016 amended report identify assets owned by [REDACTED] in a brokerage account and college savings plans, respectively. Because the [REDACTED] in 2017, as reported in the headers on lines 37 and 184-185 of my original 2017 report, I deleted those assets from the report. I now understand that I was expected to have reported the assets with a (Y) in Column A. As I no longer [REDACTED] this specific issue will not reoccur in the future.

B. As for lines 122, 123, 125, and 127-137 reported in my 2016 amended report, these same assets are reported on lines 116-130 of my original 2017 report. The difference is (as explained above) that in my original report for 2017, I dropped the practice of providing a generic description of each exchange traded fund (ETF) in Column A, Description of Assets, and instead reported the asset as an ETF, followed by the ticker symbol, e.g., "ETF: IVV".

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Name of Person Reporting

Guy, Daniel A.

Date of Report

08/09/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Daniel A. Guy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544