

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Harvey, Gordon M.	2. Court or Organization U.S. District Court for the District of Columbia	3. Date of Report 05/14/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge -- Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. District Court for District of Columbia 333 Constitution Avenue, NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Board Member	Moto, Inc.
2.	Trustee	Family Trust #3
3.	Trustee	Family Trust #4
4.	Trustee	Family Trust #5
5.	Board Member	Federal Magistrate Judge Association

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	-- Administration
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Moto, Inc.	February 23-24, 2018	Belleville, IL	Board Meeting	Transportation, Hotel, Meals
2. Moto, Inc.	April 5-8, 2018	Scottsdale, AZ	Board Meeting	Transportation, Hotel, Meals
3. Moto, Inc.	October 18-20, 2018	St. Louis, MO	Board Meeting	Transportation, Hotel, Meals
4. Federal Magistrate Judges Association	July 29-31, 2018	Denver, CO	Membership & Board Meeting	Transportation, Hotel, Meals
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Bryant American Inn of Court	Honorary Membership	\$395.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		Tuition Agreement	K
2.		Tuition Agreement	K
3.		Tuition Agreement	L
4.	Suntrust Bank	College Loans	K
5.	Federal Government	College Loans	K

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Account -- Cash	A	Interest	K	T					
2. Forsyth Carterville Coal Stock	G	Dividend	P1	U					
3. --Sold Forsyth Carterville Coal Stock					Sold (part)	01/18/18	K	E	Forsyth Cartervill Coal Co
4. --Sold Forsyth Cartervillw Coal Stock					Sold (part)	01/18/18	K	E	Forsyth Cartervill Coal Co
5. --Sold Forsyth Carterville Coal Stock					Sold (part)	01/18/18	K	E	Forsyth Cartervill Coal Co
6. --Sold Forsyth Carterville Coal Stock					Sold (part)	01/18/18	K	E	Forsyth Cartervill Coal Co
7. --Sold Forsyth Carterville Coal Stock					Sold (part)	04/24/18	K	E	Forsyth Cartervill Coal Co
8. --Sold Forsyth Carterville Coal Stock					Sold (part)	04/24/18	K	E	Forsyth Cartervill Coal Co
9. --Sold Forsyth Carterville Coal Stock					Sold (part)	04/24/18	K	E	Forsyth Cartervill Coal Co
10. --Sold Forsyth Carterville Coal Stock					Sold (part)	04/24/18	K	E	Forsyth Cartervill Coal Co
11. Missouri Real Estate Stock	E	Dividend	O	U					
12. Moto, Inc. Stock	F	Dividend	P1	U					
13. Fidelity IRA # 1 (H)									
14. --Vanguard Target Ret 2035 FD Inv	A	Int./Div.	K	T					
15. Fidelity IRA #2 (H)									
16. --Vanguard Target Ret 2035 FD Inv	A	Int./Div.	K	T					
17. TIAA Account LFCYCLE 2030T4	A	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Real Estate, Mercer County, WV Property		None	K	W					
19. Family Trust #1 (H)									
20. --Cash Account Managed by the Commerce Trust Co	A	Interest	J	T					
21. --Missouri Real Estate Stock	D	Dividend	M	U					
22. Family Trust #2 (H)									
23. --Cash Account Managed by the Commerce Trust Co	A	Interest	J	T					
24. --Missouri Real Estate Stock	C	Dividend	M	U					
25. Family Trust #3 (H)									
26. --Alliance Growth (SA AB Growth)	A	Int./Div.	J	T					
27. --Emerging Mkts mgd by JP Morgan Inv. Mgmt	A	Int./Div.	J	T					
28. -- Growth Opportunities mgd by Invesco Advisors	A	Int./Div.	J	T					
29. --Invesco VI Comstock Fund	A	Int./Div.	J	T					
30. --Natural Resources mgd by Wellington Mgmt Fund	A	Int./Div.	J	T					
31. --Fidelity Institutional AM Real Estate (see note in Part VIII)	A	Int./Div.	J	T					
32. --Small & Mid Cap Value mgd by Alliance Bernstein	A	Int./Div.	J	T					
33. --Templeton Franklin Foreign Value	A	Int./Div.	J	T					
34. Family Trust #4 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. --Alliance Growth (SA AB Growth)	A	Int./Div.	J	T					
36. --Emerging Mkts mgd by JP Morgan Inv. Mgmt	A	Int./Div.	J	T					
37. -- Growth Opportunities mgd by Invesco Advisors	A	Int./Div.	J	T					
38. --Invesco VI Comstock Fund	A	Int./Div.	J	T					
39. --Natural Resources mdg by Wellington Mgmt Fund	A	Int./Div.	J	T					
40. --Fidelity Institutional AM Real Estate (see note in Part VIII)	A	Int./Div.	J	T					
41. --Small & Mid Cap Value mgd by Alliance Bernstein	A	Int./Div.	J	T					
42. --Templeton Franklin Foreign Value	A	Int./Div.	J	T					
43. Family Trust #5 (H)									
44. --Alliance Growth (SA AB Growth)	A	Int./Div.	J	T					
45. --Emerging Mkts mgd by JP Morgan Inv. Mgmt	A	Int./Div.	J	T					
46. -- Growth Opportunities mgd by Invesco Advisors	A	Int./Div.	J	T					
47. --Invesco VI Comstock Fund	A	Int./Div.	J	T					
48. --Natural Resources mdg by Wellington Mgmt Fund	A	Int./Div.	J	T					
49. --Fidelity Institutional AM Real Estate (see note in Part VIII)	A	Int./Div.	J	T					
50. --Small & Mid Cap Value mgd by Alliance Bernstein	A	Int./Div.	J	T					
51. --Templeton Franklin Foreign Value	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52. Bank of America Cash Account	A	Interest	L	T					
53. Wells Fargo Cash Account	A	Interest	J	T					
54.									
55.									

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

--The Family Trusts #3, #4, and #5 listed in Part VII hold assets inside a variable annuity. As such, dividend and capital gain distributions paid from the underlying assets (also reported in Section VII) are not disclosed on the statements for these annuities. The undersigned has reported an "A" in column B1 and "Int/Div" in column B2 in an effort to provide as much transparency as possible.

--According to a representative of the fund manager of Family Trusts #3, #4, and #5 listed in Part VII, one of the funds in the trusts changed its name in 2018 from "Real Estate mgd by Pyramis Global" (as listed on last year's financial disclosure) to "Fidelity Institutional AM Real Estate."

--No interest, dividends or capital gain distributions can be identified in the statements for the TIAA LFCYCLE 2030T4 Account in Part VII. In an abundance of caution, an "A" has been entered for income under column B(1) for this asset.

--Three of the four Bank of America accounts reported in Part VII are accounts owned by the undersigned's [REDACTED]. The undersigned does not own the cash in any of those accounts. The undersigned was made signatory on the accounts to manage his [REDACTED] affairs in the event of her death or disability.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Gordon M. Harvey**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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