

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hardiman, Thomas M.	2. Court or Organization U. S. Court of Appeals	3. Date of Report 5/09/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 2270 Joseph F. Weis Jr. U.S. Courthouse 700 Grant Street Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Big Brothers Big Sisters of Greater Pittsburgh, Inc.
2.	Adjunct Law Professor	Duquesne Law School
3.	Member	Georgetown Law, Board of Visitors
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Duquesne Law School - teaching	\$2,682.70
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	The First City Company
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. The Federalist Society	March 8-11, 2018	Washington, DC	Symposium (Speaker)	Lodging/Transportation/Meals
2. New England Law School	April 6-April 9, 2018	Boston, MA	Speaker	Lodging/Transportation/Parking/Meals
3. Academy of Trial Lawyers of Allegheny County	October 3-October 4, 2018	Farmington, PA	Attend Professional Association Conference	Lodging/Meals
4. The Federalist Society	October 5-October 7, 2018	Princeton, NJ	Speaker	Lodging/Transportation/Parking/Meals
5. The Federalist Society	November 15-16, 2018	Washington, DC	Convention (Speaker)	Lodging/Transportation/Parking/Meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC Checking Account	A	Interest	L	T					
2. PNC Money Market Acct.	A	Interest	M	T					
3. Vanguard Prmpcp Mut. Fund (MF)	C	Dividend	M	T					
4. Vanguard Prime Money Market	C	Interest	N	T					
5. Fulton Financial Stk (CS)	A	Dividend	K	T					
6. WELLS FARGO EQUITY ACCT-holdings transferred to Wells Fargo Bond Acct					Closed				
7. WELLS FARGO BOND ACCT									
8. Wells Fargo MM Account	A	Interest	J	T					
9. Alphabet Inc. Class A-Moved to Wells Fargo Bond Acct		None	L	T					
10. Alphabet Inc. Class C-Moved to Wells Fargo Bond Acct		None	L	T					
11. Bank New York Mellon CS--Moved to Wells Fargo Bond Acct	B	Dividend	L	T					
12. Berkshire Hathaway-- Moved to Wells Fargo Bond Acct		None	M	T					
13. Citigroup--Moved to Wells Fargo Bond Acct	B	Dividend	L	T					
14. Duke Energy PF	A	Dividend	K	T					
15. JP Morgan Chase CS--Moved to Wells Fargo Bond Acct	C	Dividend	M	T					
16. T. Mobile--Moved to Wells Fargo Bond Acct		None	L						
17. Twenty-First Century CLA--Moved to Wells Fargo Bond Acct	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. US Bancorp CS--Moved to Wells Fargo Bond Acct	B	Dividend	K	T					
19. Eaton Vance En. Equity MF	A	Dividend	J	T					
20. Eaton Vance Gr. India MF	B	Dividend	K	T					
21. Guggenheim Credit Allocation Fd	C	Dividend	K	T					
22. Investment Co. of America MF	C	Dividend	M	T					
23. Monroeville PA Mun.	A	Interest	K	T					
24. Octorara PA Area	B	Interest	K	T					
25. Pennsylvania HSG	A	Interest	K	T	Redeemed	04/01/18	K	A	
26. ENBRIDGE (f/k/a Spectra Energy Corp)	A	Dividend	J	T					
27. Garnet Valley PA School District Bond	A	Interest	K	T					
28. Carroll Twp PA Auth. Swr Bond	A	Interest	K	T					
29. Washington Mutual Invest. MF	C	Dividend	M	T					
30. Capital World Income MF	A	Dividend	K	T					
31. Europacific Growth MF	A	Dividend	K	T					
32. New Perspective FDA MF	A	Dividend	K	T					
33. Lord Abbett Inv. Trust C Class	A	Dividend	J	T	Sold	11/07/18	J	A	
34. Lord Abbett Inv. Trust Class A	D	Dividend	M	T	Sold	11/07/18	M	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Lord Abbett Equity Trust Calibrated Mid Cap	B	Dividend	L	T					
36. Lord Abbett Secs Trust Growth Leaders Fund		None	L	T					
37. Flaherty & Crumrin	C	Dividend	L	T					
38. MFS SER Trust III Mun High Income Class A	A	Dividend	J	T					
39. MFS Global Total Rtn Fund Class A	B	Dividend	M	T					
40. MFS Growth Class A		None	N	T					
41. MFS Mid Cap Value	B	Dividend	M	T					
42. MFS Municipal Limited Maturity Fund	D	Dividend	N	T	Sold (part)	11/07/18	L	A	
43.					Sold (part)	11/09/18	L	A	
44.					Buy (add'l)	11/09/18	L		
45. MFS Utilities Fund	D	Dividend	M	T					
46. MFS Global Equity Fund Class A	C	Dividend	M	T					
47. MFS International Growth Fund	B	Dividend	M	T					
48. MFS International Value Fund	B	Dividend	M	T					
49. Putnam Equity Spectrum		None	M	T	Sold	11/07/18	M	C	
50. AMCAP Fund	A	Dividend	L	T					
51. Fundamental Investors	A	Dividend	L	T	Sold (part)	12/20/18	L	A	

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52. Growth Fund of America	A	Dividend	L	T	Buy (add'l)	02/08/18	L		
53.					Sold	12/21/18	L	B	
54. Short Term Bd Fund America Class A	A	Dividend	J	T	Sold (part)	11/07/18	O	A	
55. Pioneer SER TR II AMT Free Municipal Fund CLA	D	Dividend	O	T	Buy	11/07/18	O		
56. AB Muni Inc FD National Portfolio	D	Dividend	O	T	Buy	11/07/18	O		
57. American Funds Developing World Growth Income	B	Dividend	L	T	Buy	11/07/18	M		
58. Capital Income Builder	C	Dividend	M	T	Buy	12/20/18	M		
59. 401(k) ACCOUNT #1A									
60. Lg. Value Equity MF (Dodge & Cox)	A	Dividend	L	T					
61. Lg. Value Growth (T. Rowe Price)	A	Dividend	L	T					
62. Mid Cap Value (renamed Janus Henderson Mid Cap Value)	A	Dividend	K	T					
63. International Value II (Dodge & Cox)	A	Dividend	K	T					
64. Invesco International Growth	A	Dividend	K	T					
65. 401(k) ACCOUNT #2									
66. Merrill Lynch Account	A	Interest	J	T					
67. Central Europe & Russia MF	A	Dividend	J	T					
68. Century Link	D	Dividend	K	T					

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69. Citigroup CS	A	Dividend	J	T					
70. General Electric CS	A	Dividend	J	T					
71. Sirius XM	A	Dividend	K	T	Buy	04/02/18	J		
72.					Buy (add'l)	11/20/18	J		
73.					Buy (add'l)	12/21/18	J		
74. TRUST #1									
75. Wells Fargo Account	A	Interest	J	T					
76. AMCAP MF	A	Dividend	M	T	Buy (add'l)	01/02/18	J		
77.					Buy (add'l)	02/01/18	J		
78.					Buy (add'l)	02/06/18	J		
79. Capital World Gr. & Income MF	B	Dividend	L	T	Buy (add'l)	03/22/18	J		
80. Europacific Growth MF	A	Dividend	L	T					
81. Fundamental Inv. MF	B	Dividend	M	T	Buy (add'l)	01/02/18	J		
82.					Buy (add'l)	02/01/18	J		
83.					Buy (add'l)	02/06/18	J		
84. Growth Fund of America MF	B	Dividend	M	T					
85. Investment Co. of America MF	C	Dividend	M	T	Buy (add'l)	01/02/18	J		

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86.					Buy (add'l)	02/01/18	J		
87.					Buy (add'l)	02/06/18	J		
88. New Perspective MF	B	Dividend	M	T					
89. Washington Mutual Inv. MF	C	Dividend	M	T	Buy (add'l)	01/02/18	J		
90.					Buy (add'l)	02/01/18	J		
91.					Buy (add'l)	02/06/18	J		
92. Short Term Bd Fund America Class A	A	Interest	J	T	Sold (part)	01/02/18	J	A	
93.					Sold (part)	02/01/18	J	A	
94.					Sold (part)	02/06/18	J	A	
95. TRUST #2									
96. Wells Fargo Account	A	Interest	J	T					
97. AMCAP MF	A	Dividend	M	T	Buy (add'l)	01/02/18	J		
98.					Buy (add'l)	02/01/18	J		
99.					Buy (add'l)	02/06/18	J		
100. Capital World Gr. & Income MF	B	Dividend	L	T	Buy (add'l)	03/22/18	J		
101. Europacific Growth MF	B	Dividend	L	T					
102. Fundamental Inv. MF	B	Dividend	M	T	Buy (add'l)	01/02/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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103.					Buy (add'l)	02/01/18	J		
104.					Buy (add'l)	02/06/18	J		
105. Growth Fund of America MF	A	Dividend	L	T	Buy (add'l)	03/23/18	J		
106.					Buy (add'l)	04/23/18	J		
107.					Buy (add'l)	05/23/18	J		
108. Investment Co. of America MF	B	Dividend	M	T	Buy (add'l)	01/02/18	J		
109.					Buy (add'l)	02/01/18	J		
110.					Buy (add'l)	02/06/18	J		
111. New Perspective MF	B	Dividend	M	T					
112. Washington Mutual Inv. MF	B	Dividend	M	T	Buy (add'l)	01/02/18	J		
113.					Buy (add'l)	02/01/18	J		
114.					Buy (add'l)	02/06/18	J		
115. Short Term Bd Fund America Class A	A	Interest	J	T	Sold (part)	01/02/18	J	A	
116.					Sold (part)	02/01/18	J	A	
117.					Sold (part)	02/06/18	J	A	
118. TRUST #3									
119. Wells Fargo Account	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Hardiman, Thomas M.

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5/09/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. AMCAP MF	A	Dividend	L	T	Buy (add'l)	01/02/18	J		
121.					Buy (add'l)	02/01/18	J		
122.					Buy (add'l)	02/06/18	J		
123. Capital World Gr. & Income MF	B	Dividend	M	T					
124. Europacific Growth MF	B	Dividend	L	T					
125. Fundamental Inv. MF	B	Dividend	L	T	Buy (add'l)	01/02/18	J		
126.					Buy (add'l)	02/01/18	J		
127.					Buy (add'l)	02/06/18	J		
128. Growth Fund of America MF	A	Dividend	L	T	Buy (add'l)	03/23/18	J		
129.					Buy (add'l)	04/23/18	J		
130.					Buy (add'l)	05/23/18	J		
131. Investment Co. of America MF	B	Dividend	L	T	Buy (add'l)	01/02/18	J		
132.					Buy (add'l)	02/01/18	J		
133.					Buy (add'l)	02/06/18	J		
134. New Perspective MF	B	Dividend	M	T					
135. Washington Mutual Inv. MF	B	Dividend	L	T	Buy (add'l)	01/02/18	J		
136.					Buy (add'l)	02/01/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Hardiman, Thomas M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	02/06/18	J		
138. Short Term Bd Fund America Class A	A	Interest	J	T	Sold (part)	01/02/18	J	A	
139.					Sold (part)	02/01/18	J	A	
140.					Sold (part)	02/06/18	J	A	
141. TRUST #4									
142. Wells Fargo Account	A	Interest	J	T					
143. AMCAP MF	B	Dividend	M	T					
144. Fundamental Inv. MF	B	Dividend	M	T	Sold (part)	03/21/18	J	D	
145. Growth Fund of America MF	B	Dividend	M	T	Sold (part)	03/21/18	J	D	
146. Investment Co. of America MF	C	Dividend	M	T					
147. New Perspective MF	B	Dividend	M	T					
148. Washington Mutual Inv. MF	C	Dividend	M	T					
149. TRUST #5									
150. Wells Fargo Bank Account	A	Interest	L	T					
151. AMCAP MF	A	Dividend	L	T					
152. Capital Income Builder MF	B	Dividend	K	T					
153. Capital World Gr. & Income MF	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Hardiman, Thomas M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Eaton Vance Gr. India MF	C	Dividend	L	T					
155. INTL Growth & Income MF	A	Dividend	K	T					
156. Fundamental INVS Inc. MF	A	Dividend	L	T					
157. Growth Fund of America MF	A	Dividend	L	T					
158. Investment Co. of America MF	B	Dividend	L	T					
159. MFS Municipal Limited Maturity Fund Class A	C	Dividend	M	T					
160. Washington Mutual Investors	A	Dividend	K	T					
161. JJ Lorick LLC		None	P1	T					
162. New Perspectives FD Inc. MF	A	Dividend	K	T					
163. Short Term Bd Fund America Class A	B	Dividend	L	T					
164. Alphabet Inc. Class A		None	K	T					
165. Alphabet Inc. Class C		None	K	T					
166. Bank of NY Mellon	A	Dividend	K	T					
167. Berkshire Hathaway		None	L	T					
168. Citigroup	B	Dividend	K	T					
169. JPMorgan Chase CS	B	Dividend	K	T					
170. US Bancorp CS	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. REAL ESTATE PARTNERSHIP									
172. West Moon Twp. Assoc.	E	Distribution	M	U					
173. FC Donegal Assoc.	G	Distribution	P1	U					
174. McIntyre Square Assoc.	D	Distribution	L	U					
175. Madison NM	E	Distribution	M	U					
176. WELLS FARGO ACCOUNT; REVOCABLE TRUST									
177. AMCAP MF	B	Dividend	M	T					
178. Capital World Growth & Income Fd	B	Dividend	M	T					
179. Fudamental Inv. MF	C	Dividend	M	T					
180. Growth Fund America	B	Dividend	M	T					
181. Lord Abbett Invt Tr	D	Dividend	N	T	Sold	11/07/18	M	A	
182. MFS Municipal Limited Maturity Fund	C	Dividend	M	T	Sold (part)	11/07/18	O	A	
183. New Perspective Fd	B	Dividend	M	T					
184. Smallcap World			M	T					
185. Short Term Bd Fd Amer	A	Dividend	L	T	Sold	11/07/18	L	A	
186. Wash Mut Invs Fd	C	Dividend	M	T					
187. AB Municipal Income National Portfolio	D	Dividend	O	T	Buy	11/07/18	O		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Hardiman, Thomas M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Pioneer SER TR II AMT-Free Municipal Fund CLA	D	Dividend	O	T	Buy	11/07/18	O		
189. TRUST #6									
190. Growth Fund America	A	Dividend	L	T	Sold (part)	02/21/18	J		
191. Fundamental Invs. Inc. Class A	A	Dividend	L	T					
192. AMCAP Fund Inc. Class A	A	Dividend	L	T					
193. Wells Fargo MM	A	Dividend	J	T					
194. ANNUITIES									
195. PNC Fixed Annuity	A	Interest	J	T					
196. Security Benefit Fixed Annuity	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas M. Hardiman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544