

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hammond, Mary E.	2. Court or Organization US BANKRUPTCY COURT - NORTHERN DISTRICT OF CALIFORNIA	3. Date of Report 04/27/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE (FULL-TIME)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 280 S. FIRST ST COURTROOM 3035 SAN JOSE, CA 95113		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Hammond, Mary E.

Date of Report

04/27/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	CALIFORNIA BANKRUPTCY FORUM	05/18/2018 - 05/20/2018	LAKE TAHOE, CA	EDUCATIONAL SEMINAR OR PROGRAM	TRANSPORTATION, LODGING, AND MEALS
2.	NATIONAL CONFERENCE OF BANKRUPTCY JUDGES	10/27/2018 - 10/31/2018	SAN ANTONIO, TX	ACTIVITY OF PROFESSIONAL ASSOCIATION OR CIVIC ORGANIZATION	TRANSPORTATION, LODGING, AND MEALS
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Hammond, Mary E.

Date of Report

04/27/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Hammond, Mary E.

Date of Report

04/27/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. WELLS FARGO CHECKING ACCOUNT	A	Interest	K	T					
2. WELLS FARGO SAVINGS ACCOUNT	A	Interest	J	T					
3. ALLY BANK SAVINGS ACCOUNT	A	Interest	M	T					
4. FIDELITY TRUST ACCOUNT (H)									
5. FIDELITY MUNICIPAL MONEY MARKET	A	Dividend	J	T					
6. AAPL (COMMON)	D	Dividend	N	T	Sold (part)	03/08/18	K	E	
7. AAPL (COMMON)					Sold (part)	05/09/18	K	D	
8. AAPL (COMMON)					Sold (part)	09/25/18	K	D	
9. FIDELITY MSCI HEALTH CARE INDEX ETF (FHLC)		None			Sold	01/24/18	K	D	
10. JANUS HENDERSON GL LIFE SCIENCES T (JAGLX)		None			Sold	02/05/18	K	C	
11. IRA #1 (H)									
12. FIDELITY GOVERNMENT CASH RESERVES		None	J	T					
13. COLUMBIA ACORN CLASS I	B	Dividend	J	T					
14. FIDELITY VALUE	B	Dividend	J	T					
15. MERIDIAN CONTRARIAN FUND LEGACY (MVALX)	B	Dividend	J	T					
16. IRA #2 (H)									
17. FIDELITY GOVERNMENT CASH RESERVES	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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Hammond, Mary E.

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. DISNEY WALT CO	A	Dividend	J	T					
19. FIDELITY REAL ESTATE INVESTMENT	B	Dividend	K	T					
20. RBC MICROCAP VALUE FUND INSTL CLASS (RMVIX)	B	Dividend	K	T					
21. IRA #3 (H)									
22. FIDELITY CANADA	B	Dividend	K	T					
23. FIDELITY 500 INDEX FUND	B	Dividend	M	T					
24. FIDELITY MID CAP STOCK	D	Dividend	K	T					
25. FIDELITY GOVERNMENT CASH RESERVES	A	Dividend	J	T					
26. FIDELITY STOCK SELECTOR LARGE CAP VALUE	B	Dividend	K	T					
27. FIDELITY MID CAP VALUE	D	Dividend	L	T					
28. FIDELITY LOW PRICED STOCK	D	Dividend	L	T					
29. FIDELITY SELECT TELECOM	A	Dividend	K	T					
30. FMI INTERNATIONAL	C	Dividend	L	T					
31. JANUS HENDERSON SMALL CAP VALUE T	C	Dividend	L	T					
32. JANUS HENDERSON GL LIFE SCIENCES (JAGLX)	B	Dividend	K	T	Buy	02/05/18	K		
33. MATTHEWS INDIA FUND	C	Dividend	K	T					
34. FIDELITY TOTAL BOND	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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35. FIDELITY STRATEGIC INCOME	B	Dividend	L	T					
36. FIDELITY REAL ESTATE INVESTMENT	B	Dividend	K	T					
37. DREYFUS SMALL CAP STOCK INDEX	D	Dividend	L	T					
38. DISNEY WALT CO	A	Dividend	J	T					
39. FIDELITY MSCI HEALTH CARE INDEX ETF (FHLC)	A	Dividend	K	T	Buy	01/22/18	K		
40. IRA #4 (H)									
41. FIDELITY GOVERNMENT CASH RESERVES		None	J	T					
42. DODGE & COX STOCK	B	Dividend	K	T					
43. DREYFUS SMALL CAP STOCK INDEX	B	Dividend	K	T					
44. FIDELITY DIVERSIFIED INTERNATIONAL	A	Dividend	J	T					
45. FIDELITY MID CAP STOCK	B	Dividend	J	T					
46. FIDELITY VALUE	D	Dividend	K	T					
47. MERIDIAN CONTRARIAN FUND LEGACY	C	Dividend	J	T					
48. FIDELITY CONTRAFUND	D	Dividend	M	T					
49. FIDELITY STRATEGIC DIVIDEND & INCOME	D	Dividend	L	T					
50. FIDELITY STRATEGIC INCOME	B	Dividend	K	T					
51. IRA #5 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

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52. FIDELITY GOVERNMENT CASH RESERVES	A	Dividend	J	T					
53. FIDELITY DIVERSIFIED INTERNATIONAL	A	Dividend	J	T					
54. IRA #6 (H)									
55. FIDELITY 500 INDEX FUND	A	Dividend	K	T					
56. FIDELITY GOVERNMENT CASH RESERVES	A	Dividend	J	T					
57. LINCOLN BENEFIT LIFE FLEXIBLE PREMIUM ADJUSTABLE INDEXED UNIVERSAL #1	D	Interest	M	T					
58. LINCOLN BENEFIT LIFE FLEXIBLE PREMIUM ADJUSTABLE INDEXED UNIVERSAL #2	C	Interest	L	T					
59. UTAH EDUCATIONAL SAVINGS PLAN AGE BASED AGGRESSIVE GLOBAL #1	C	Dividend	M	T					
60. UTAH EDUCATIONAL SAVINGS PLAN AGE BASED AGGRESSIVE GLOBAL #2	C	Dividend	M	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting	Date of Report
Hammond, Mary E.	04/27/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 23 and 55 of part VII: Note that name of fund changed to FIDELITY 500 INDEX FUND during 2018; previously it was called FIDELITY 500 INDEX PREMIUM CLASS

Line 24 of part VII: Note that holding FIDELITY MID CAP STOCK (in IRA #3) was mistakenly listed twice on 2017 disclosure, on lines 25 and 37 of that 2017 report. It was also mistakenly listed twice on 2016 disclosure, on lines 31 and 42 of that report. This holding is listed correctly in this 2018 report on line 24.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Hammond, Mary E.

Date of Report

04/27/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mary E. Hammond

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544